



SSMIS Upper Atmosphere Radiance Assimilation: Preprocessing Requirements and Preliminary Results



Steve Swadley², Nancy Baker¹, Gene Poe¹ Karl Hoppel³, Yong Han⁴, William Bell^{5,6}, Sana Mahmood⁵

¹Naval Research Laboratory, Monterey, CA, ²METOC Consulting, ³Naval Research Laboratory, DC, ⁴JCSDA, DC, ⁵The Met Office, Exeter, UK, ⁶ECMWF, Reading, UK

Objectives

Assess the value of the SSMIS Upper Atmosphere Sounding (UAS) radiance observations in support of the ongoing development of the Navy's high-altitude global model (NOGAPS-ALPHA*). The model includes the atmosphere from the ground to the lower thermosphere (~130 km), integrating state-of-the-art developments in high-altitude weather and climate monitoring. The development also requires extending the NRL's 4D-VAR data assimilation system (NAVDAS-AR*) to 100 km by modifying the background error structure functions (correlations) and error variances

NOGAPS: Navy Operational Global Atmospheric Prediction System NOGAPS-ALPHA: NOGAPS Advanced Level Physics, High Altitude NAVDAS: NRL Atmospheric Variational Data Assimilation System (3DVAR) NAVDAS-AR: NRL Atmospheric Variational Data Assimilation System (4DVAR)

NOGAPS-ALPHA backgrounds utilized NAVDAS to assimilate temperature retrievals from NASA's SABER and MLS research instruments between 32 and 0.01 hPa.

Data Assimilation Basics

In an operational NWP model, data assimilation is used to incorporate real-world observations. The goal of data assimilation is to give the best estimate (analysis) of atmospheric state for the NWP initial conditions by combining forecast model fields (background) and observations.

We minimize a penalty function

$$\begin{array}{c} \text{operator} & \text{variables} \\ J(x) = \left(y - \mathcal{H}(x)\right)^T R^{-1} (y - \mathcal{H}(x)) + \left(x - x_b\right)^T P_b^{-1} (x - x_b) \\ \text{observations} & \text{error} \\ \text{covariance} \\ \end{array}$$

This is an optimal estimation problem constrained by the error covariance matrices of the background and the observations. The solution is:

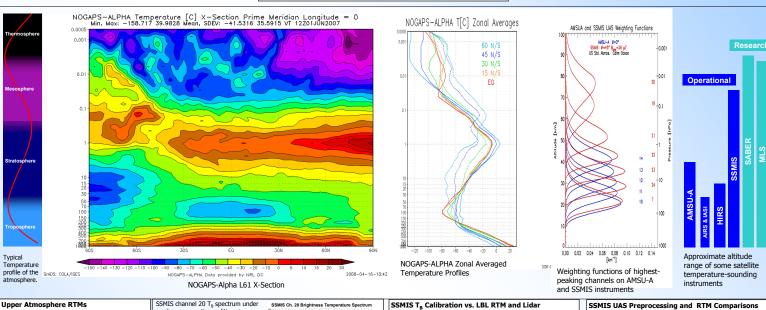
$$\vec{x}_a - \vec{x}_b = \mathbf{P_b} \mathbf{H}^T \Big(\mathbf{H} \mathbf{P_b} \mathbf{H}^T + \mathbf{R} \Big)^{-1} \Big(\vec{y} - \mathcal{H}(\vec{x}) \Big)$$
correction innovation

Upper Atmosphere Sounding Instruments

Temperature sounding data used operationally at NWP centers include both microwave (AMSU) and infrared sounders (HIRS, AIRS, and IASI). The effective up to about 1 mb (40 km). The recently launched EUMETSAT METOP satellite has AMSU, HIRS and IASI (infrared) sensors.

Other satellite instruments that measure the temperature of the stratosphere and mesosphere include:

- DMSP SSMIS includes Upper Air Sounding (UAS) channels in the 60 GHz oxygen absorption band which extend the range of downward-viewing microwave radiometers to around 85 km altitude. SSMIS is an operational sensor and data are available in real-time.
- NASA's IR and microwave limb sounders, SABER and MLS, sample the atmosphere from about 10 km to 100 km with high vertical resolution (but poorer horizontal resolution). SABER and MLS are research sensors and data are not available in real-time for operational NWP applications



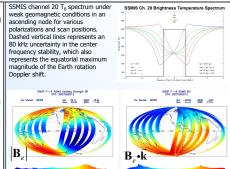
Data assimilation requires both the forward RTM and its adjoint (Jacobian).

Forward RTM computes brightness temperatures from the model background model fields and geomagnetic field parameters with respect to the SSMIS viewing angle.

The Jacobian maps differences between the observed and background brightness temperatures (i.e., innovation) back to changes in the background temperature profiles (i.e., the

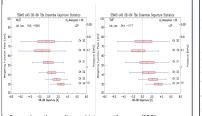
Operational data assimilation requires a fast and accurate RTM and adjoint -- 6 hours of satellite radiances in under 5 minutes

The fully polarized NRL line-by-line model is computationally intensive. Plans are to use the Community Radiative Transfer Model including the Zeeman parameterization (CRTM-Z). developed by the Joint Center for Satellite Data



Geomagnetic field **B**_e (left) and **B**_e·**k** (right) for SSMIS observation locations.

- Compared T_B from line-by-line (LBL) RTM using coincident Lidar profiles from Table Mountain, CA and Mauna Loa, HI, merged with (ECMWF and COSPAR) for all scenes within spatial and temporal matchup criteria (150 km and ±1.5 h)
- SSMIS Observed $|\mathbf{B}_{\mathbf{e}}|$, $\mathbf{B}_{\mathbf{e}}$, \mathbf{k} and $\theta_{\mathbf{g}}$ used for each scene in RTM Compare to observed SSMIS TBs (SDR) SSMIS Observations Agreed within Calibration Uncertainties



Boxes show the median and interquartile range (IQR). Whiskers show range of data out to 1.5 times the IQR range.

SSMIS UAS Preprocessing and RTM Comparisons (LBL vs. CRTM-Z)

- Global Simulations using NOGAPS-ALPHA and CIRA-86 Climatology - NOGAPS-ALPHA extends to 0.0005 hPa (~95 km)
- CIRA-86 Climatology extends T(p,z) to 100 km

 NRL LBL RTM vs Fast Model with Zeeman Effects Included

 CRTM-Z compares to LBL within 1.0 K in the mean
- NRL LBL -- 6 Hours of CPU time per SSMIS rev CRTM-Z -- under 30 seconds per SSMIS rev
- NRL LBL explicitly calculates Zeeman effect on TBs
- CRTM-Z Zeeman effects use regression based predictors:
- $|\mathbf{B}_{e}|$, \mathbf{B}_{e} 'k and $\cos(\theta_{B})$
- Integer powers (-2, -1, 2, 3) of |B_a|, B_a·k and cos(θ_B) – Integer powers (-2, -1, 2, 3) of $|\textbf{B}_e|$, \textbf{B}_e 'k and $\cos(\theta_B)$ Global Simulations and Radiance Assimilation of SSMIS UAS data now possible with CRTM-Z

- now possible with CRTM-Z

 Software has been developed to compute propagation vector from
 the TDR file and extract geomagnetic field components from SSMIS
 data base files (geomag_db)

 Doppler shift due to spacecraft motion is compensated to first
 order by Local Oscillator tuning in the hardware based upon scan
 position to within 0.75 to 15 kHz (a small fraction of narrowest UAS
 sideband, 1.3 MHz)

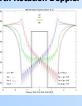
 Doppler shift due to Earth rotation has a maximum magnitude of
 -80 kHz at the equator and is an odd function of the scan position.
 The sign of the shift also depends upon the orbital mode
 (ascendino/descendino) (ascending/descending)

Upper atmosphere radiative transfer (RT) calculations require anisotropic polarized radiative transfer to resolve Zeeman splitting due to the interactions of the directional geomagnetic field and the permanent dipole moment of the O2 molecule. Importance if the Earth Rotation Doppler on Circular Polarized SSMIS UAS TBs

Interaction of O₂ absorption spectrum with geomagnetic field (B_e) leads to Zeeman splitting of absorption lines. Important for upper atmosphere remote sensing (above ~40 km) within the microwave oxygen spectrum

ullet Leads to a shift in peaks of the weighting functions depending on the strength and orientation of $B_{
m e}$

Simulated Brightness Temperature Spectrum for SSMIS Ch 20 for a strong magnetic field case across the channel passband for beam positions 1, 15, and 30 (left, center positions 1, 1.5, and 30 (left, center and right of scan). The slope of the spectrum across passband denotes the greater sensitivity of LCP and RCP to small frequency shifts compared to the $(\overline{\tau}_i + \overline{\tau}_{ij})/2$ measurement originally planned for the SSMIS. The dashed line about the passband (black pertangle) the passband (black rectangle) represents an uncertainty of 80 KHz about the center frequency



NRL LBL with Earth Rotation Doppler Shift vs. CRTM-Z without Earth Rotation Doppler and properly parameterizing the Zeeman effects and orientation of the Earth's magnetic field.





without Earth Rotation Doppler Shift for ascending revs of SSMIS Ch 20 over the western pacific. Differences indicate the importance of including both the Earth Rotation Doppler Shift in the RTM calculations

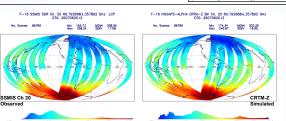
Future Work

Zeeman Effect

- Further validation of JCSDA CRTM-Z to determine the importance of including the Earth rotation Doppler effects into RTM
- Develop methodology to assimilate the SSMIS UAS TBs into NOGAPS-ALPHA using CRTM-Z simulations
 Develop and validate NAVDAS-AR assimilation of SSMIS radiances for upper atmospheric analysis and modeling
 Develop and validate NAVDAS-AR assimilation of AIRS, HIRS, and IASI radiances for upper atmospheric analysis and

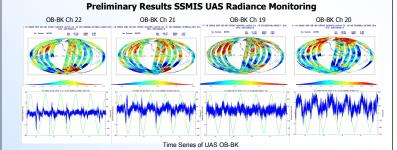
Acknowledgements

This work was partially funded by the Office of Naval Research. The efforts of the SSMIS Cal/Val team were performed under support from the DMSP and Navy PMW-180. SSMIS data were provided by the Fleet Numerical Meteorology and Oceanography Center (FNMOC). European Centre for Medium Range Weather Forecasts (ECMWF) provided high quality atmospheric analyses that proved invaluable to the Cal/Val efforts. Lidar temperature profiles from Table Mountain and Mauna Loa were provided by 1.5. McDermid and T. Leblanc of the Table Mountain Facility, Jet Propulsion Laboratory, California Institute of Technology under an agreement with the SSMIS Cal/Val



SSMIS UAS Radiance Assimilation Requirements

- 1) NWP Model T(p) to 100 km
- 2) Fast Radiative Transfer Model including Zeeman Splitting effects
- Geomagnetic Field and Orientation with SSMIS Viewing Geometry



International TOVS Study Conference, 16th, ITSC-16, Angra dos Reis, Brazil, 7-13 May 2008. Madison, WI, University of Wisconsin-Madison, Space Science and Engineering Center, Cooperative Institute for Meteorological Satellite Studies, 2008.