

THE REMOTE SOUNDING OF TROPOSPHERIC TRACE GASES BY THE ABSORPTION METHOD

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ABSTRACT

A method is shown for remotely sounding the tropospheric constituents from satellite, where the visible and near infrared solar radiation in the sunglint region is measured with tunable etalons and very narrow band pass filters which contain only one absorption line of trace gas concerned. The mathematical procedure to retrieve the vertical profiles of constituents and the vertical resolution of the system is shown. To study the feasibility of this method a ground based instrument has been developed and some results of remote measurements of water vapor are presented. The accuracy of the total column amount of water vapor is a few percent.

I. INTRODUCTION

The global monitoring of the trace gas emission and deposition is important to measure the effect of human activities on global warming since the uncertainty of the estimate of the budget of such gases in the atmosphere is very large (Houghton et al., 1992). The experience of the global measurements of the tropospheric trace gases by the satellite is quite few compared to that of the stratosphere. The remote sounding of CO has been made by MAPS (Measurement of Air Pollution from Satellite) on board the space shuttle, by measuring the infrared radiation with using the gas filter. The accuracy has been estimated to be an order of 20 percent (Reiche et al., 1982). Since 1978 the TIROS-N satellite series has operationally been obtaining the water vapor vertical profile by measuring the infrared emission from the atmosphere with a filter type radiometer. The error is more than 20 percent near the ground and worse in the higher altitude (Timchak, 1986). In the future satellite program some new types of instrument such as the Fourier transform spectrometer and the grating spectrometer are proposed for remote sounding the tropospheric trace gases (Chedin et al., 1993).

All of these are based on the measurement of thermal emission from the atmosphere. In this paper we show another method for the remotely sounding the tropospheric minor constituents. It measures the visible and near infrared solar radiation in the sun glint region with high spectral resolution of about $0.05 \sim 0.1 \text{ cm}^{-1}$. From this measurement we know the absorption of the solar radiation due to the atmospheric constituents. This is the reason that we call the present method as the absorption method. The high spectral measurements of an absorption line is performed with using the tunable etalons where the separation of two etalon plates are changed with piezo electric elements (Aoki, 1989; Aoki Ta. et al, 1993). This sensor, TERSE (Tunable Etalon Remote Sounder of the Earth), measures H₂O, CO₂, CH₄, N₂O, CO, HDO, aerosol and surface pressure. Recently, a ground based instrument has been developed and some results of measurements are shown in this paper.

II. RETRIEVAL ALGORITHM

The signal output of the sensor at the i -th sampling during a scan of the etalon plate is written in the form (Aoki Ta. et al., 1993):

$$V_i = C \int_{\nu_1}^{\nu_2} d\nu \phi_{FP}(\nu, t_i) \phi_B(\nu) [I_{refl}(\nu) + I_{path}(\nu)], \quad (1)$$

where C is the constant, ν is the wavenumber, ϕ_{FP} is the transmission function of the tunable etalon, ϕ_B is the transmission function of the narrow band pass filter, ν_1 and ν_2 are the lower and upper limits of the function ϕ_B , respectively. I_{refl} is the radiance reflected at the surface and I_{path} is that scattered by the atmosphere.

In the near infrared region the path radiance I_{path} is one order of magnitude less than I_{refl} over the Lambertian surface whose albedo is about 0.05 when there is no absorption. However, to minimize the effect of the path radiance it is better to use the sun glint. The intensity of sun glitter radiance that is reflected into the view angle of about 0.5 degree is the order of $10^{-3} \sim 10^{-5}$ of the incident radiation (Plass et al., 1977; Guinn, et al., 1979). This is 100 \sim 1 times larger than that over the Lambertian surface of 100 percent albedo. Thus in case of sun glint I_{path} can be neglected compared to I_{refl} . Otherwise it can be taken into account based on, e.g., climatological values of aerosol distribution.

For an ideal etalon ϕ_{FP} is given by the Airy function

$$\phi_{FP}(\nu, t) = \frac{(1 - r_{FP} - A_{FP})^2}{1 - 2r_{FP} \cos(2\pi\nu t) + r_{FP}^2}, \quad (2)$$

$$t = 2n_{FP}\delta \cos \gamma, \quad (3)$$

where A_{FP} is the absorptance of the etalon, r_{FP} is the reflectance of the surface of the etalon plate and n_{FP} is the refractive index of the medium between the etalon plates. δ is the spacing between the two etalon plates and γ is the incident angle of the light. In this study δ is changed by changing the voltage to be applied to the piezo elements.

The transmission function for the absorption due to molecules in a slant path is given by

$$\tau(\nu, \theta) = \exp\left[-\mu \int_0^\infty \sum_g k_{\nu,g}(z) \rho_g(z) dz\right], \quad (4)$$

$$\mu = \frac{1}{\cos \theta}, \quad (5)$$

where θ is the zenith angle of the slant path, g stands for the species of the molecule, k is the absorption coefficient and ρ is the density.

We define a nondimensional quantity of the output signal for the i -th sampling by

$$F_i = \frac{V_i}{V}. \quad (6)$$

For the normalization factor, \bar{V} , we take an average of measurements:

$$\bar{V} = \frac{1}{M} \sum_{i=1}^M V_i, \quad (7)$$

where M is the total number of the samples. It should be noted that F_i does not contain the constant C appeared in (1). This means that the absolute measurement of the intensity of radiation is not necessary in this method.

By expanding F_i around an initial guess we obtain

$$F_i(\delta_i) = F_i^\circ(\delta_i) + \sum_{j=1}^{N_0} \frac{\partial F_i}{\partial x_j} x_j, \quad (8)$$

$$y_i = F_{i,obs} - F_i^\circ = \sum_j K_{ij} x_j, \quad (9)$$

$$K_{ij} = \frac{\partial F_i}{\partial x_j}, \quad (10)$$

where F° is the F theoretically calculated under the condition of the initial guess. x is the unknown parameter to be determined and the density of the j -th layer is given by

$$\rho_j = \rho_j^\circ(1 + \sigma_j x_j), \quad (11)$$

where ρ_j° is the initial guess value for ρ_j and σ_j is the standard deviation of the error in initial guess, which was given artificially in the present work. This formula was used for making every x to be the same order. The unknown parameters are iteratively determined with the use of the maximum likelihood solution (Rodgers, 1976):

$$X_{(n+1)} = [S_x^{-1} + K_{(n)}^t S_\epsilon^{-1} K_{(n)}]^{-1} K_{(n)}^t \times S_\epsilon^{-1} [Y - Y_{(n)} + K_{(n)} X_{(n)}], \quad (12)$$

where $X_{(n)}$ is the vector of x at the n -th step of the iteration, S_x is the covariance matrix of X , $K_{(n)}$ is the K appeared in (12) at the n -th step and S_ϵ is the covariance matrix of the measurement error.

The following quantity R is frequently used to get some idea of the vertical resolution of the measurement system concerned:

$$R = [S_x^{-1} + K^t S_\epsilon^{-1} K]^{-1} K^t S_\epsilon^{-1} K \quad (13)$$

Fig.1 shows sensitivity function K for some of samplings used to measure the carbon dioxide. K varies with the etalon plate spacing which changes with the electric voltage applied to the piezo elements. The different value of etalon plate spacing makes transmission lines of etalon at different wavenumber and so K . Fig.2 is the height resolution function defined by (13). The species that TERSE will measure are summarized in Table 1.

Table 1 Band center and species that will be obtained by TERSE.

BAND NO.	WAVENUMBER	SPECIES OBSERVED
1	13142	AEROSOL, CLOUD
2	13010	AEROSOL, CLOUD
3	7963	AEROSOL, CLOUD
4	7882	SURFACE PRESSURE
5	8112	H ₂ O
6	7659	H ₂ O
7	6237	CO ₂
8	6057	CH ₄
9	4426	N ₂ O
10	4227	CO
11	4100	HDO

III. GROUND BASED MEASUREMENTS

To examine the performance of the algorithm a ground based instrument has been developed for water vapor soundings. The block diagram of this instrument is shown in Fig.3. Since in this case we observe the direct solar radiation, I_{refl} in (1) becomes (Aoki Ta. et al., 1993),

$$I_{direct}(\nu) = I^{\odot} \phi^{\odot}(\nu) \Omega \tau, \quad (14)$$

where $I^{\odot} \phi^{\odot}(\nu)$ is the extraterrestrial solar radiation, which may have a strong wavenumber dependence in the range between ν_1 and ν_2 due to absorption by the solar atmosphere. We assume that the absorption due to the solar atmosphere is well known so that we can give the extraterrestrial solar radiation with a constant I^{\odot} and a known function $\phi^{\odot}(\nu)$. Ω is the solid angle of the field of view of the sensor. In the present study the view angle is 0.19 degrees.

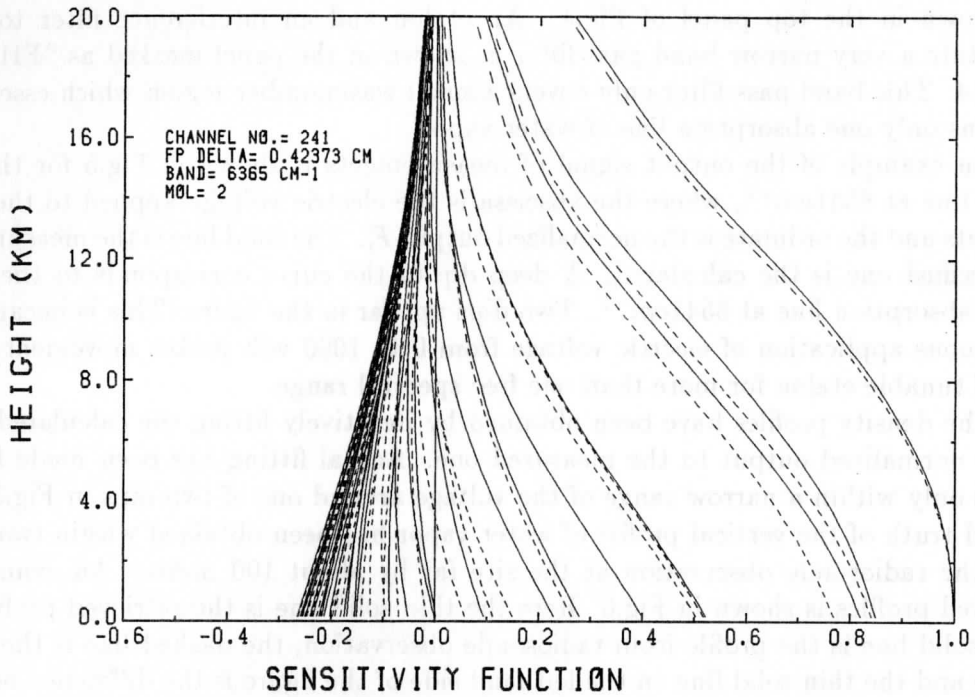


Fig.1 An example of sensitivity function for carbon dioxide sounding by TERSE. The solid and dashed lines are alternately used for easy look.

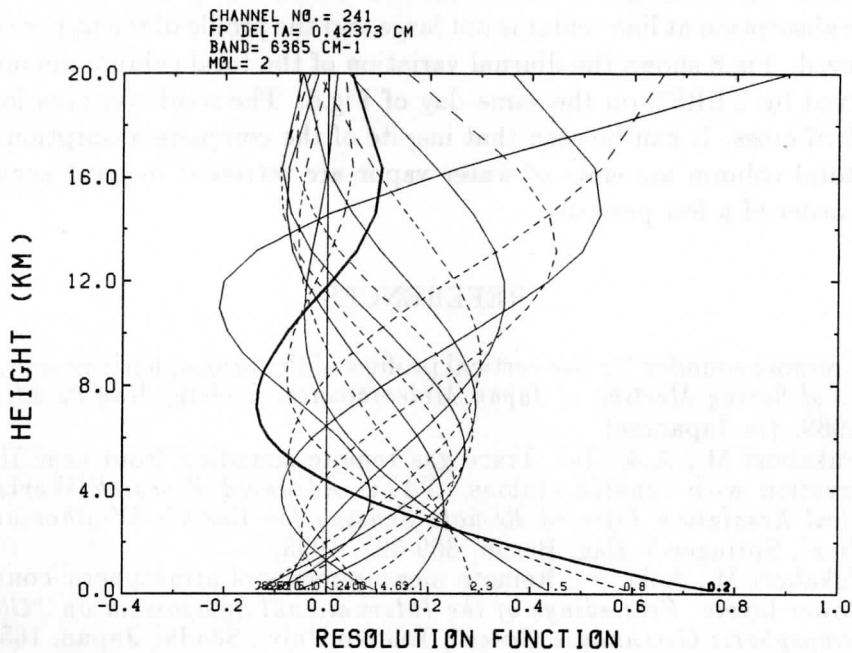


Fig.2 Height resolution function for the sensitivity function shown in Fig.1.

The transmittance spectra of the tunable etalon for some of the etalon plate spacing are shown in the top panel of Fig.4. An etalon and an interference filter together constitute a very narrow band pass filter as shown in the panel marked as "FILTER" in Fig.4. This band pass filter only covers a small wavenumber region which essentially contains only one absorption line of water vapor.

An example of the output signal of measurements is shown in Fig.5 for the case of the line at 6541cm^{-1} , where the abscissa is the electric voltage applied to the piezo elements and the ordinate is the normalized output F_i . The solid line is the measurement and dashed one is the calculated. A deep dip of the curve corresponds to the water vapor absorption line at 6541cm^{-1} . Two dips appear in the figure. This is because the continuous application of electric voltage from 0 to 1000 volt makes movement of the line of tunable etalon for more than one free spectral range.

The density profiles have been obtained by iteratively fitting the calculated curve of the normalized output to the measured one. Actual fitting has been made for the curves only within a narrow range of the voltage around one of two dips in Fig.5. The ground truth of the vertical profile of water vapor has been obtained within two hours from the radiosonde observation at the site far by about 100 meter. An example of retrieved profiles is shown in Fig.6. Here the thin solid line is the retrieved profile, the thick solid line is the profile from radiosonde observation, the dashed line is the initial profile and the thin solid line on the lefthand side of the figure is the difference between the retrieved and sonde observed profiles. It can be seen that the profile in the upper layers does not move greatly from the initial value. This is because the region around the absorption line center, where the information of the upper atmospheric layers is much involved, is completely absorbed and so it does not bring the information of the upper layers. To improve this we have to choose a line of weak line intensity.

Fig.7 is another example of measurement made in the Taklimakan Desert in China, where the water vapor amount is small (Aoki Te. et al., 1993). As seen in the figure, in this case the absorption at line center is not large and the profile of the upper troposphere is well retrieved. Fig.8 shows the diurnal variation of the total column amount of water vapor observed by TERSE on the same day of Fig.6. The sonde observation is shown by the mark of cross. It can be seen that inspite of the complete absorption at the line center the total column amounts of water vapor are retrieved in good accuracy. The error is the order of a few percent.

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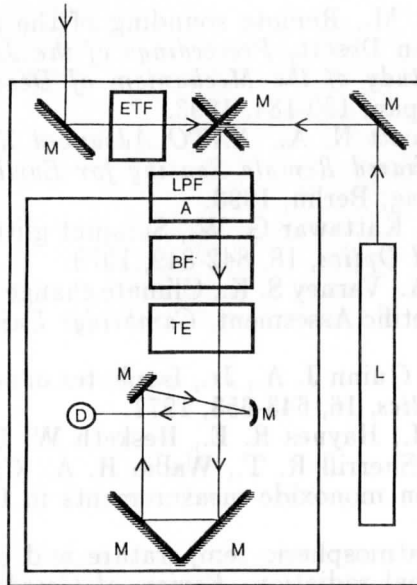


Fig.3 Block diagram of ground-based TERSE, where ETF is the etalon, BF is the interference filter, TE is the tunable etalon and L is the HeNe laser.

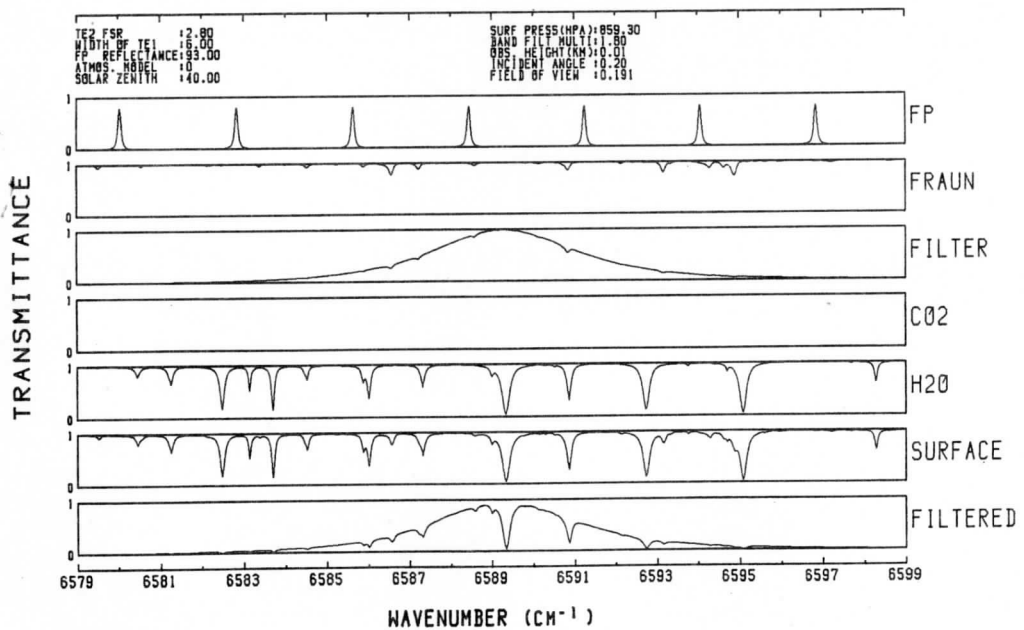


Fig.4 Spectra of the wavenumber region for the H₂O sounding. The lowest two panels are the transmittance of the column atmosphere (the bottom panel is the filtered), the next two are those of the H₂O and CO₂, respectively. The panel signed as "FILTER" is the transmittance of the band pass filter. The next panel is the relative intensity of the extraterrestrial solar radiation and the top panel is that of the tunable etalon for some value of etalon plate separation.

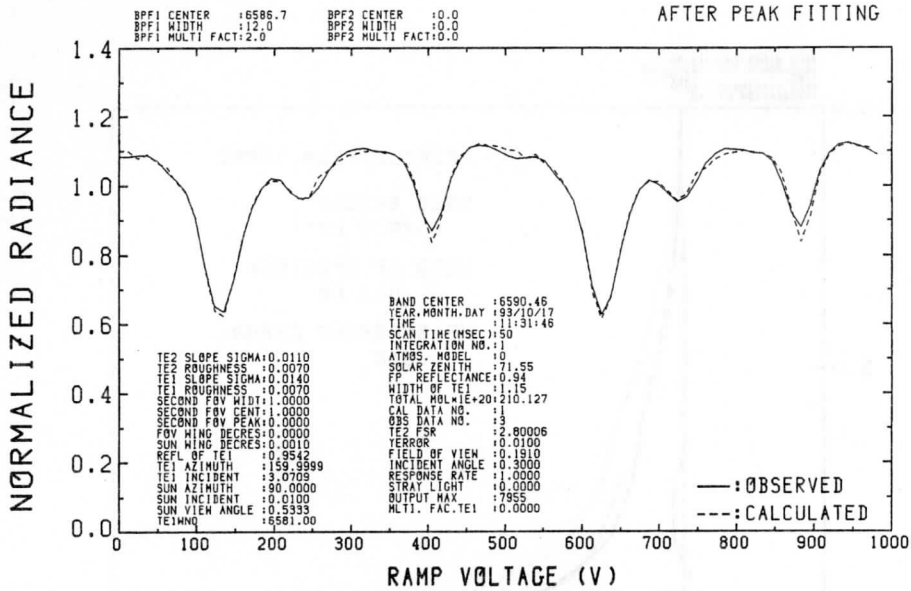


Fig.5 An example of the normalized output of measurement (solid line) with TERSE and calculated one (dashed line). The abscissa is the electric voltage given to the piezo element of the tunable etalon.

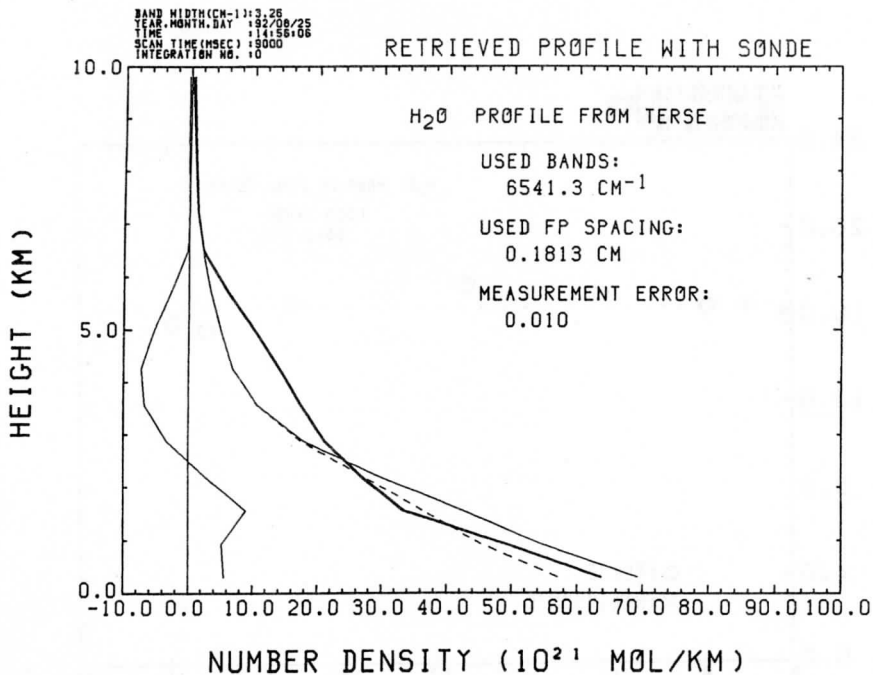


Fig.6 Comparison between retrieved vertical profile of water vapor (thin solid line) and sonde observation (thick solid line). The dashed line is the initial profile and the curve on the left hand side is the difference between retrieved and sonde observed profiles. The measurements were made at Tsukuba, Japan.

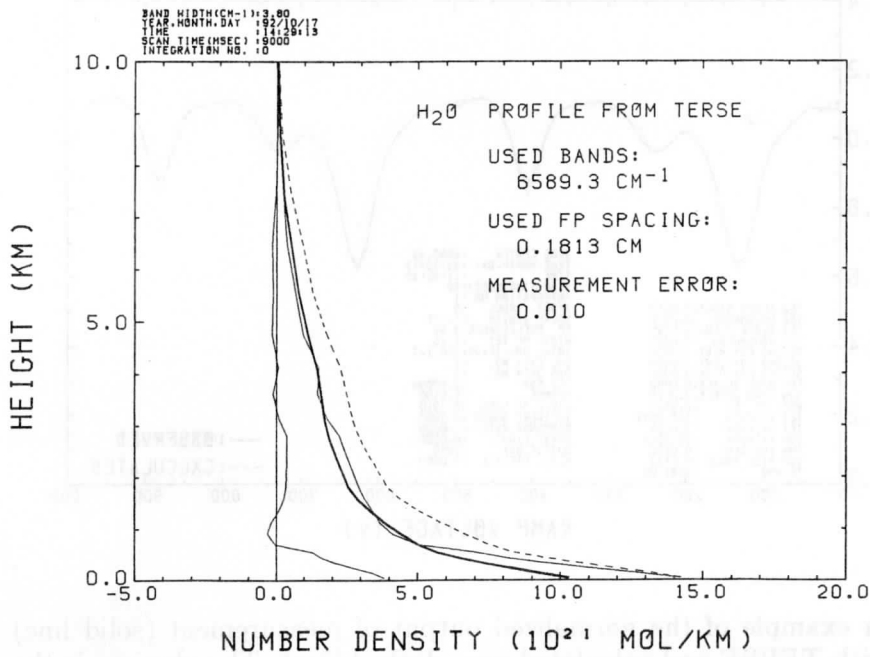


Fig.7 As in Fig.6 except for observation at Taklimakan Desert in China.

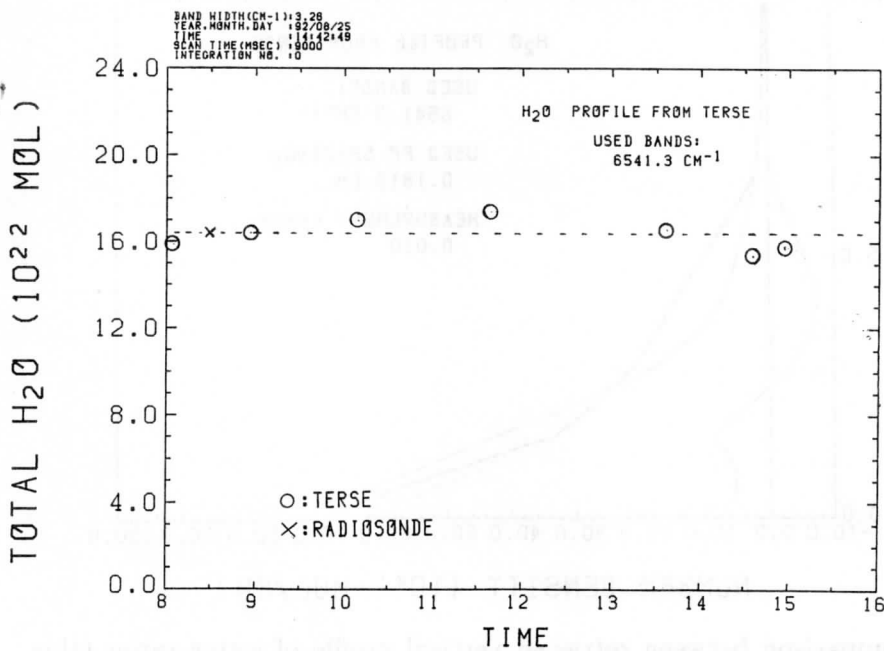


Fig.8 Diurnal variation of the total column amount of water vapor measured by TERSE.

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