

Title of Grant / Cooperative Agreement:	
Type of Report:	
Name of Principal Investigator:	
Period Covered by Report:	
Name and Address of recipient's institution:	
NASA Grant / Cooperative Agreement Number:	

Reference 2 CFR § 1800.908 or 14 CFR § 1260.28 Patent Rights as applicable (abbreviated below)

The recipient may use whatever format is convenient to disclose subject invention required in subparagraph (c)(1). NASA prefers that the recipient use either the electronic or paper version of NASA Form 1679, Disclosure of Invention and New Technology (Including Software), to disclose subject inventions. Both the electronic and paper version of the NASA Form 1679 may be accessed at the electronic New Technology Reporting Web site <https://invention.nasa.gov>.

A final new technology summary report listing all subject inventions (or a statement certifying there were none) for the entire award period; which report shall be submitted within 90 days after the end date for the period of performance within the designated system noted within the award document."

Have any Subject Inventions / New Technology Items resulted from work performed under this Grant / Cooperative Agreement?	No	Yes
If yes a complete listing should be provided here: Details can be provided in the body of the Summary of Research report.		

Reference 2 CFR § 1800.907 or 14 CFR § 1260.27 Equipment and Other Property as applicable (abbreviated below)

A Final Inventory Report of Federally Owned Property, including equipment where title was taken by the Government, will be submitted by the Recipient no later than 60 days after the expiration date of the grant. Negative responses for Final Inventory Reports are required.

Is there any Federally Owned Property, either Government Furnished or Grantee Acquired, in the custody of the Recipient?	No	Yes
If yes please attach a complete listing including information as set forth at § 1260.134(f)(1).		

Attach the Summary of Research text behind this cover sheet.

Reference 2 CFR § 1800.902 or 14 CFR § 1260.22 Technical publications and reports as applicable (abbreviated below)

Reports shall be in the English language, informal in nature, and ordinarily not exceed three pages (not counting bibliographies, abstracts, and lists of other media).

A Summary of Research (or Educational Activity Report in the case of Education Grants) is due within 90 days after the expiration date of the grant, regardless of whether or not support is continued under another grant. This report shall be a comprehensive summary of significant accomplishments during the duration of the grant.

X. Regional OSSE Working Group

The GEO-CAPE Regional and Urban Observation System Simulation Experiment (OSSE) Working Group was initiated in 2013 to assess the impacts of geostationary observations of ozone (O₃), nitrogen dioxide (NO₂), and formaldehyde (HCHO) within a robust OSSE framework. The main components of the Regional OSSE (nature run, observation simulator, data assimilation system) are illustrated in Figure 1 and follows the recommendations of Timmermans et al., (2015) which provided a framework for the use of OSSEs for assessing the impact of satellite trace gas retrievals on air quality forecasts, including requirements for the individual components. These requirements include the following; 1) the nature run must provide a reasonable representation of the real atmosphere, 2) the observation simulator must be able to calculate radiances from the nature run which account for the spectral resolution, signal to noise ratio, and averaging kernels of the instrument being assessed, 3) the model used within the data assimilation system should be different than the model used to generate the nature atmosphere. The first 2 years of the Regional OSSE activities were summarized in Table 3-3 of the GEO-CAPE 2009-2015 Summaritive White Paper and focused on completion of ultraviolet (UV) visible (VIS) and thermal infrared (TIR) forward modelling, generation of multi-spectral retrievals for subset of CONUS profiles, and generation of averaging kernel (AK) regression to extend training set to entire North America for the O₃ OSSE studies.

During the 2015-2018 period we completed the regional O₃ OSSE. The results were presented at the Second Atmospheric Composition Observation System Simulation Experiments (OSSE) Workshop, which was hosted by the European Center for Medium Range Weather Forecasting (ECMWF) in Reading UK on 9-11 November, 2016. The GEO-CAPE Regional O₃ OSSE demonstrates systematic and significant increase in lower to mid tropospheric correlations and reductions in rms errors and biases when hourly geostationary UV, UV/VIS, and UV/VIS/TIR ozone retrievals are assimilated, respectively. Results show improvements in lower tropospheric correlations and rms errors for all experiments, but the UV and UV/VIS experiments introduce higher biases. Comparisons with the nature run at US EPA surface monitoring sites shows that the overall positive impacts obtained with UV/VIS/TIR retrieval assimilation are due to reductions in nighttime biases, which highlights the importance of the TIR radiances in the multi-spectral retrievals. A manuscript describing the O₃ OSSE is currently in preparation (Pierce et al., 2018).

We have also completed the NO₂ OSSE and are nearing completion of the HCHO OSSE. Since NO₂ and HCHO both have significantly larger spatial variability than O₃ the AK regression approach was deemed unlikely to produce robust results. The working group determined that we would need to generate synthetic radiances and full optimal estimation retrievals for each cloud free grid point of the nature run. This required JPL development of fast forward modeling approaches to generate the synthetic radiances in the NO₂ and HCHO bands. JPL ported updated forward modeling code to SSEC and we generated synthetic radiances for all cloud free gridpoints at hourly intervals for the full nature run timeperiod (July 2011) utilizing the Supercomputer for Satellite Simulations and Data Assimilation Studies (S4, Baukabar et al, 2016) at the UW-Madison Space Science and Engineering Center (SSEC).

Since NO₂ and HCHO are short lived species, assimilation of NO₂ or HCHO column retrievals does not lead to systematic changes in the concentrations of these species. Instead, the NO₂ and HCHO column retrievals must be used to constrain the emissions of these species. As part of the Regional OSSE working group activities during 2015-2018 we developed an offline

approach to use satellite based trace gas retrievals to constrain area and point source emissions. The approach involves calculating the sensitivity of the trace gas column to changes in emissions following Lamsal et al. (2011) and then using this sensitivity, combined with the monthly mean trace gas analysis increment, to adjust the emissions. The results of the NO₂ OSSE were presented at the Joint Committee on Earth Observation Satellites (CEOS) Atmospheric Composition Virtual Constellation (ACVC) and GEO-CAPE Meeting, which was hosted by the NOAA Center for Weather and Climate Prediction (NCWCP) in College Park, MD on May 2-4, 2018. The GEOCAPE NO₂ OSSE demonstrates significant adjustments in apriori NO_x emissions using hourly TEMPO like NO₂ retrievals compared to daily OMI NO₂ emission adjustments. However, the NO₂ OSSE results show low surface ozone sensitivity to changes NO_x emissions, possibly due to high urban NO_x levels leading to VOC sensitive ozone production. The O₃, NO₂, and HCHO assimilation experiments are conducted using the NOAA gridpoint statistical interpolation (GSI, Wu et al. 2002, Kleist et al. 2009), which is a physical space-based 3-dimensional variational analysis. The observation operator for the O₃, NO₂, and HCHO profile retrievals was developed for GSI based on the approach used by Verma et al, (2009) for assimilation of ozone profiles from the Tropospheric Emission Spectrometer (TES). This observation operator accounts for the averaging kernel and apriori used in the retrieval.

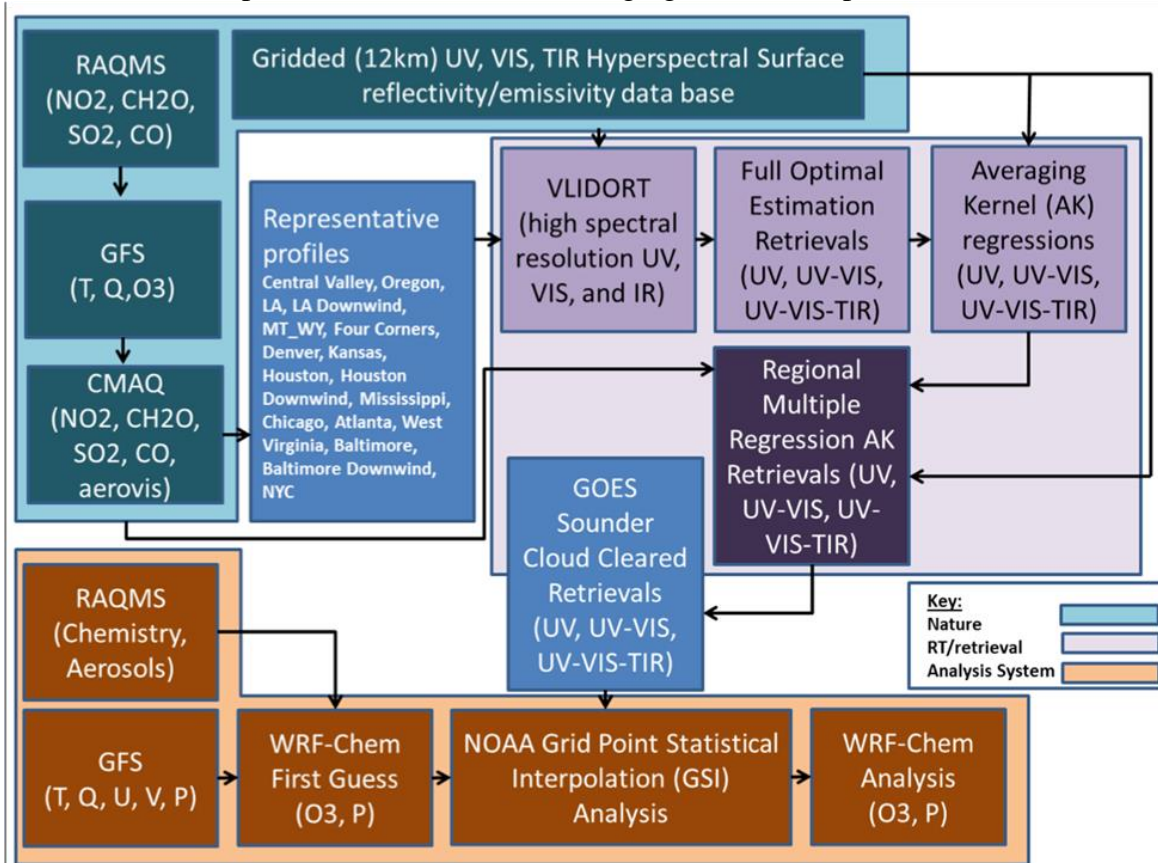


Figure 1: Components of the GEO-CAPE Regional OSSE. The nature run (teal), observation simulator (purple), and data assimilation system (brown)

References:

Baukabara, et al., 2016, S4: An O2R/R2O infrastructure for optimizing satellite data utilization in NOAA numerical modeling systems. A step toward bridging the gap between research and operations. *Bulletin of the American Meteorological Society* v.97, no.12, 2016, pp2359–2378.

Lamsal, L. N., et al. (2011), Application of satellite observations for timely updates to global anthropogenic NO_x emission inventories, *Geophys. Res. Lett.*, 38, L05810, doi:10.1029/2010GL046476.

Pierce, R. B., V. Natraj, A. Lenzen, S. Kulawik, H. Worden, X. Liu, M. Newchurch, J. Vidot, E. Borbas, Regional O₃ OSSEs for the GEO-CAPE Mission (in preparation)

Timmernans, R., Lahoz, W., Attie, j.-L., Peuch, V.-H., Curier, L., Edwards, D., Eskes, H. Builtjes, P., 2015, Observing System Simulation Experiments for air quality, *Atmos. Env.*, Vol 115, August 2015, pages 199-213, <https://doi.org/10.1016/j.atmosenv.2015.05.032>