

1 Forecast Impact of Assimilating Aircraft WVSS-II Water Vapor Mixing Ratio  
2 Observations in the Global Data Assimilation System (GDAS)

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21 **Abstract**

22 Automated aircraft observations of wind and temperature have demonstrated  
23 positive impact on numerical weather prediction since the mid 1980s. With the advent  
24 of the WVSS-II humidity sensor, the expanding fleet of commercial aircraft with on-  
25 board automated sensors is also capable of delivering high-quality moisture observations,  
26 providing vertical profiles of moisture as aircraft ascend out of and descend into airports  
27 across the continental United States. Observations from the WVSS-II have to-date only  
28 been monitored within the Global Data Assimilation System (GDAS) without being  
29 assimilated.

30 In this study, aircraft moisture observations from the WVSS-II are assimilated in  
31 the GDAS, and their impact is assessed in the Global Forecast System (GFS). A two-  
32 season study is performed, demonstrating statistically significant positive impact on both  
33 the moisture forecast and the precipitation forecast at short-range (12-36 hours) in the  
34 warm season. No statistically significant impact is observed in the cold season.

35 An additional experiment is carried out to investigate if aircraft observations can  
36 completely replace rawinsonde observations where aircraft typically provide vertical  
37 profiles throughout the day. Results are mixed, leaving the impression that aircraft are  
38 currently not capable of routinely replacing rawinsonde observations, although available  
39 evidence suggests that profiles from aircraft observations can effectively remove the  
40 impact of a rawinsonde observation if aircraft observations are present in large enough  
41 numbers, leaving open the possibility for routine replacement of rawinsondes by aircraft  
42 observations in the future when aircraft observations become more numerous. These

43 results may also have relevance to the deployment of supplementary, off-time  
44 rawinsondes.

45

## 46 **1. Introduction**

47 Automated observations of wind and temperature from commercial aircraft have  
48 become a significant source of observations, especially since the establishment of the  
49 Meteorological Data Collection and Reporting System (MDCRS; Petersen et al. 1992).  
50 Today, 39 participating airlines deploy more than 3500 aircraft under the World  
51 Meteorological Organization's broader Aircraft Meteorological Data Relay (AMDAR)  
52 program, delivering more than 680,000 wind and temperature reports daily (Petersen et  
53 al. 2015).

54 Aircraft wind and temperature observations have demonstrated positive impact on  
55 numerical weather prediction since the mid 1980s when aircraft data became available in  
56 significant numbers (Moninger et al. 2003). Data denial experiments in the Rapid Update  
57 Cycle model (RUC, replaced by the Rapid Refresh (RAP) model in 2012; Benjamin et al.  
58 2010) demonstrate that aircraft data is the most important dataset over the continental  
59 United States for 3-6 hour forecasts as well as 12-hour forecasts of upper tropospheric  
60 winds. Assimilation of wind, temperature, and moisture observations from Tropospheric  
61 AMDAR (TAMDAR) observations in the RUC demonstrate positive impact on wind,  
62 temperature, and moisture fields for the 3-hour forecast (Moninger et al. 2010). Impact  
63 tests in the European Centre for Medium-range Weather Forecasts (ECMWF) global  
64 forecast system demonstrate positive impact at 48 hours over the North Pacific, North  
65 America, North Atlantic, and Europe when assimilating aircraft wind and temperature

66 observations (Andersson et al. 2005). An experimental ensemble-based observation  
67 impact system used with the NCEP GFS demonstrated that aircraft wind and temperature  
68 observations supply the largest per-observation impact of any in-situ observation type on  
69 the 24-hr forecast error, even surpassing rawinsonde observations (Ota et al. 2013).

70 Early attempts to derive automated moisture observations from aircraft sensors  
71 included the Water Vapor Sensing System (WVSS), which used a thin-film capacitor to  
72 measure relative humidity (RH; Fleming 1996). Tests of the device indicated a wet bias  
73 at high RH values and a dry bias at low RH values (Fleming 1998). In addition, biases in  
74 AMDAR temperature reports made it difficult to retrieve precise values of moisture  
75 variables, such as specific humidity (SH). The WVSS-II sensor was redesigned to use a  
76 tunable diode laser to measure water vapor content via infrared absorption spectroscopy,  
77 determining the water vapor content of sampled air from the measured transmittance of  
78 the laser across the air tube (Helms et al. 2010). Version 3 of the WVSS-II was  
79 developed in 2008, and performed well under most test conditions and eliminated  
80 technical issues with seals and thermal control that plagued the earlier versions of the  
81 design. The WVSS-II\_(v3) is the device currently on board over 100 aircraft, routinely  
82 producing approximately 100,000 moisture observations daily over the continental US  
83 (Petersen et al. 2015).

84 WVSS-II moisture observations from AMDAR have been assimilated into the  
85 NDAS since the 18 October 2011 upgrade<sup>2</sup>, which included substantial modification of  
86 the model grid, model physics, and data assimilation. However, these observations have  
87 only been monitored within the GDAS, passing through the data assimilation system and

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<sup>2</sup> <http://www.emc.ncep.noaa.gov/mmb/mmbpll/eric.html#TAB4>

88 being assigned an interpolated model background moisture value, but not actually being  
89 assimilated. It is the goal of this study to assimilate these moisture observations in the  
90 GDAS, evaluate their impact on the GFS forecast, and determine what level of  
91 redundancy may exist between aircraft observations and rawinsonde observations in  
92 locations where airports provide ascending and descending aircraft observation profiles  
93 near rawinsonde launch sites. Section 2 outlines the model setup and experiment design;  
94 section 3 describes the methodology for assessing forecast impact; the results are  
95 presented in section 4, and conclusions are provided in section 5.

96

## 97 **2. Model Setup and Experiment Design**

98 Observations are assimilated using the operational, hybrid ensemble/3DVAR  
99 formulation of the GDAS to produce 6-hourly analyses. Analyses are produced at T670  
100 resolution while using a set of 80 ensemble members at T254 resolution to define flow-  
101 dependent covariance (e.g. Wang et al. 2013). A 168-hr forecast is initiated from every  
102 0000 UTC analysis for the purposes of assessing impact on the short- to medium-range  
103 forecast.

104 The GDAS was cycled for a warm season (01 April 2014 – 29 May 2014) and a  
105 cold season (01 December 2014 – 11 January 2015) to examine the impact of assimilated  
106 aircraft moisture observations across seasons. Moisture observations from AMDAR  
107 were switched from a monitoring-mode to an assimilation-mode at the script level, with  
108 an observation error profile copied from the NDAS. No change to quality control was  
109 made to account for the new moisture observations, allowing the GDAS to apply its  
110 existing quality control algorithm to these observations. Aircraft moisture observations

111 are assimilated in SH space, rather than as a relative humidity measurement, which can  
112 be subject to significant effects from known biases in AMDAR temperature reports (Zhu  
113 et al. 2015). Each seasonal experiment was compared with a control that assimilated all  
114 observations except aircraft moisture observations. AMDAR wind and temperature  
115 observations are assimilated in both the experiment and control runs.

116 To test the redundancy of aircraft observations near rawinsondes, an additional  
117 warm season experiment was run. This experiment was identical to the moisture  
118 assimilation experiment already performed, except that rawinsondes at 10 selected sites  
119 in the continental US were deactivated in assimilation for all analysis periods, regardless  
120 of the aircraft observational coverage at any individual time. The choice of which sites to  
121 deactivate was based on the coverage of the rawinsonde site by aircraft moisture  
122 observations calculated from the original experiment (see Section 4c). This experiment  
123 was (referred to hereafter as the data-denial experiment) compared with the original  
124 experiment (referred to hereafter as the assimilation experiment) to determine the impact  
125 of the missing rawinsondes.

126

### 127 **3. Methodology**

128 Forecast impact was investigated in several ways. Observation-minus-  
129 background (OMB) statistics of assimilated moisture observations from both rawinsondes  
130 and nearby aircraft observations were compared to assess the data-quality of aircraft  
131 moisture observations relative to rawinsondes, an approach that is similar to that used in  
132 previous aircraft/rawinsonde collocation studies (e.g. Schwartz and Benjamin 1995). The

133 OMB statistics provide an evaluation of possible bias that may exist in the model  
134 background moisture field, as well as a measure of 6-hr forecast improvement.

135 Forecast performance in the shorter-range (1-2 days) is evaluated by examining  
136 the impact of assimilated observations on the Equitable Threat Score (ETS) and Bias  
137 Score<sup>3</sup> for precipitation in the 12-36 hour forecast. Forecast improvement or degradation  
138 is evaluated for statistical significance based on 10,000 Monte Carlo simulations.  
139 Although precipitation statistics are available for the 36-60 hour forecast range and the  
140 60-84 hour forecast range, focus is maintained on the 12-36 hour forecast, because this is  
141 the time period over which aircraft moisture observations had the greatest impact on the  
142 forecast.

143 Forecast performance in the mid-range (2-3 days) is evaluated by comparing  
144 forecast total-column precipitable water (TPW) against TPW observed by Global  
145 Positioning Satellite (GPS) signals (e.g. Duan et al. 1996) available from Earth System  
146 Research Laboratory (ESRL). Unlike precipitation statistics that focus on the impact of  
147 moisture observations as the model approaches saturation, TPW comparisons provide a  
148 good means of evaluating the vertically integrated effect of AMDAR moisture reports  
149 throughout the full range of humidity. Errors from GPS-TPW have been shown to be less  
150 than 1 mm when compared to ground based Microwave Radiometer observations during  
151 the Measurements of Humidity in the Atmosphere and Validation Experiment (Leblanc et al.  
152 2011) in California and at the Atmospheric Radiation Measurement (ARM) program  
153 (Dworak and Petersen, 2013) in Oklahoma. Furthermore, positive impacts on RUC

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<sup>3</sup> Bias in the NCEP precipitation statistics is calculated as the ratio of the number of verification grid-boxes that are forecast to have precipitation in a given range (mm day<sup>-1</sup>) to the number of grid-boxes where that amount of precipitation actually occurred.

154 forecasts out to 12 hours have been observed with the assimilation of GPS TPW data  
155 (Gutman et al 2004, Smith et al. 2007). Forecast errors relative to GPS observations were  
156 computed in this study for every 6-hour forecast period out to 72 hours, and deviations  
157 from the error in the control forecast are evaluated for statistical significance using a  
158 student's t-test for mean error and bias, and a chi-squared test for random error (see  
159 Section 4b).

160 Two differences between precipitation skill scores and TPW fit-to-observation  
161 scores must be considered. First, GPS observational coverage is more comprehensive  
162 spatially and temporally than precipitation data, which allows every forecast to be tested  
163 for accuracy at more locations than with the more sparse precipitation data. For example,  
164 the 12-36 hour forecast period over which the precipitation skill scores are presented is  
165 binned by precipitation amount, with the largest number of precipitation observations in  
166 the lowest-value bin. For the warm-season experiment, there are at least 42,057 data  
167 points used to determine ETS and Bias Scores when comparing the assimilation  
168 experiment and the data-denial experiment. By contrast, in the forecast fit-to-  
169 observations test, 69,071 observations were tested over the same forecast period, a 64%  
170 increase in available observations. This is due in part to the fact that TPW observations  
171 can exist where precipitation observations do not, sampling across the full spectrum of  
172 moisture values. There are over 400 active GPS-MET stations at any given observation  
173 period +/- 15 minutes from the hour, with high geographic density in California. Second,  
174 the forecast fit-to-observations test shows statistically significant degradation in the  
175 medium-range (72 hours), while statistically significant impact on precipitation scores



176 only extends to the 12-36 hour forecast. For these reasons, one could argue that the GPS-  
177 TPW tests are more comprehensive.

178

#### 179 **4. Results**

##### 180 *a) Impact of AMDAR moisture observations on rawinsonde moisture assimilation*

181 A particular interest in this study is to investigate the relationship between  
182 rawinsonde moisture observations and AMDAR moisture observations during  
183 assimilation, when both are available in the same location. It is desirable to know, for  
184 example, how rawinsonde and AMDAR moisture observations compare to the model  
185 background derived from the 6-hour forecast – a closer fit of observations to the 6-hour  
186 forecast following assimilation can indicate that the observations are high quality and  
187 improve the initial (analysis) state. Likewise, an improved fit of *rawinsonde* observations  
188 to the 6-hour forecast as a result of assimilating *AMDAR* observations can indicate better  
189 model performance, as this can be equivalently expressed as a closer fit of the 6-hour  
190 forecast to trusted observations. Lower OMB in general implies greater consistency of  
191 the observations with other observation data sources as well as with information from  
192 observations assimilated previously, contributing to the model background.

193 Mean profiles of OMB values of specific humidity are produced for rawinsonde  
194 observations without AMDAR moisture assimilation (from the control), rawinsonde  
195 observations with AMDAR moisture assimilation (from the assimilation experiment), and  
196 for AMDAR observations when they are assimilated (Fig. 1). Profiles are produced at  
197 each rawinsonde site, averaging rawinsonde OMB scores within 25 equally-spaced  
198 pressure-layers between the highest recorded pressure and 300 hPa, which is the highest

199 level where moisture observations are assimilated. AMDAR OMB scores are likewise  
200 averaged within these pressure-layers using all AMDAR moisture observation within 1  
201 hour and 0.5 degrees of the rawinsonde location, representing a radius of 66 km to 77 km,  
202 depending on the latitude of the rawinsonde site. These profiles are then averaged across  
203 all rawinsonde sites in the continental US.

204 Profiles indicate that rawinsonde observations fit 6-hour forecasts better when  
205 AMDAR observations are assimilated during the warm-season experiment (Fig. 1a),  
206 signifying improved model performance in the warm-season. No clear change is  
207 observed in the cold-season experiment (Fig. 1b). Likewise, AMDAR moisture  
208 observations fit closer to the 6-hr forecast than rawinsonde observations at essentially all  
209 levels in the warm-season experiment, although this relationship does not exist in the  
210 cold-season. This indicates that AMDAR moisture observations are of high quality, even  
211 in comparison to rawinsonde observations. In the cold-season when SH patterns are  
212 more strongly organized by synoptic-scale weather systems and values are smaller due to  
213 colder temperatures, AMDAR and rawinsonde observations appear to have largely  
214 indistinguishable quality characteristics by this metric, except for perhaps the surface and  
215 near-surface levels where rawinsondes are more moist than the model background and  
216 AMDAR observations are not. In the warm-season, the OMB for rawinsondes is  
217 improved to statistical significance within the lower troposphere down to just above the  
218 surface. The difference in OMB performance between the warm and cold seasons may  
219 be a byproduct of the increased presence of smaller-scale moisture structures in the warm  
220 season.

221

222 *b) Impact of AMDAR moisture observations on precipitation and TPW forecasts*

223 To quantify the effect of the analysis changes on the forecast due to inclusion of  
224 AMDAR moisture observations, precipitation forecast skill was determined using the  
225 Equitable Threat Score (ETS) and Bias Score (Wilks 1995) over the continental United  
226 States, binned by precipitation thresholds per 24 hours. The inclusion of both AMDAR  
227 and RAOB moisture observations (from the assimilation experiment) improved the mean  
228 ETS to statistical significance for 12-36 hour precipitation forecasts of below 5 mm/day  
229 in the warm-season experiment (Fig. 2a). Bias is slightly improved for these categories  
230 as well. There is statistically significant ETS degradation for only the 10 mm/day  
231 category of the 60-84 hour forecast (not shown), while the ETS and bias are not  
232 significantly changed for any other category at any forecast lead-time. The cold-season  
233 experiment expresses no statistically significant improvement in ETS or bias for any  
234 category or forecast lead-time (Fig. 2b), with the exception of a degradation in bias for  
235 very high precipitation (50-75 mm/day) in 60-84 hour forecasts (not shown); these higher  
236 precipitation categories have very few observations from which to derive statistics, and  
237 are dominated by a single event, making the statistics less reliable. Since the GFS  
238 precipitation forecast is more accurate in the cold-season, due to more organized  
239 convection from synoptic-scale forcing, improvement of the cold-season precipitation  
240 forecast is expected to be smaller than improvement of the warm-season forecast.

241 These precipitation statistics demonstrate an improvement to short-range (12-36  
242 hour) precipitation forecasts by assimilation of AMDAR moisture observations. An  
243 additional measure of forecast skill can be observed by computing the forecast fit-to-  
244 observations using GPS total-column precipitable water. For each 6-hour forecast period

245 from the analysis time to 72-hours, the forecast TPW fields were interpolated to a  
246 database of GPS observations and the error was computed (Fig. 3). The error is divided  
247 into two components: the bias of the error, represented by the mean difference between  
248 observations and the forecast field, and the random error, represented by the standard  
249 deviation of the difference between observations and the forecast field. In general, the  
250 bias of the error is typically 10-20% of the magnitude of the random error, indicating that  
251 the random error is responsible for most of the error.

252         While bias in the error is slightly increased in the first 18 hours of the forecast in  
253 the warm season experiment (Fig. 3a), the total error is reduced, with random error  
254 improved to statistical significance from 0-36 hours into the forecast, with additional  
255 statistically significant improvement at 60-66 hours (Fig. 3b). The mean error, which is a  
256 combination of both the bias and the random error, is reduced to statistical significance in  
257 for 0-18 hours into the forecast (not shown). The impact of AMDAR moisture  
258 observations on the cold-season experiment is less significant, with no statistically  
259 significant change in bias of error (Fig. 3c) and statistically significant reduction in  
260 random error only in the first 0-6 hours of the forecast (Fig. 3d). The mean error is only  
261 reduced to statistical significance at the analysis time (not shown). The difference in  
262 impact between the warm and cold season experiments may be due to differences in  
263 precipitation regime between the two periods. In the warm season, precipitation often  
264 forms in small-scale features under weak synoptic forcing, while in the cold season  
265 precipitation is dominated by large-scale, strong synoptic forcing. The GFS has less skill  
266 in the warm season regime, leaving more room for improvement.

267

268 *c) AMDAR/Rawinsonde redundancy experiment*

269 1) VERTICAL AND TEMPORAL COVERAGE OF RAWINSONDE LAUNCH  
270 SITES BY AIRCRAFT OBSERVATIONS

271 In the data-denial experiment, the value of rawinsonde observations in regions  
272 best observed by aircraft observations was tested. This is a very gross test of the  
273 potential of AMDAR observations (u,v,T,q) to completely replace rawinsondes at sites  
274 where AMDAR observations provide the most consistent coverage. The availability of  
275 aircraft observations at US rawinsonde sites was determined at each six-hourly analysis  
276 period by collecting aircraft moisture observations available within varying spatial  
277 thresholds of 0.25 to 1 degree in latitude/longitude space and 0.75 to 1.25 hours in time  
278 of the rawinsonde launch (Table 1). These aircraft observations are defined as  
279 ‘collocated’ with the rawinsonde for the purposes of defining coverage of the site.  
280 Coverage of a rawinsonde by aircraft observations is determined through coverage by  
281 aircraft SH observations alone; many aircraft provide wind and temperature observations  
282 while relatively few aircraft provide WVSS-II moisture observations. Thus coverage of  
283 wind, temperature, and SH observations by aircraft is primarily determined by the  
284 coverage of SH observations.

285 The vertical profile of the rawinsonde launch site is divided into 25 equally-  
286 spaced pressure layers between the surface and 300 hPa (which is the lowest allowable  
287 pressure for assimilation of rawinsonde and aircraft moisture observations). The vertical  
288 coverage of the site by aircraft observations ( $C_{\text{Vertical}}$ ) is defined as the percentage of these  
289 layers that contain at least one aircraft moisture observation, computed every 0000 UTC  
290 and 1200 UTC analysis period and averaged to produce a final score. The choice of 25  
291 layers was made because 25 layers appears to provide the most contrast between

292 rawinsondes with high vertical coverage and rawinsondes with low vertical coverage.  
293 Likewise, the temporal coverage of the site by aircraft observations ( $C_{\text{Temporal}}$ ) is defined  
294 as the percentage of 0000 UTC and 1200 UTC analysis-periods where at least one  
295 collocated aircraft moisture observation is available, such that an aircraft observation  
296 profile can be produced. The total coverage score ( $C_{\text{total}}$ ) for a rawinsonde launch site is  
297 the product of these two coverage statistics, varying between zero and one:

298

$$299 \quad C_{\text{total}} = C_{\text{Vertical}} * C_{\text{Temporal}} \quad (1)$$

300

301 Rawinsonde launch sites are ranked by coverage, and the most well covered sites  
302 are used for the data-denial experiment. Table 1 lists the coverage statistics for three  
303 spatial/temporal thresholds of coverage. For example: The highest  $C_{\text{total}}$  value for a 1.0  
304 hour and 0.5 degree threshold around rawinsonde sites is Fort Worth, TX, with a value of  
305  $C_{\text{total}}=0.603$ . This can indicate, in the limiting cases, a situation where  $C_{\text{vertical}}=1.0$   
306 (perfect vertical coverage) and  $C_{\text{temporal}}=0.603$  (profiles available in 60.3% of the 0000  
307 UTC and 1200 UTC analysis periods), or alternatively  $C_{\text{vertical}}=0.603$  (an average of  
308 60.3% of vertical layers are covered by AMDAR moisture observations) and  $C_{\text{temporal}}=1.0$   
309 (profiles available in all 0000 UTC and 1200 UTC analysis periods). The reality exists in  
310 between these limiting cases, with  $C_{\text{vertical}}=0.675$  and  $C_{\text{temporal}}=0.893$ . The ten sites  
311 chosen for the experiment include sites that appear in the top-10 for at least two  
312 thresholds, except for Las Vegas, NV, which is in the top-11 for two thresholds and in the  
313 top-3 for the strictest (smallest space/time) threshold. These sites are spread across the

314 continental US, which allows for the assumption that they impact the forecast largely  
315 independent of one another.

316 In the data-denial experiment, the GDAS was run on a six-hourly cycle over the  
317 warm season period from 01 April – 29 May 2014, following a spin-up period of one  
318 week. All routine observations plus aircraft moisture observations were assimilated, but  
319 the entire rawinsonde (wind, temperature, and moisture observations) at each of the ten  
320 chosen sites was excluded for the full period of the experiment, regardless of the  
321 AMDAR coverage at any particular time. The purpose of this experiment is to determine  
322 if forecasts are significantly impacted by eliminating rawinsonde data where AMDAR  
323 observations are known to provide their most substantial coverage.

324

## 325 2) PRECIPITATION EQUITABLE THREAT SCORE (ETS) AND BIAS SCORE

326 The changes in ETS and Bias Score in the data-denial experiment are similar in  
327 form to the impact from the assimilation experiment that included both the aircraft  
328 moisture observations and the ten selected rawinsondes (Fig. 4). The 12-36 hour ETS  
329 score is improved to statistical significance for low precipitation amounts (0.2-2.0  
330 mm/day) and bias is improved for precipitation amounts less than 10 mm/day. When  
331 compared to the same portion of the experiment with and without AMDAR moisture  
332 data, there is a notably larger positive impact on both ETS and bias scores when the ten  
333 selected rawinsondes have been removed, with statistical significance over these same  
334 precipitation thresholds (Fig. 5). Impacts on longer-range forecasts do not reach  
335 statistical significance (not shown). These results demonstrate that the ten selected  
336 rawinsondes are reducing precipitation skill rather than improving it; as shown in Fig. 1,  
337 OMB is smaller for aircraft moisture observations than for rawinsondes on average.

338 Aircraft moisture observations may be higher quality than rawinsonde moisture  
339 observations, consistent with other studies (Petersen et al. 2016), and that coverage by  
340 AMDAR moisture observations provides more information than rawinsondes launched  
341 twice daily. Thus it is possible that removing rawinsondes in regions of dense aircraft  
342 observational coverage could yield a positive impact at short-range (12-36 hours) in the  
343 warm season. The availability of aircraft observations at locations and times other than  
344 the twice-daily, point-specific rawinsonde launches may also allow aircraft observations  
345 to provide more information on moisture variability than is capable with rawinsondes.

346

### 347 3) FORECAST FIT-TO-OBSERVATIONS: GPS TOTAL-COLUMN PRECIPITABLE WATER

348 The fit of GPS-TPW observations to forecasts was calculated at all forecast times  
349 out to 72 hours for the data-denial experiment in the same manner that was applied to the  
350 assimilation experiment. The negative (dry) bias of forecast error in the data-denial  
351 experiment is more pronounced than in the original assimilation experiment (Fig. 6a),  
352 with a pronounced, statistically significant increase in bias through 0-48 hours into the  
353 forecast as well as at 66 hours. Random error is reduced in the data-denial experiment at  
354 a statistically significant magnitude on par with the original assimilation experiment up to  
355 30 hours into the forecast, after which the random error of the data-denial experiment  
356 begins to become larger than the control, and exhibits statistically significant degradation  
357 from 60-72 hours (Fig. 6b).

358

### 359 4) OBSERVATION-MINUS-ANALYSIS (OMA) STATISTICS

360 As a final test of the impact of denying the selected rawinsondes, the observation-  
361 minus-analysis (OMA) statistics of aircraft moisture observations assimilated near the



362 missing rawinsonde sites was compared with and without the rawinsondes present, and  
363 the difference was plotted against the density of aircraft observations present within a  
364 pressure layer and within 0.5 degrees and one hour of the rawinsonde (Fig. 7). While  
365 there is no correlation (i.e. linear relationship) between these two statistics ( $r = -0.0144$ ),  
366 a relationship becomes clear when the points are plotted on a phase-space. The more  
367 aircraft observations nearby (higher values along the abscissa), the less the OMA statistic  
368 for aircraft moisture observations is capable of changing when the rawinsonde is denied  
369 (lower values along the ordinate). Thus the relationship between these two statistics is  
370 represented by an upper-bound on the ordinate as a function of the abscissa, which  
371 appears to obey an exponential-decay-like form.

372

#### 373 5) EVALUATION OF RAWINSONDE DATA-DENIAL

374 The scores presented for this admittedly extreme test of the redundancy of  
375 rawinsondes at sites well covered by AMDAR observations do not reach a clear  
376 conclusion. While precipitation skill can be improved in the short range (12-36 hours) by  
377 their exclusion, forecast fit-to-observation against GPS total-column precipitable water  
378 suggests that denying the rawinsondes increases error, even to statistically significant  
379 degradation in random error at 60-72 hours against a control that contains no aircraft  
380 moisture observations.

381 In reconciling these results, one must consider the relative impact of moisture  
382 versus temperature and wind observations from aircraft. As shown previously, aircraft  
383 moisture observations near rawinsondes exhibit a lower OMB than rawinsonde  
384 observations (Fig. 1), which implies that aircraft moisture observations may be of higher  
385 quality. However, temperature observations from aircraft have been shown to suffer

386 biases that can vary by individual aircraft as well as by whether the aircraft is ascending  
387 or descending (e.g. Ballish and Kumar 2008). While efforts to address these biases are  
388 currently being investigated (Isaksen et al. 2012, Zhu et al. 2015), NCEP does not  
389 currently employ a bias correction mechanism for these observations. It is possible that  
390 higher-quality moisture observations from aircraft improve the short-range precipitation  
391 forecast, when more accurate estimation of the humidity field may be most important,  
392 while denying rawinsondes may introduce errors into the wind and temperature fields that  
393 grow over time to degrade the later forecast, explaining the results from both the  
394 precipitation skill score test and the forecast fit-to-observations test.

395         The impact of the missing rawinsondes, measured as the change in OMA of the  
396 aircraft observations when the rawinsondes are excluded, demonstrates a relationship to  
397 the number of aircraft observations present; the more aircraft observations present  
398 (meaning the more redundancy in aircraft observational coverage at a particular location  
399 and pressure level), the smaller the upper-bound on the expected impact of denying the  
400 rawinsondes. Based on the best-fit curve describing the upper-bound, the expected  
401 OMA-impact of denying the 10 selected rawinsondes on a single, lone aircraft moisture  
402 observation is  $1.47 \times 10^{-3}$  kg/kg. To reduce this upper-bound by 50%, roughly 20 aircraft  
403 observations need to be present to reduce the impact of the missing rawinsondes. To  
404 reduce the upper-bound by another 50%, roughly 40 aircraft observations must be  
405 present. Given a threshold maximum allowable impact from denied rawinsondes, a  
406 minimum number of aircraft observations must be present.

407         Since the amount of aircraft observational coverage is highly variable, even for  
408 the most well-covered rawinsonde sites, permanent exclusion of these sondes in favor of

409 aircraft observations, representing an extreme and permanent departure from reliance on  
410 the rawinsonde network, does not seem plausible. However, the opposite case could be  
411 considered: “Where would an *additional* rawinsonde provide the *most* impact, based on  
412 aircraft observational coverage?” This scenario occurs during off-time rawinsonde  
413 launches, which have become part of the adaptive observation network, especially during  
414 the Atlantic hurricane season when a significant hurricane threatens to make landfall on  
415 the east coast of the United States. Under extreme scenarios rawinsondes can be  
416 launched at 0600 and 1800 UTC from all operating sites in the continental US, as was the  
417 case with the days leading up to landfall of Hurricane Sandy (2012).

418 In scenarios such as these, the goal may be to deploy a limited number of off-time  
419 rawinsondes with a goal to maximize the impact on the analysis and the forecast of an  
420 extreme weather event. One could then expect that rawinsondes deployed where there is  
421 an expectation of dense aircraft observations would have less impact than rawinsondes  
422 deployed where there is an expectation of sparse aircraft observational coverage. The  
423 decision to launch an off-time rawinsonde at a particular site could be aided by statistics  
424 on the aircraft observational coverage at existing rawinsonde sites for these times.

425

## 426 **6. Conclusions**

427 The impact of assimilated aircraft moisture observations from the WVSS-II was  
428 evaluated in the GDAS/GFS analysis-forecast system. Cycled experiments were carried  
429 out for a warm season (April – May 2014) and a cold season (December 2014 – January  
430 2015). The warm season experiment demonstrated positive impact on ETS and bias  
431 scores for low-precipitation categories in the 12-36 hour forecast. Assimilation of

432 aircraft moisture observations in the warm season also produced smaller OMB values for  
433 rawinsonde observations, implying that the 6-hour forecast had been improved, though  
434 the nearby aircraft moisture observations had even lower OMB values. When the total-  
435 column precipitable water forecast was compared to observations from GPS, the  
436 assimilation of aircraft moisture observations in the warm season improved random error  
437 in the forecast as far out as 66 hours. By contrast, the cold season experiment only  
438 demonstrated statistically significant positive impact on random error out to 6 hours.

439         The difference in impact between the warm season and cold season experiments  
440 may be partially attributable to different precipitation regimes in either season. Warm  
441 season precipitation is often defined by small-scale moisture structures and weak  
442 synoptic forcing, which is an ongoing challenge to forecast in global NWP. The most  
443 room for improvement in precipitation forecasting is in the warm season, which may  
444 allow assimilation of AMDAR moisture observations to express a larger impact. By  
445 contrast, precipitation in the cold season is dominated by strong, synoptic-scale forcing  
446 that is more accurately predicted in global NWP. Under these circumstances, there may  
447 be less importance from small-scale moisture structures observed by AMDAR, and the  
448 already accurate forecasts from the GFS are more difficult to improve upon.

449         Redundancy between rawinsondes and aircraft observations was investigated by  
450 assimilating aircraft moisture observations, but also denying rawinsonde observations at  
451 10 selected sites that are considered well-covered by aircraft in an a posteriori analysis.  
452 Precipitation skill scores are improved when the rawinsondes are denied, while the total-  
453 column precipitable water forecast suffers statistically significant degradation by 60-72  
454 hours. It is possible that both of these results can be reconciled by recognizing that

455 regions with denied rawinsondes will rely more heavily not only on aircraft moisture  
456 observations (which may be as high or higher quality than rawinsonde moisture  
457 observations), but also aircraft temperature observations, which are known to suffer  
458 biases based partially on phase of flight (ascending, descending, or flight-level). Relying  
459 on superior moisture observations from aircraft may improve the short-range  
460 precipitation forecast, while relying on biased temperature observations from aircraft may  
461 create growing errors that degrade the later forecast.

462         When the impact of denying rawinsonde observations is plotted as a function of  
463 the number of aircraft observations present, an exponential-decay-like relationship  
464 appears. Based on the relationship observed, it may take dozens of aircraft observations  
465 to reduce the impact of a denied rawinsonde to near zero, and coverage of a rawinsonde  
466 launch site by aircraft observations isn't consistent enough in time to allow for  
467 deactivation of even a rawinsonde near a busy airport where aircraft observations are  
468 collected frequently. However, the results may be of relevance to adaptive, off-time  
469 rawinsonde deployment; if the aircraft coverage at rawinsonde sites can be anticipated  
470 with enough lead time, it seems possible to anticipate when redundancy may occur and  
471 adjust the adaptive deployment accordingly. The fleet of aircraft providing these  
472 observations is growing, allowing for a possible re-evaluation of these redundancy  
473 experiments in the future.

474         Based on the presented research, the decision was made to implement assimilation  
475 of aircraft moisture observations in the operational GDAS, as part of NCEP's next  
476 upgrade. Implementation is currently slated for May 2016.

477

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579 **Table and Figure Captions**

580

581 **Table 1.** Total coverage ( $C_{\text{total}}$ ) at each of ten rawinsonde launch sites considered for  
582 data denial experiment. Rankings of each site by coverage are provided for three  
583 thresholds defining collocation of aircraft observations to the rawinsonde: (left)  
584 observations within 0.75 hours and 0.25 degrees of the site, (middle) observations within  
585 one hour and 0.5 degrees of the site, and (right) observations within 1.25 hours and 0.75  
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589 **Figure 1.** Mean profiles of specific humidity ob-minus-background (OMB) for the  
590 warm-season experiment (left) and cold-season experiment (right) at rawinsonde launch  
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592 observations are not assimilated. The red profile is the mean rawinsonde moisture OMB  
593 when AMDAR moisture observations are assimilated. The green profile is the mean  
594 AMDAR moisture OMB. The shading around each profile represents the 5% and 95%  
595 confidence limits around the mean, and pressure-levels where the rawinsonde OMB  
596 changes to statistical significance are highlighted with black squares along the ordinate.

597

598 **Figure 2.** Precipitation skill and bias scores of 12-36 hour forecast over the continental  
599 United States for (a) warm-season experiment, and (b) cold-season experiment. The left  
600 panel of each plot shows the Equitable Threat Score (ETS) for precipitation binned by  
601 precipitation amounts in mm/24 hours. The right panel of each plot shows the

602 precipitation bias score in the same bins. The black curve is for the control simulation,  
603 and the red curve is for the experiment. The bottom panels show the differences between  
604 the experiment and control, with bars indicating the minimum value necessary for 95%  
605 statistical significance.

606

607 **Figure 3.** Error in forecast fit-to-TPW observations from GPS for (top) April 2014 –  
608 May 2014 simulation and (bottom) December 2014 – January 2015 experiment.  
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610 are provided in red. Error is computed as (left) bias of error, calculated as the mean error,  
611 and (right) random error, calculated as the standard deviation of the error. Thick contours  
612 represent the sample mean or standard deviation, and the shading represents the 5% and  
613 95% confidence limits on the mean or standard deviation. Dots are placed on the red  
614 contour for all times where the difference between the experiment and control is  
615 statistically significant based on a student’s t-test (for bias of error) or a chi-squared test  
616 on variance (for random error).

617

618 **Figure 4.** Precipitation skill and bias scores of 12-36 hour forecast over the continental  
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635

636 **Figure 6.** Error in forecast fit-to-TPW observations from GPS for April 2014 – May  
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639 red, and statistics for the data-denial experiment (selected rawinsondes removed, aircraft  
640 moisture observations) are provided in green. Error is computed as (top) bias of error,  
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647

648 **Figure 7.** Phase-space diagram of relationship between (ordinate) how much denied  
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651 represents an AMDAR moisture observation assimilated within 1 hour and 0.5 degrees of  
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655 observations are assimilated and all rawinsondes are maintained) and the data-denial  
656 experiment (where AMDAR moisture observations are assimilated and selected  
657 rawinsonde observations are denied). The abscissa measures the number of AMDAR  
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660 value along the abscissa, identifying the upper bound of the phase-space that is sampled  
661 by the observations. The solid black line is an empirically-derived exponential best-fit to  
662 the red dots, representing a theoretical expected upper-bound on the potential impact of  
663 denied rawinsondes as a function of the density of AMDAR observational coverage. The  
664 dashed black lines represent the 5% and 95% confidence bounds on the solid line.  
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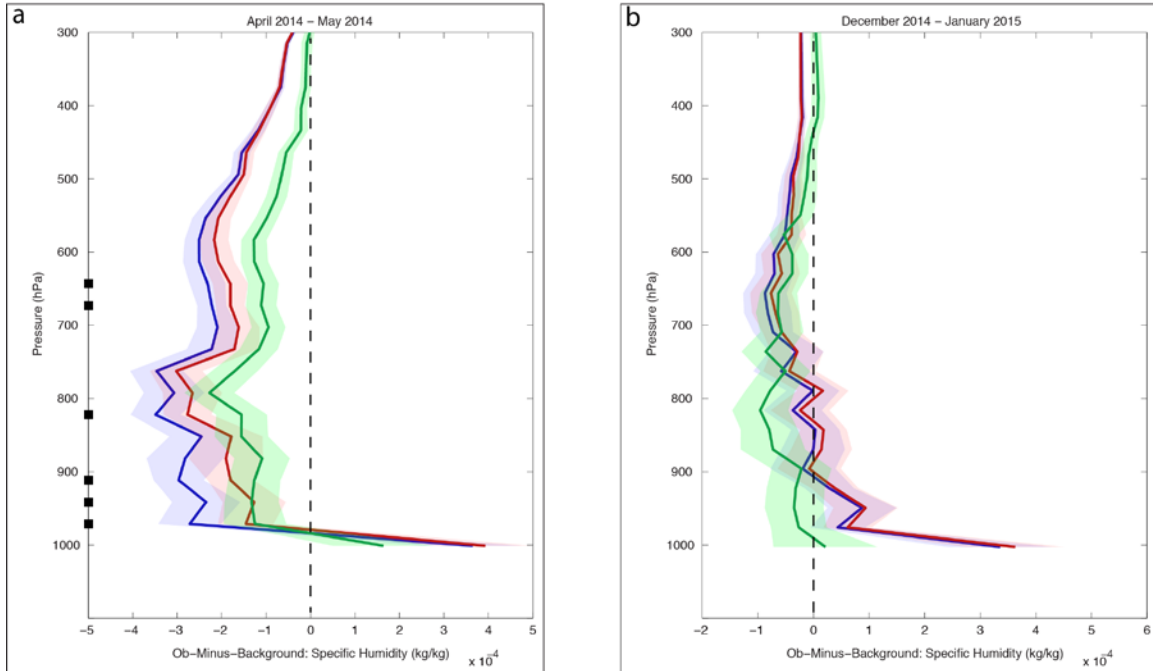
Site	$\Delta T = 0.75, \Delta D = 0.25$	$\Delta T = 1.00, \Delta D = 0.50$	$\Delta T = 1.25, \Delta D = 0.75$
Miami, FL	(25 <sup>th</sup> ) 0.046	(5 <sup>th</sup> ) 0.446	(5 <sup>th</sup> ) 0.653
Tampa, FL	(7 <sup>th</sup> ) 0.166	(2 <sup>nd</sup> ) 0.569	(2 <sup>nd</sup> ) 0.861
Atlanta, GA	(21 <sup>st</sup> ) 0.076	(8 <sup>th</sup> ) 0.376	(7 <sup>th</sup> ) 0.517
Fort Worth, TX	(12 <sup>th</sup> ) 0.126	(1 <sup>st</sup> ) 0.603	(3 <sup>rd</sup> ) 0.739
Nashville, TN	(1 <sup>st</sup> ) 0.231	(4 <sup>th</sup> ) 0.524	(4 <sup>th</sup> ) 0.717
Las Vegas, NV	(3 <sup>rd</sup> ) 0.213	(11 <sup>th</sup> ) 0.318	(11 <sup>th</sup> ) 0.410
Sterling, VA	(2 <sup>nd</sup> ) 0.222	(3 <sup>rd</sup> ) 0.540	(1 <sup>st</sup> ) 0.864
Denver, CO	(5 <sup>th</sup> ) 0.199	(9 <sup>th</sup> ) 0.368	(10 <sup>th</sup> ) 0.446
Oakland, CA	(4 <sup>th</sup> ) 0.209	(6 <sup>th</sup> ) 0.394	(8 <sup>th</sup> ) 0.496
Upton, NY	(10 <sup>th</sup> ) 0.132	(7 <sup>th</sup> ) 0.379	(9 <sup>th</sup> ) 0.478

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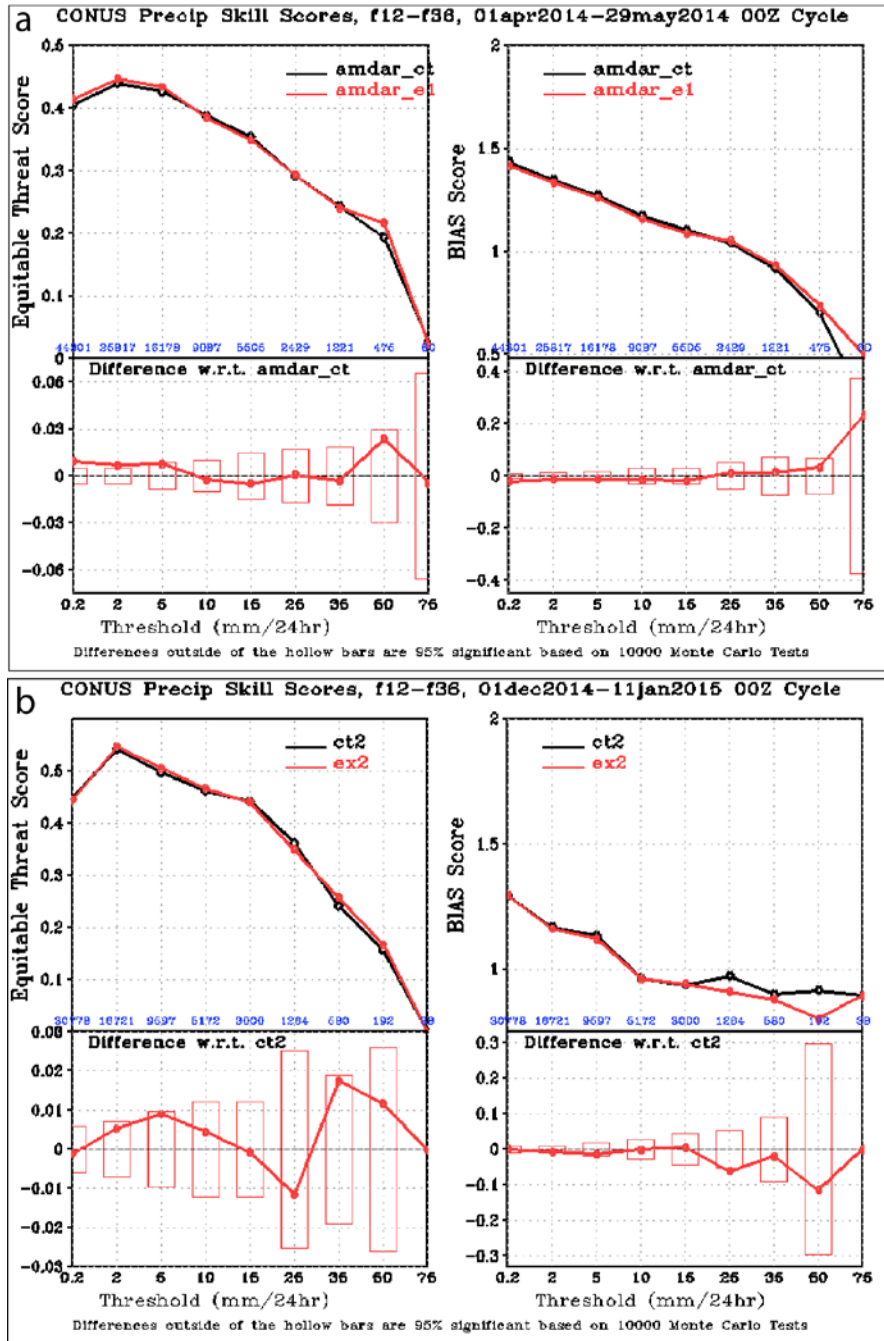


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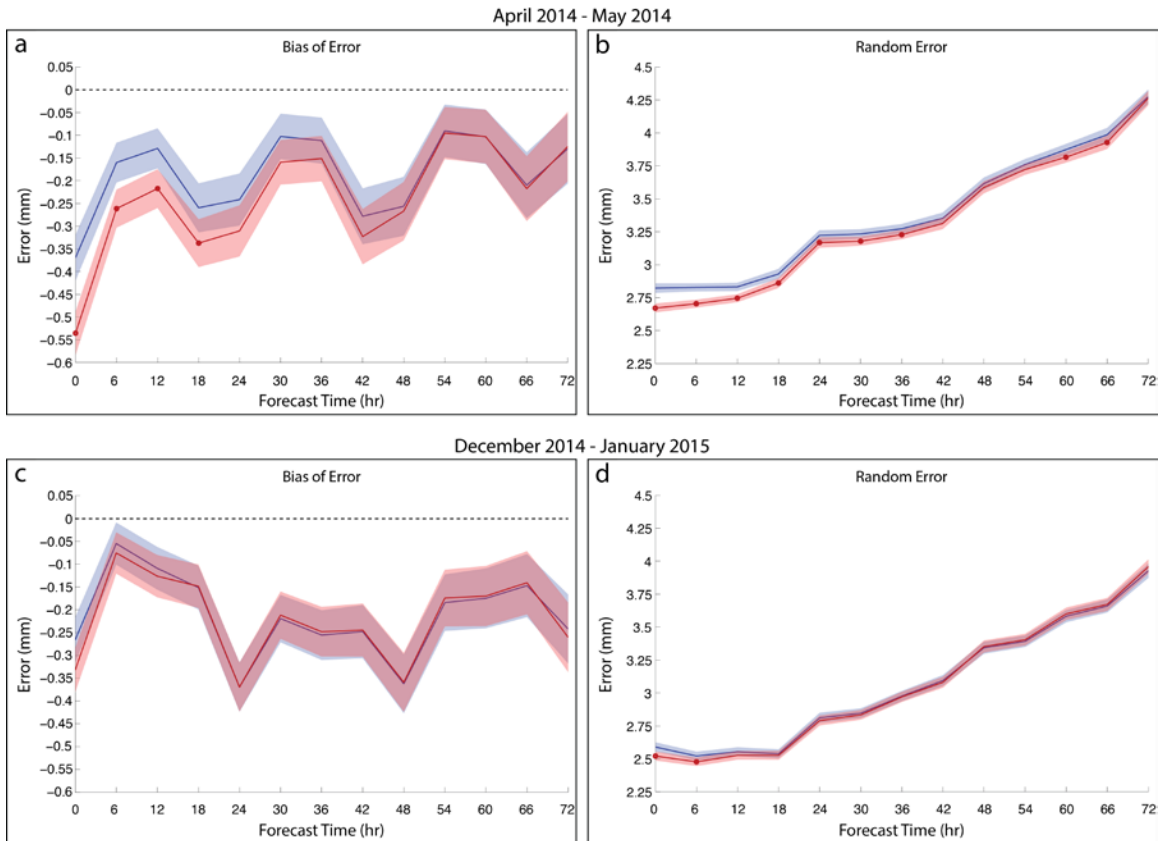
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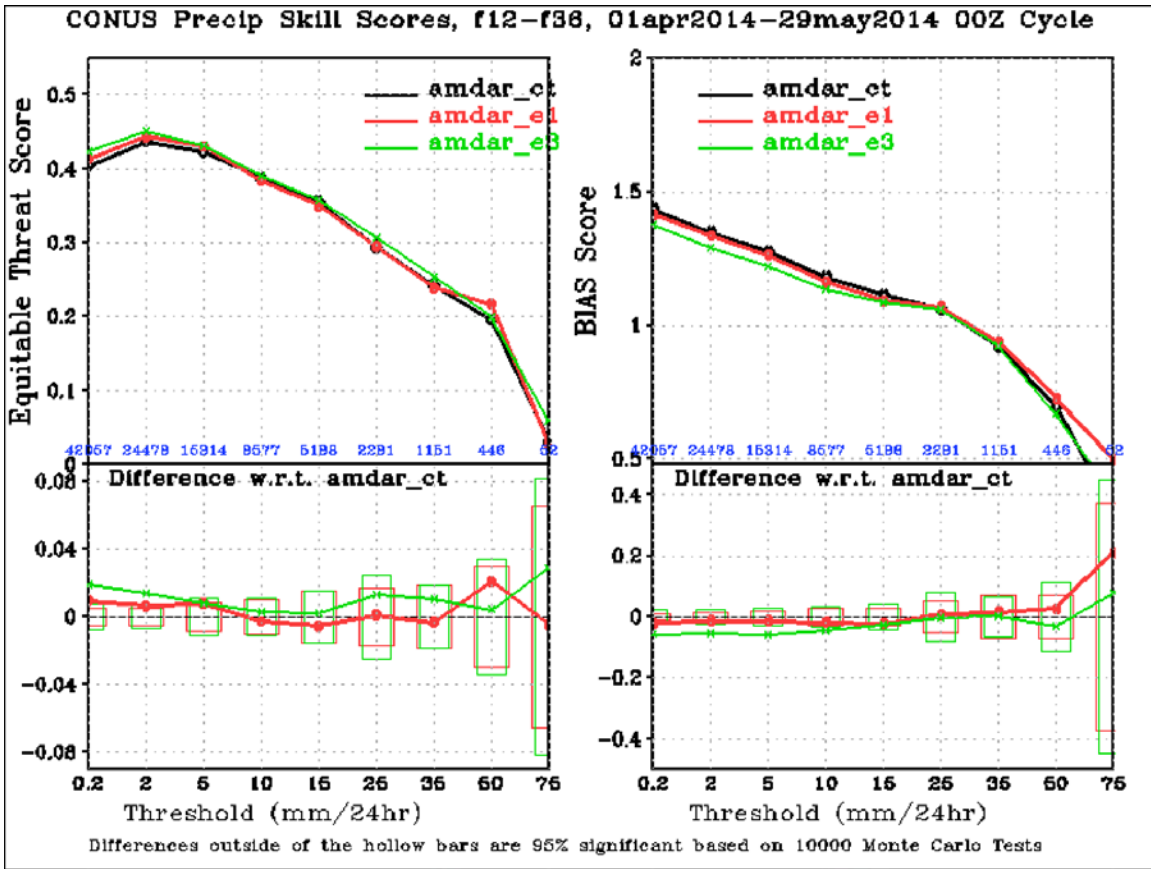
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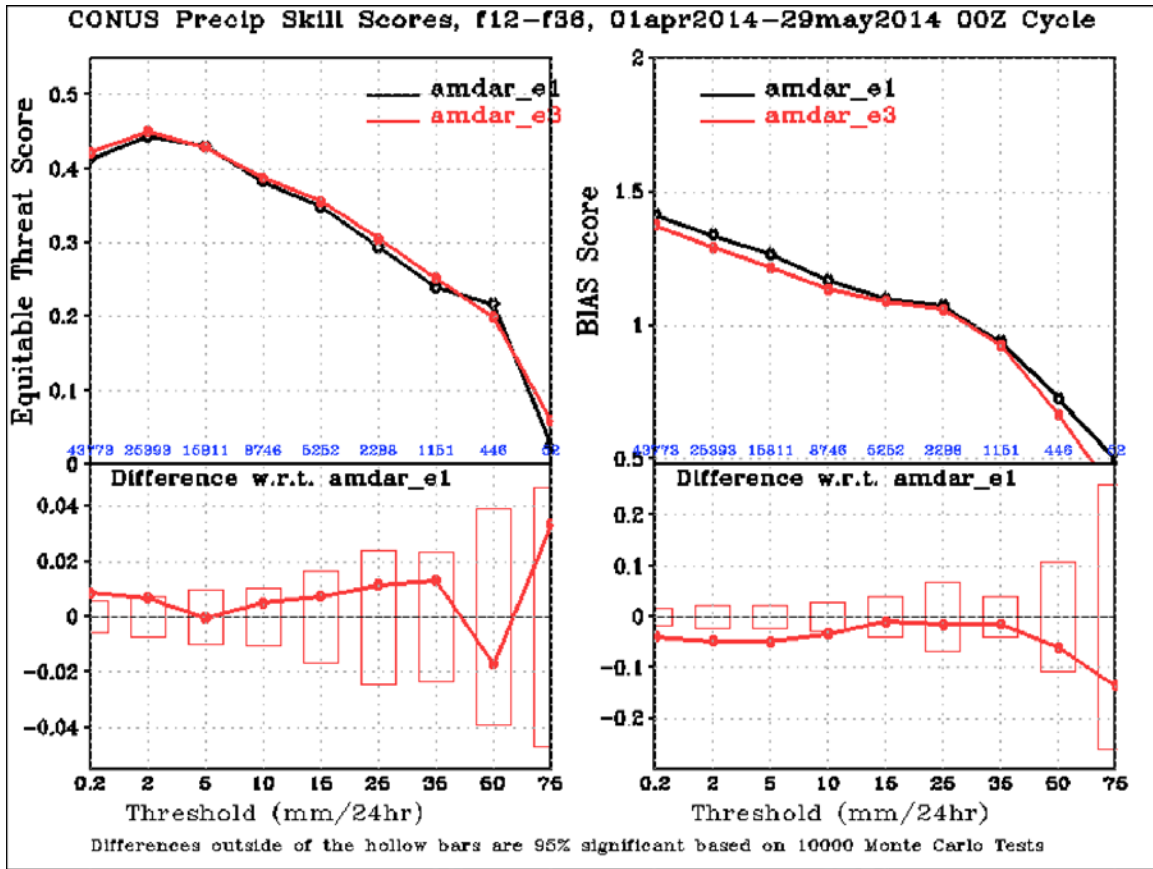
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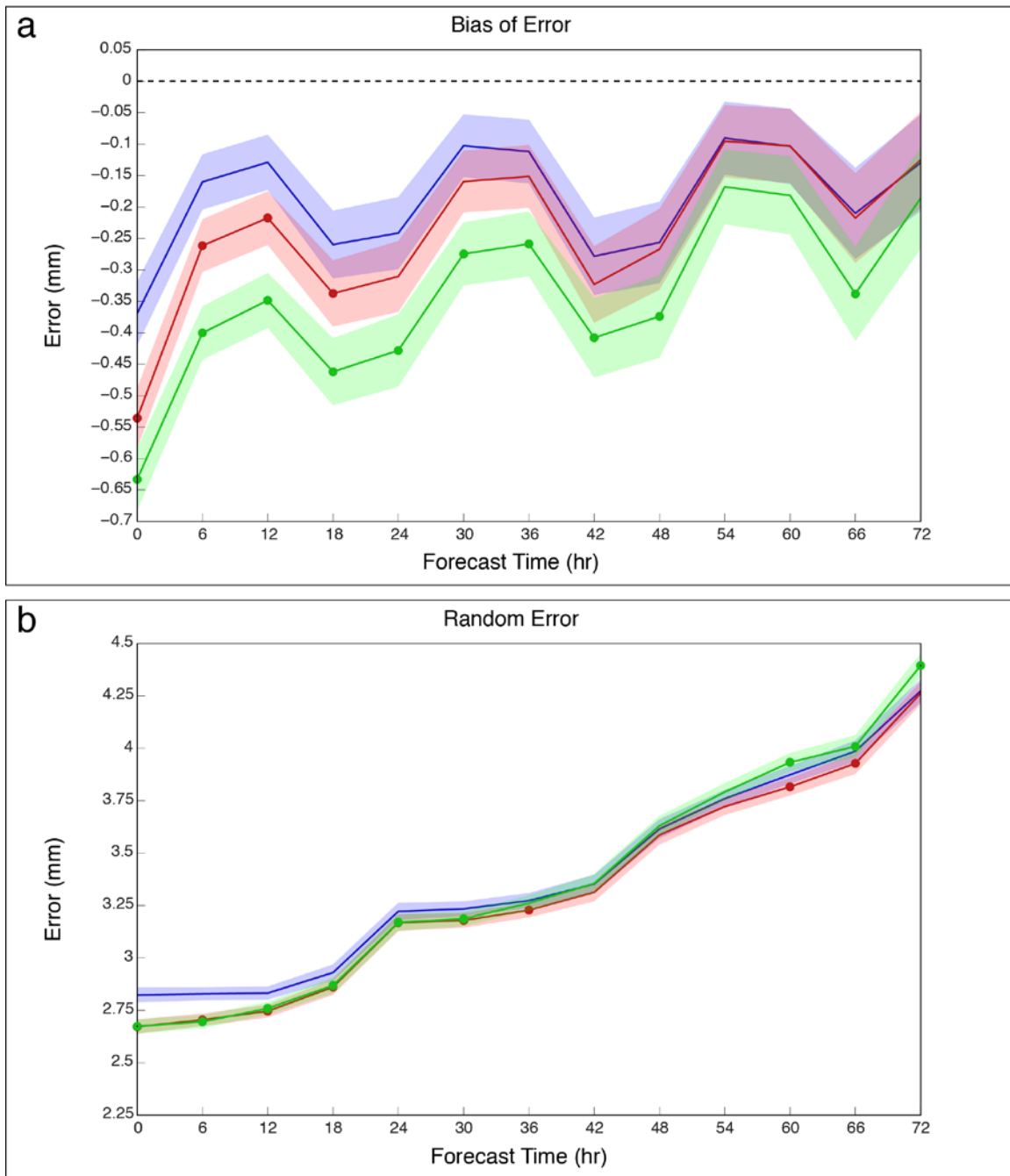
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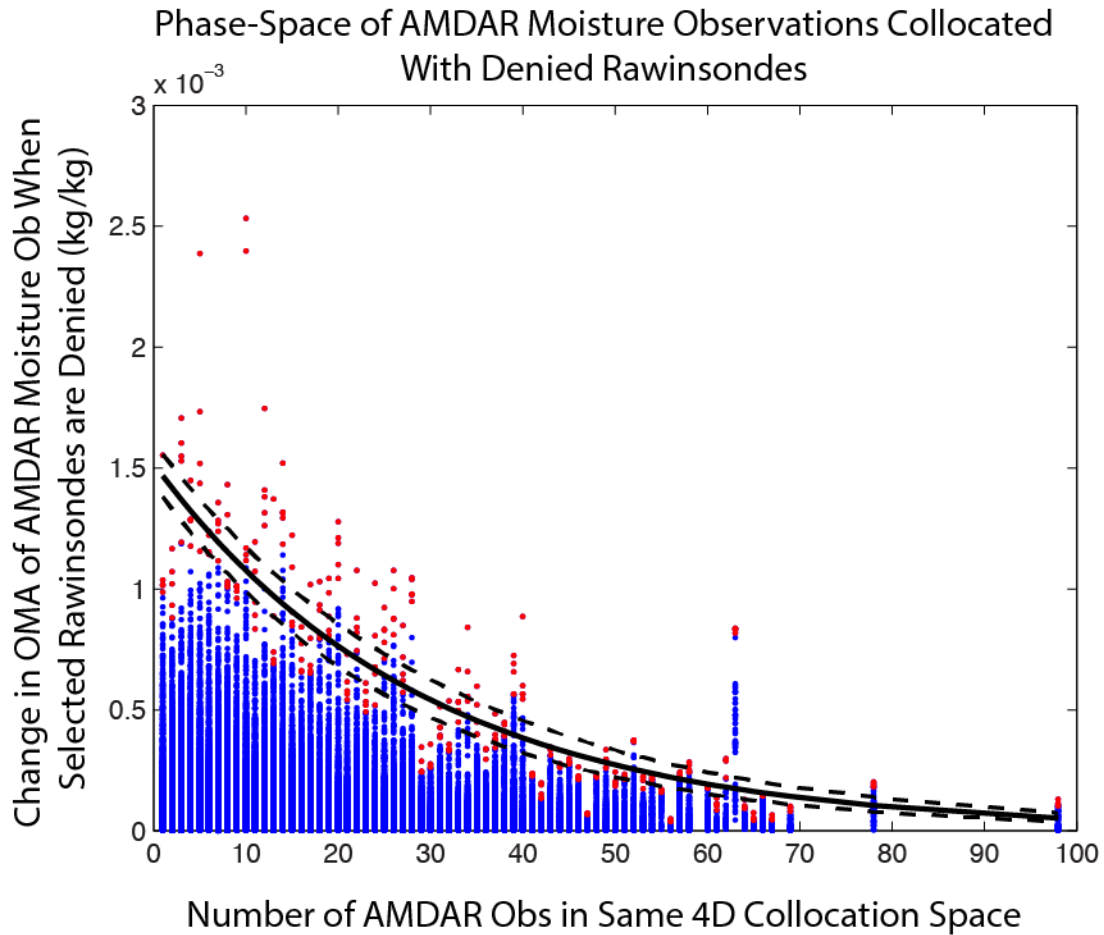
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