Surface effects in hyperspectral infrared measurements from the AIRS instrument of the Aqua satellite

(Experimental Processing of IR Measurements from the AIRS Instrument)

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The importance of **incorporating the surface emissivity in the solution** of the atmospheric infrared remote sensing inverse problem is explained by:

* **Optically "black" surfaces don't exist**; emissivity variations cause measurable changes in infrared radiance

* Satellite meteorological **remote sensing instruments have good radiometric sensitivity** with a relative accuracy of .2K. Disregarding the spectral-spatial variations of emissivity in the radiative transfer model (in the atmospheric windows) magnifies the errors by at least a factor of three to five.

* To realize the potential of the satellite measurements, a radiative transfer model accounting for surface emissivity must be used.

* Different kinds of surface cover, with different surface optical properties, with extremely high spatial and temporal variations, restrict the use of a priori estimates of the surface effects. The **direct evaluation of emissivity in the inverse solution is** a simpler and more **effective approach**.

AIRS over Europe on 6 Sep 02

Land surface [%] Gran. 016 on 09.06.02



Spatial distribution of Ch 1552 at 1385.02 [1/cm] measurements [K]



Spatial distribution of Ch 1553 at 1385.57 [1/cm] measurements [K]



Spatial distribution of Ch 1554 at 1386.11 [1/cm] measurements [K]



Spatial distribution of Ch 1555 at 1386.66 [1/cm] measurements [K]



Spatial distribution of Ch 1556 at 1387.21 [1/cm] measurements [K]



AIRS radiance changes (in deg K) to atm & sfc changes



Optical properties of cloud particles: imaginary part of refraction index

Imaginary part of refraction index



SW & LW channel differences are used for cloud identification $\{4 \ \mu m - 11 \ \mu m\}, \{4.13 \ \mu m - 12.6 \ \mu m\}, and \{4.53 \ \mu m - 13.4 \ \mu m\}$

Spatial distribution of 944.1 [1/cm] measurements [K]



NORTH

SOUTH

Spatial distribution of 2555 [1/cm] measurements [K]



Spatial distribution of 2555 – 944.1 [1/cm] measurements [K]



NORTH

SOUTH

1 - StDev of bb measurement error [K] (RED), 2 - StDev of earth measurements [K] (BLUE); 3 - total atmospheric transmittance



The following issues are addressed:

* to improve the signal to noise ratio, a spatial filtering procedure is developed; spatial smoothing is used in all spectral channels (a rectangular box of variable size defined for each spectral band is used for each field of view);

* to identify the presence of cloud, tests for spatial smoothness (second differential), and spectral smoothness (differences between LW band channels and SW band channels) are used;

* spatial averaging and cloud identification are combined in a joint algorithm for data analysis: averaging \mapsto identification \mapsto averaging on "clear" sub-sample;

* the temporal-spatial structure of errors is discussed, and the shortwave and longwave components of the errors are estimated.

Spectral distribution of spatial smoothing filter

(half-size given in pixel number)



Spatial distribution of Ch 121 at 679.62 [1/cm] measurements [K]



Ch 121 2 3 679.62

Spatial distribution of Ch 121 at 679.62 [1/cm] measurements [K]

filtered

Ch 121 2 3 679.62



Spatial distribution of Ch 121 at 679.62 [1/cm] measurements [K]

original - filtered

Ch 121 2 3 679.62



Spatial distribution of Ch 1789 at 1560.24 [1/cm] measurements [K]



Spatial distribution of Ch 1789 at 1560.24 [1/cm] measurements [K]



Spatial distribution of Ch 1789 at 1560.24 [1/cm] measurements [K]

Spatial distribution of Ch 217 at 711.58 [1/cm] measurements [K]: original

Spatial distribution of Ch 217 at 711.58 [1/cm] measurements [K]: filtered

Spatial distribution of Ch 217 at 711.58 [1/cm] measurements [K]: original - filtered

StDev of measurement error [K] after filtering derived from the spatial differential (GREEN)

StDev of measurement error [K] after filtering derived from bb measurement error [K] (PINK)

StDev of bb measurement error [K] (BLUE);

RTE for IR measurements

$$\tilde{\mathbf{J}}(\theta) = \varepsilon(\theta) B[\mathbf{T}_{s}] \tau_{s}^{\uparrow}(\theta) + \int_{\tau_{s}^{\uparrow}(\theta)}^{1} B[\mathbf{T}(\mathbf{p})] d\tau^{\uparrow}(\mathbf{p},\theta) + (1 - \varepsilon(\theta)) \tau_{s}^{\uparrow}(\theta) \int_{\tau_{0}^{\downarrow}(\vartheta^{*})}^{1} B[\mathbf{T}(\mathbf{p})] d\tau^{\downarrow}(\mathbf{p},\vartheta^{*}) + \xi$$

- Radiative transfer in earth-atmosphere system with a reflecting surface is modeled
- Surface reflection is described by hemispherical directional effective emissivity for a effective angle of incidence
- Solution parameters include emissivity spectrum, surface temperature, atmospheric moisture and temperature profiles.
- Emissivity spectrum variation was parametrically defined (N=11) Atmospheric moisture profile variation was parametrically defined (N=17) Atmospheric temperature profile variation was parametrically defined (N=31) Problem dimensionality N=60
- Non-linear Fredholm equation of first kind is solved using method of least squares (regularized wrt atmospheric parameters) in coordinate descent on basis of a Gauss-Newton numerical schema.
- Number of analyzed spectral channels around 2100

Iterative algorithm of solution

$$\begin{split} \varepsilon^{(0)} &= 0.92 \quad , \quad T_{s}^{(0)} = \overline{T}_{s} \quad , \quad T(p)^{(0)} = \overline{T}(p) \quad , \quad W(p)^{(0)} = \overline{W}(p) \\ \varepsilon^{(n+1)} &= \arg\min_{\varepsilon} \quad \left\| \tilde{J} - J[\varepsilon, T_{s}^{(n)}, T(p)^{(n)}, W(p)^{(n)}] \right\|_{D^{-1}}^{2} \\ \varepsilon \in [\ 0.6 \quad , \ 0.985] \qquad \qquad (I) \\ \varepsilon \in [\ 0.6 \quad , \ 0.985] \qquad \qquad \qquad (I) \\ x_{s}^{(n+1)} &= \arg\min_{x_{s}} \quad \left\| \tilde{J} - J[\varepsilon^{(n+1)}, T_{s}^{(n)} + x_{s}, T(p)^{(n)}, W(p)^{(n)}] \right\|_{D^{-1}}^{2} \\ T_{s}^{(n+1)} &= T_{s}^{(n)} + x_{s}^{(n+1)} \\ \left\| \tilde{J} - J[\varepsilon^{(n+1)}, T_{s}^{(n+1)}, T(p)^{(n)} + x(p), W(p)^{(n)} + w(p)] \right\|_{S^{-1}}^{2} \\ &+ \left\| T(p)^{(n)} + x(p) - \overline{T}(p) \right\|_{R_{s}^{-1}}^{2} + \left\| W(p)^{(n)} + w(p) - \overline{W}(p) \right\|_{R_{s}^{-1}}^{2} \\ T(p)^{(n+1)} &= T(p)^{(n)} + x(p)^{(n+1)} \quad , \quad W(p)^{(n+1)} = W(p)^{(n)} + w(p)^{(n+1)} \end{split}$$

$$n \coloneqq n+1$$
 for $\left\|\tilde{J} - J[\varepsilon^{(n+1)}, T_{s}^{(n+1)}, T(p)^{(n+1)}, W(p)^{(n+1)}\right\|_{S^{-1}}^{2} > Sp(D^{-1}S)$

Radiance response [%] to emissivity variation (.94 - .96)

Example of spatial (latitudinal) crossection of emissivity estimates over North Africa (Sahara)

Example of emissivity spatial crossection over North Africa (Sahara)

Laboratory measurements of surface reflection: SAND

Laboratory measurements of surface reflection: BASALT

Laboratory measurements of surface reflection: GRANITE

Laboratory measurements of surface reflection: SOIL

Ch 770 at 911.23 [1/cm]

Spatial distribution of emissivity estimate Ch 770 (911.23cm⁻¹ 0.77)

Ch 977 at 990.34 [1/cm]

Spatial distribution of emissivity estimate Ch 977 (990.34cm⁻⁺ 0.83)

Ch 1246 at 1127.99 [1/cm]

Spatial distribution of emissivity estimate Ch 1246 (1127.99cm⁻¹ 0.89)

Ch 1297 at 1234.45 [1/cm]

Spatial distribution of emissivity estimate Ch 1297 (1234.45cm⁻¹ 0.76)

Ch 2197 at 2500.6 [1/cm]

Spatial distribution of SW emissivity estimate Ch 2197 (2500.6 cm⁻¹ 0.87)

Ch 2333 at 2616.38 [1/cm]

Spatial distribution of emissivity estimate Ch 2333 (2616.38cm⁻¹ 0.93)

Spatial distribution of surface temperature estimate [K]

Spatial distribution of surface temperature estimate

Spatial distribution of surface temperature first guess [K]

Spatial distribution of moisture [%] at 300mb

estimate & first guess

Moisture [%] (S+FG) at 300mb

Spatial distribution of moisture [%] at 300mb

first guess

Spatial distribution of moisture [%] at 500mb

estimate & first guess

Moisture (S+FG) at 500mb

Spatial distribution of moisture [%] at 500mb

first guess

Moisture (FG) at 500mb

Statistics of residuals [K] (a) - over Land, (b) - over Ocean

Conclusion: Analysis of measurements show that:

The spatial smoothing technique is effective for filtering the SW spatial component of measurement errors; smoothed spatial fields of radiances correspond better to the spatial properties of the desired spatial fields of atmospheric temperature-moisture profiles.

Non-blackbody surface emissivity significantly weakens the radiance signal and has strong influence on lower tropospheric temperature and moisture retrievals

 $\epsilon_{IR}(sfc)$ presents strong spectral and spatial variability over land surfaces;

Solutions with $\varepsilon_{IR}(sfc)$ consideration are improving the vertical-horizontal spatial structure of atmospheric temperature-moisture estimates

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