

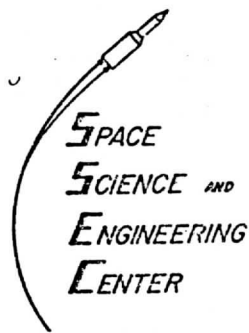
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UW/SSEC

VAS SYSTEM DEFINITION

REVIEW

9 NOVEMBER 1976



THE UNIVERSITY OF WISCONSIN

Agenda for System Definition Review

on November 9, 1976

at SSEC in Room 148

- 9:00 a.m. I. INTRODUCTION -- R. Krauss
A. Objectives
B. Description of Proposed Tasks
C. SSEC Approach to VAS Demonstration
- 10:00 a.m. II. FRAMEWORK FOR SYSTEM DEFINITION -- L. Sromovsky
A. Stages in System Development
B. Structure of a System Definition
C. General Definition of System Elements
D. Role of Feedback Interactions
E. Role of Man Interactions
- 11:00 a.m. COFFEE BREAK
- 11:15 a.m. III. DATA COLLECTION -- P. Menzel
A. What Sources and Why
B. Concept for Selection of VAS Operating Mode
C. Status of Data Collection Definition
- Noon LUNCH
- 1:30 p.m. IV. ANALYSIS -- H. Revercomb
A. Inputs and Outputs
B. Techniques
- 2:30 p.m. V. SYNTHESIS AND MODELLING -- L. Sromovsky and V. Suomi
A. Basic Object of Synthesis
B. Required Synthesis Functions
C. Types of Models Used in Synthesis
D. Addition of New Information
E. A Process for Data Set Synthesis
F. Status
- 3:30 p.m. COFFEE BREAK
- 3:45 p.m. VI. PROGRAM PLAN -- V. Suomi

I. INTRODUCTION

A. OBJECTIVES

B. DESCRIPTION OF PROPOSED TASKS

C. SSEC APPROACH TO VAS DEMONSTRATION

PURPOSE OF VAS
SOUNDING RESEARCH

1. INCREASE OUR UNDERSTANDING OF SHORT-LIVED WEATHER PHENOMENA.
 2. INCREASE OUR ABILITY TO PREDICT SHORT-LIVED WEATHER PHENOMENA.
-

(p. 4 NASA VAS Demonstration
Project Plan)

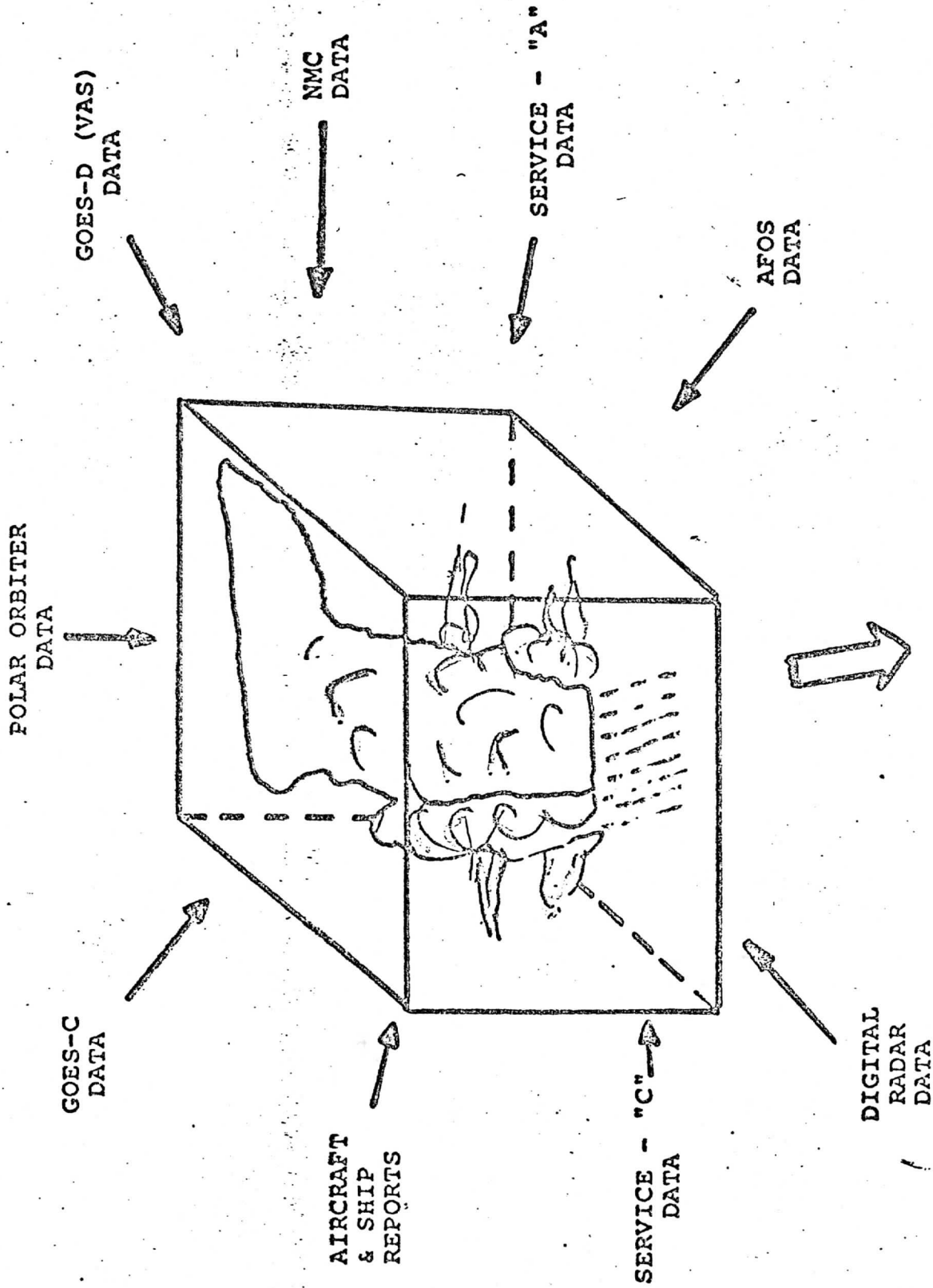
4.5.2.1. Summary of UW Tasks

The UW group will perform the following tasks:

- A ° Continue to assist NASA in the design, development, and testing of the VAS instrument;
- B ° Conduct a thorough system design analysis prior to launch to determine anticipated performance, error budget, and optimum operating modes for specific objectives;
- C ° Research techniques for VAS data;
- D ° Develop the optimized data processing system which meets the requirements of the VAS demonstration;
- E ° Implement a prototype data processing system in time for the VAS launch, including remote terminals at NASA/GSFC and NOAA/NESS;
- F ° Collect and process post-launch data and evaluate VAS system performance and operational capabilities.

This effort extends over nearly 5 years as necessitated by the scheduled launch in early 1980. The requested level of support is heaviest during the 2 years prior to launch when the major processing system development and implementation are scheduled. Over these 5 years, modifications of specific tasks and schedule are anticipated and will be made to conform to the final NASA plans for the VAS demonstration.

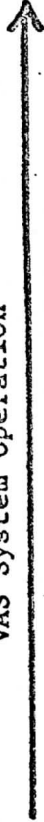
THE PRIMARY NEED



INFORMATION

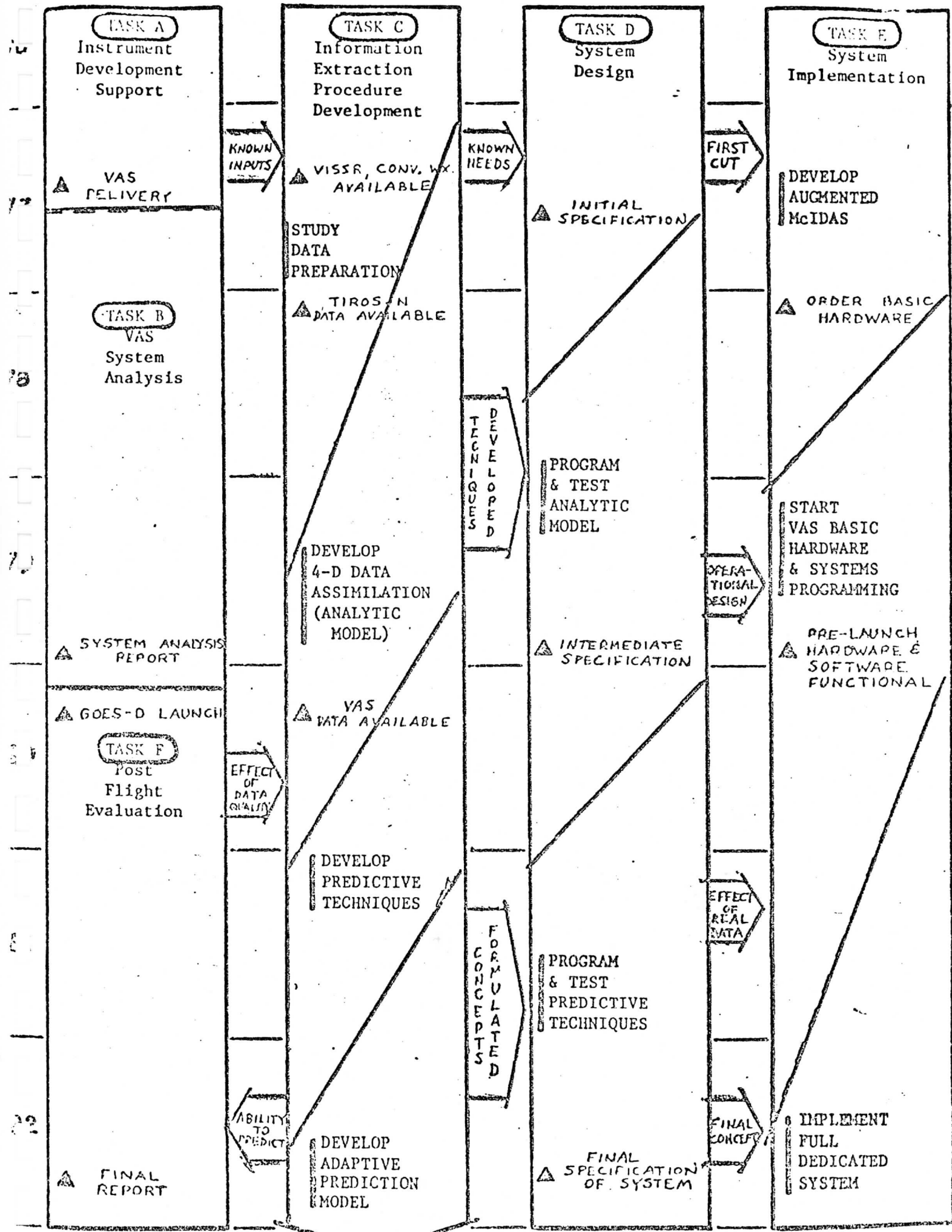
Collection Analysis Synthesis Test

VAS System Operation



VAS System Design

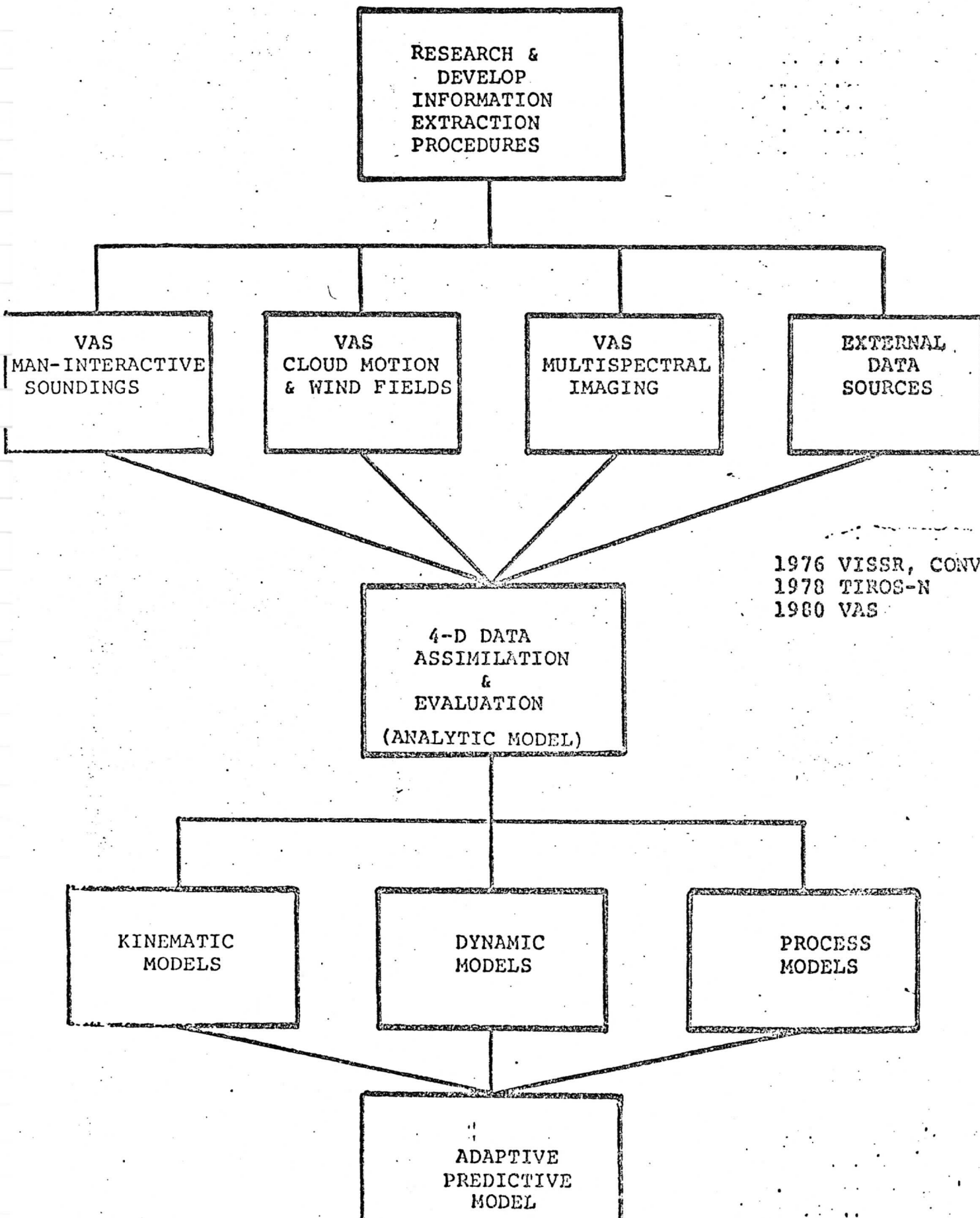




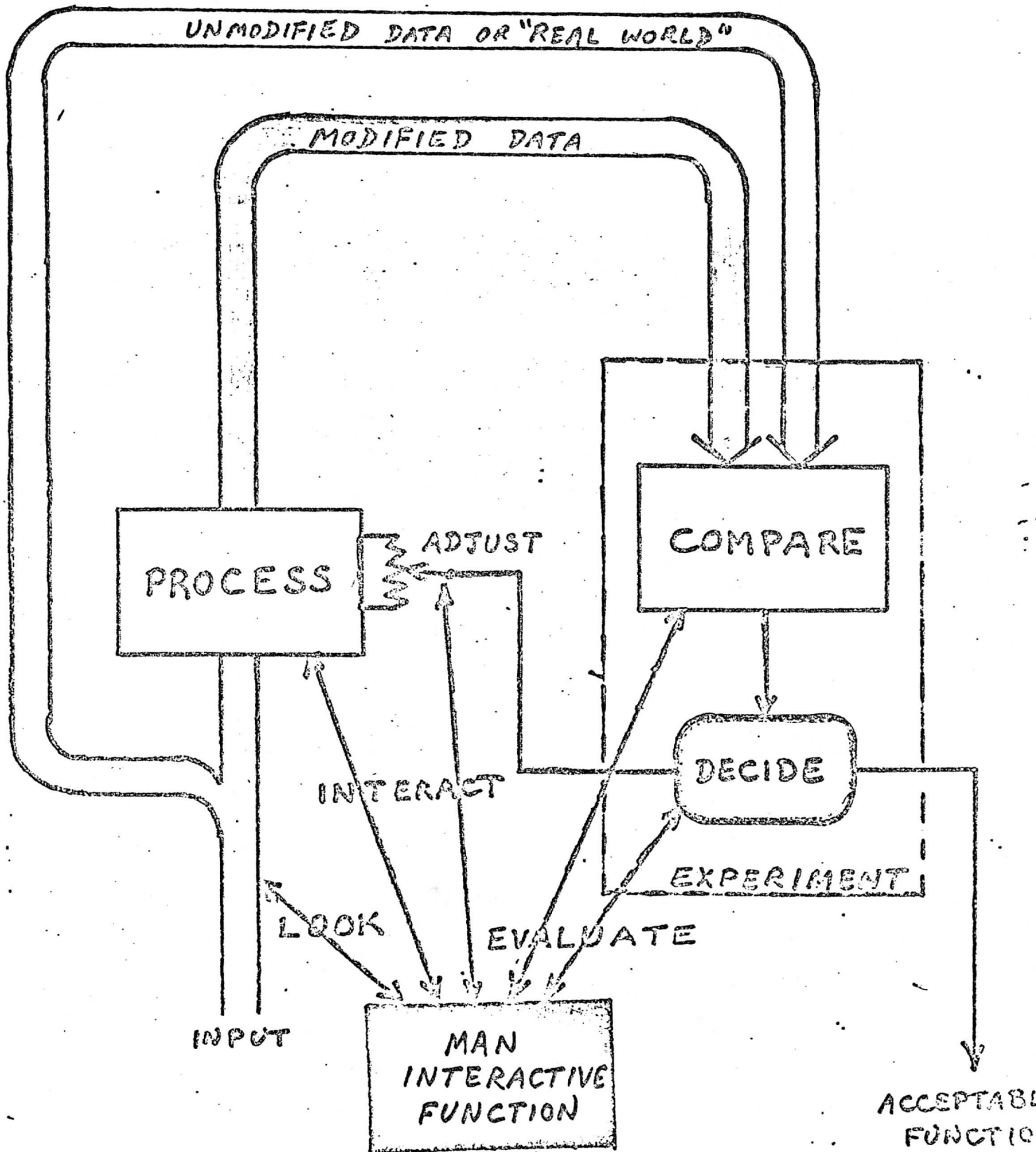
SSEC APPROACHES TO THE VAS DEMONSTRATION

1. Approach to processing concept development
--CLOSED LOOP FEEDBACK
2. Approach to system concept development
--MCIDAS EXPERIENCE
3. Approach to system specification
--VOLUME/WORK ANALYSIS
4. Approach to system evaluation
--PURPOSES OF VAS RESEARCH

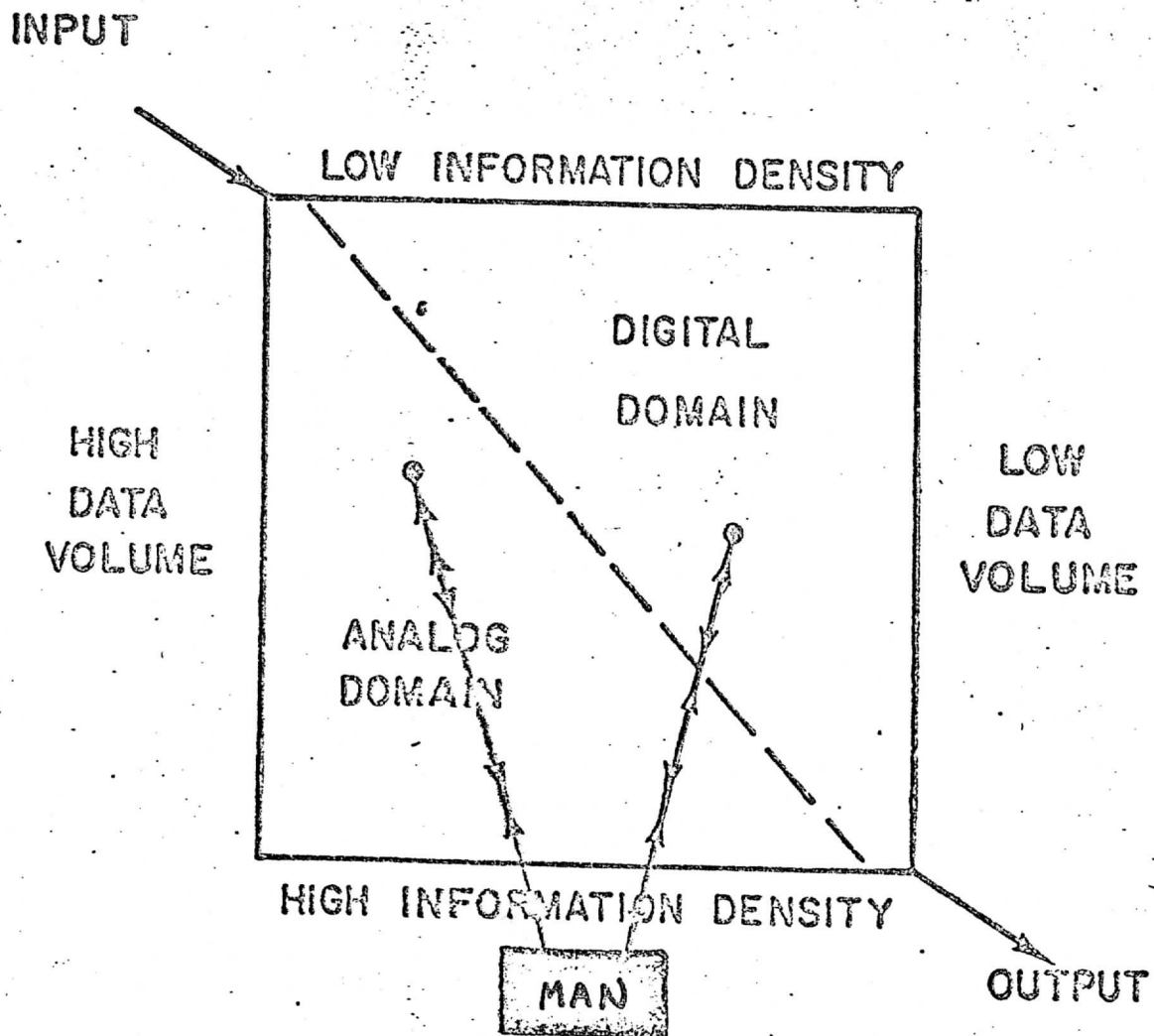
APPROACH TO TECHNIQUE AND PROCEDURE DEVELOPMENT (Task C)



SCHEMATIC OF PROCESS OF TECHNIQUE DEVELOPMENT

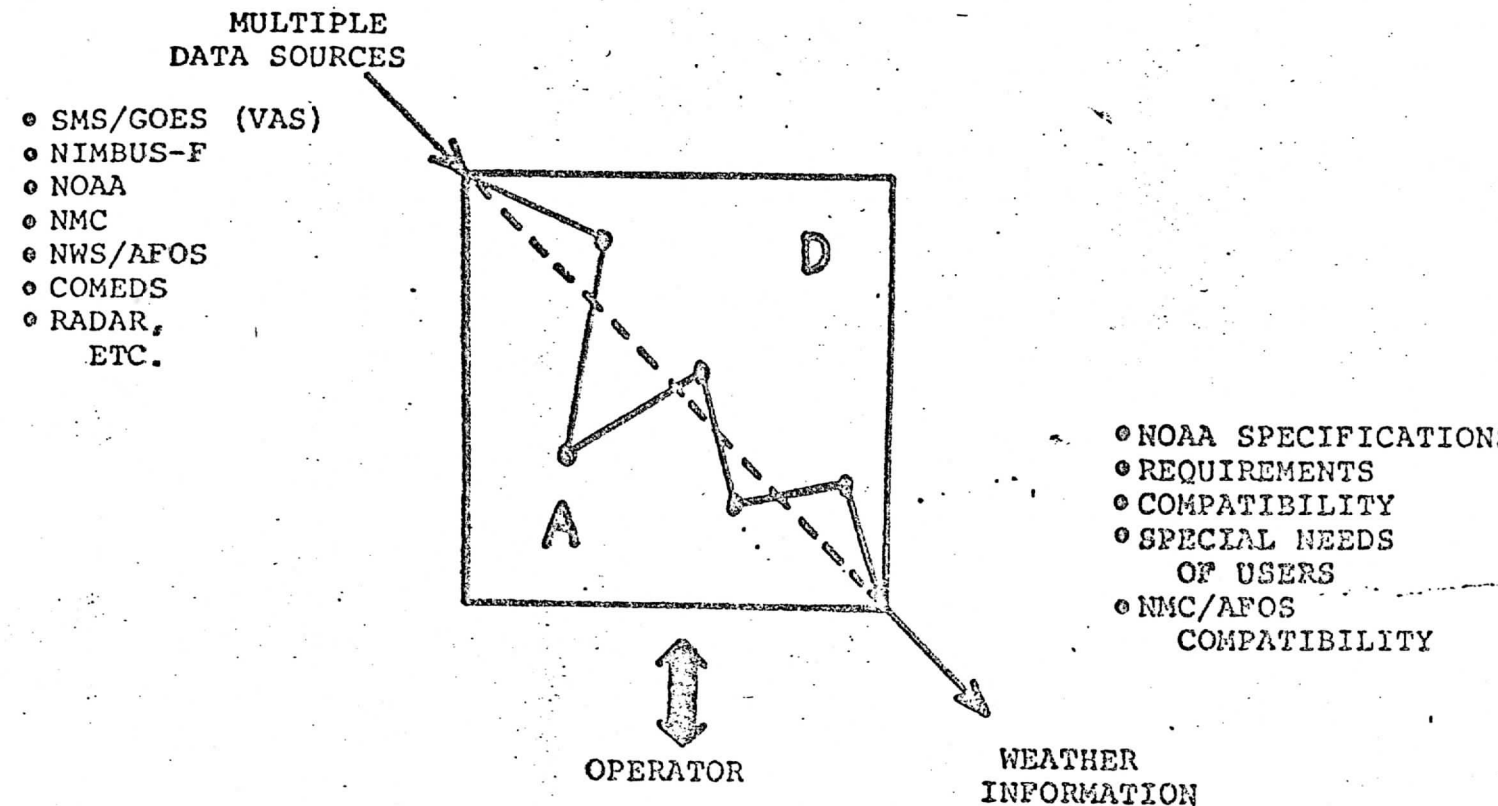


THE McIDAS APPROACH
TO CONTINUOUS FLOW
DATA PROCESSING
(Tasks D & E)



APPROACH TO SPECIFICATION OF INITIAL SYSTEM DESIGN

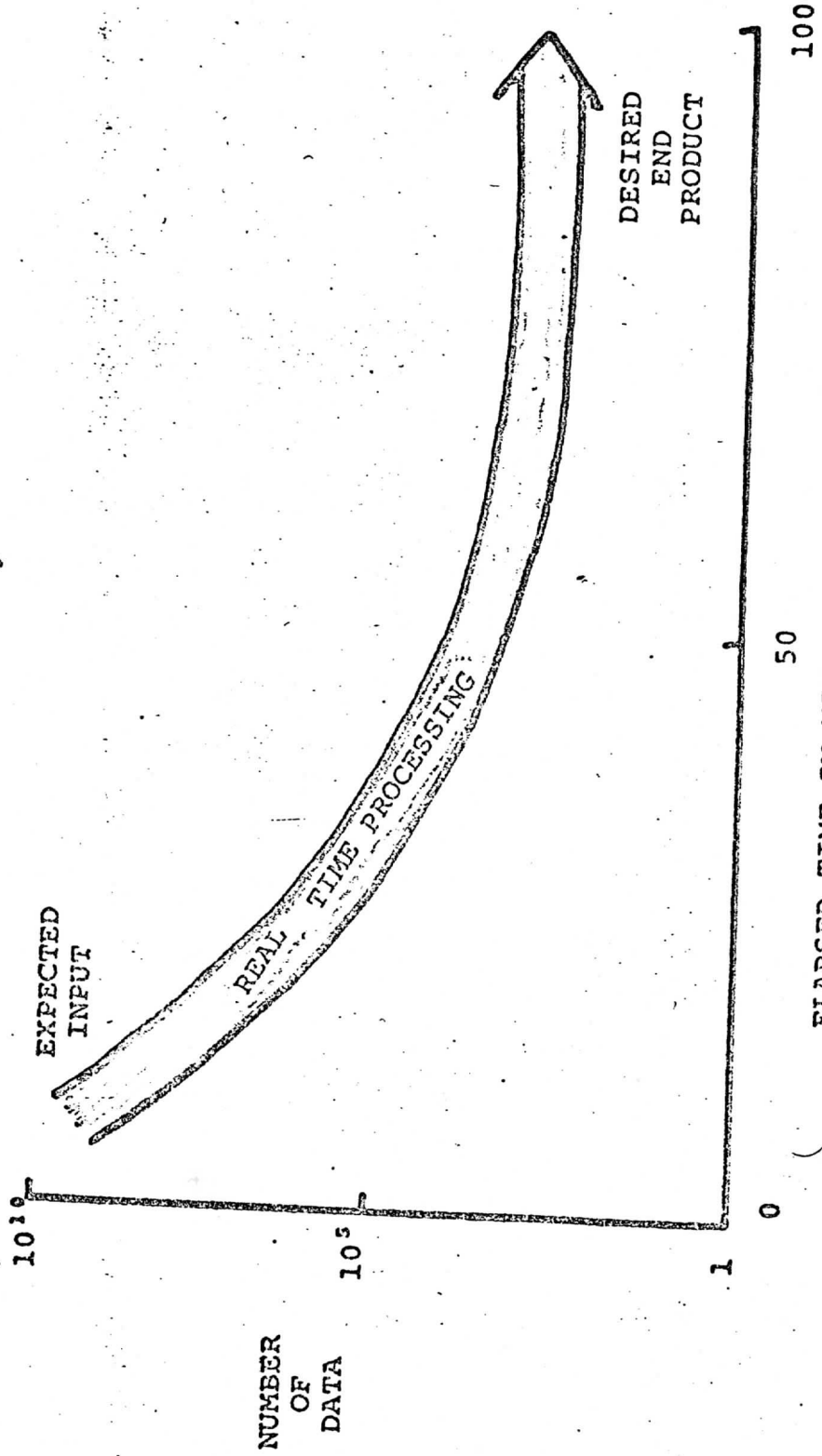
(Tasks D&E)



KINDS OF OBJECTIVE MANIPULATIONS & THEIR PRIMARY USES:

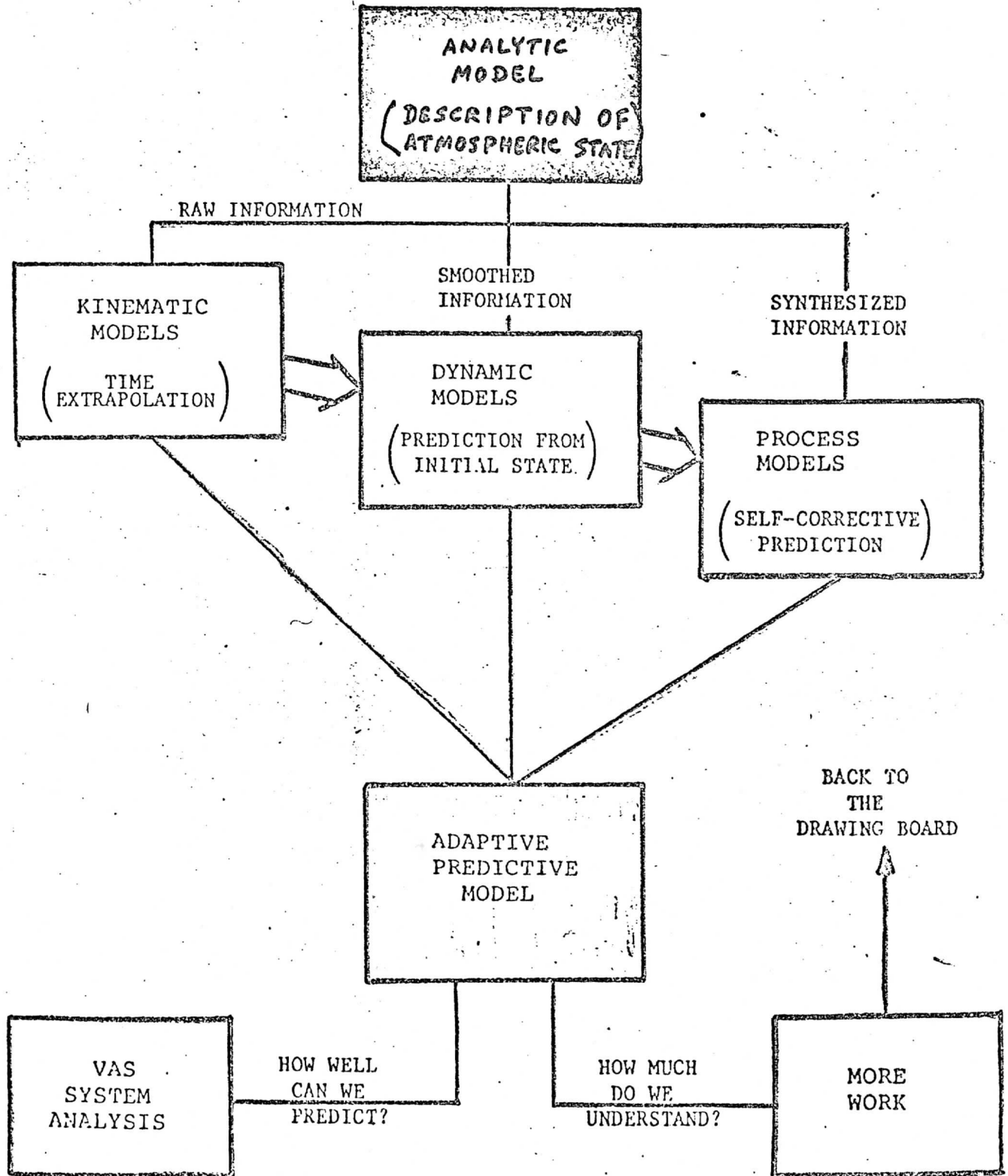
<u>INFORMATION SOURCE</u>	<u>MAIN PURPOSE (MENU)</u>
★ COMPOSITE DATA	DESCRIBE ATMOSPHERIC STATE AND ONGOING PROCESSES
★ ENHANCED DATA	DESCRIBE CHANGES, EVOLUTION GRADIENTS, DISCONTINUITIES
★ DERIVED DATA	INFERENCES, INTERPOLATIONS EXTRAPOLATIONS IN TIME SYNTHETIC DATA DERIVATION
↓	↓
SYSTEM (=PROCESSES)	PREDICTION (=KNOWLEDGE)

ANALYSIS OF DATA VOLUME VS. APPLIED WORK (Tasks D+E)



(WORK = TIME X MAX. RATE OF CALCULATION)

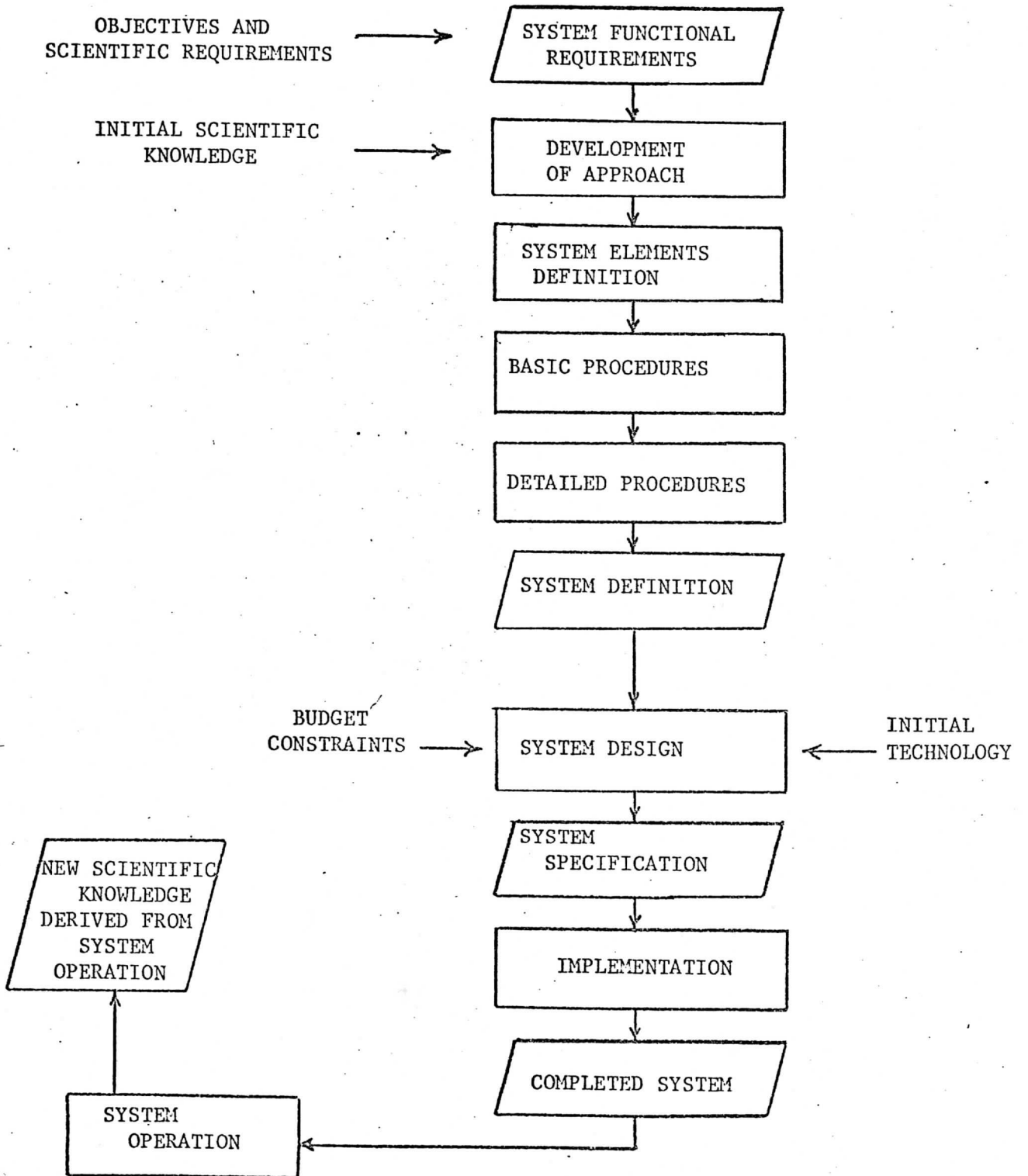
SYSTEM EVALUATION APPROACH (Task F)



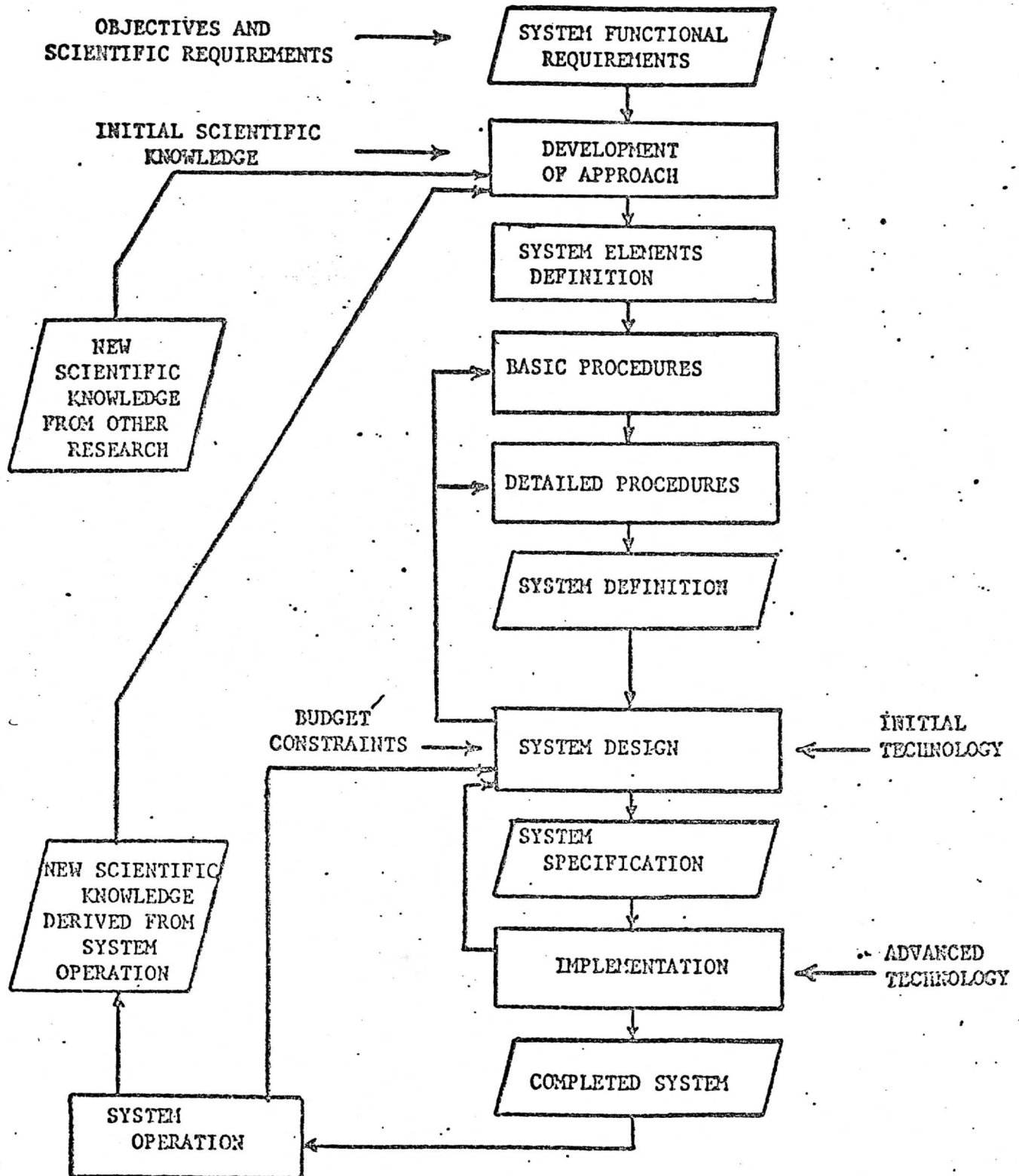
II. FRAMEWORK FOR A SYSTEM DEFINITION

- A. STAGES IN SYSTEM DEVELOPMENT
- B. STRUCTURE OF A SYSTEM DEFINITION
- C. GENERAL DEFINITION OF SYSTEM ELEMENTS
- D. ROLE OF FEEDBACK INTERACTIONS BETWEEN ELEMENTS
- E. ROLE OF MAN INTERACTIONS

A. STAGES IN SYSTEM DEVELOPMENT



A. STAGES IN SYSTEM DEVELOPMENT
 (DEVELOPMENT IS A FEEDBACK NETWORK THAT MUST BE CAPABLE OF ADAPTING TO NEW INFORMATION)

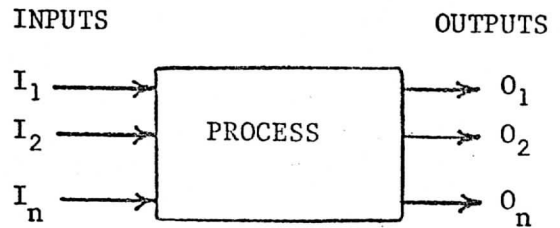


B. STRUCTURE OF A SYSTEM DEFINITION

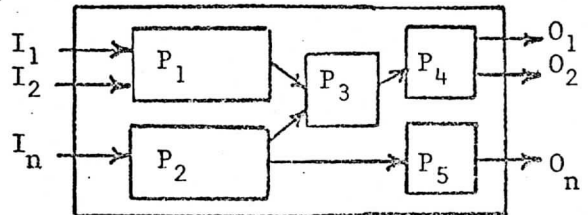
COMPONENTS OF A DEFINITION:

INPUTS, OUTPUTS, PROCESSES, AND INTERACTIONS

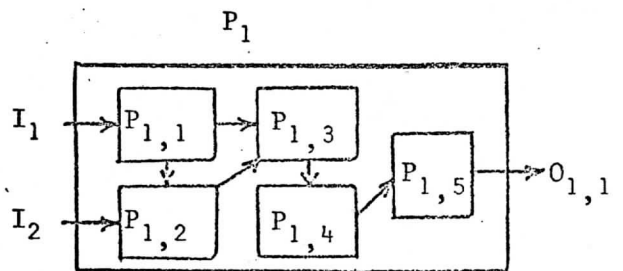
LEVEL 1
(SYSTEM FUNCTION)



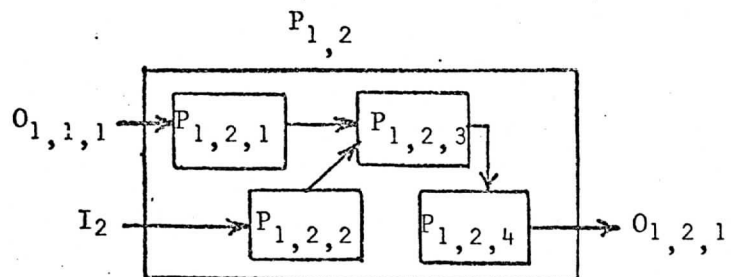
LEVEL 2
(FUNCTIONAL ELEMENTS AND INTERACTIONS)



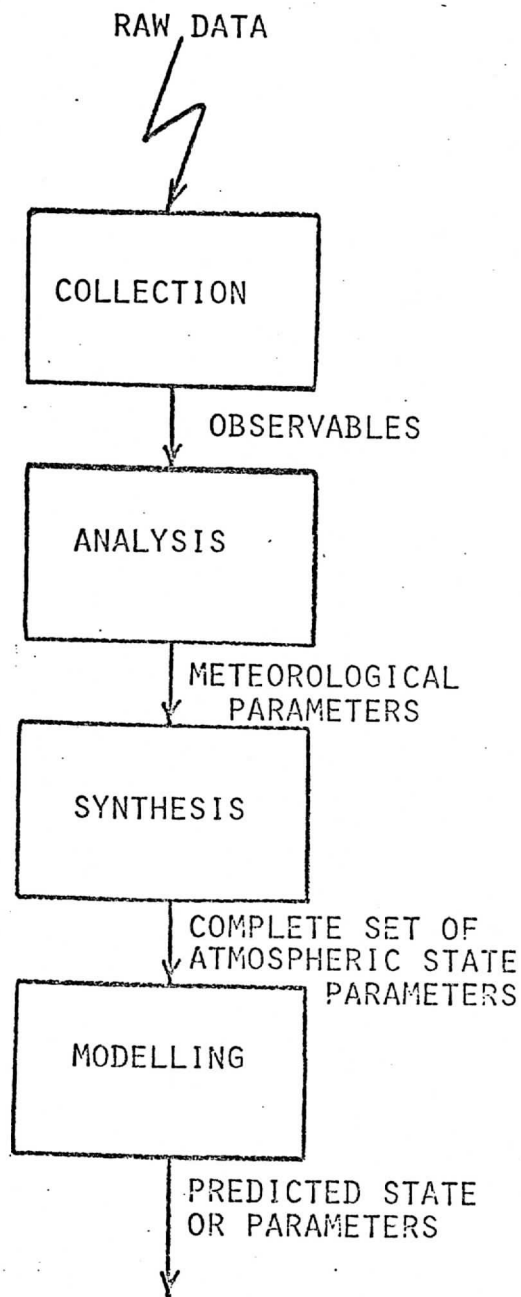
LEVEL 3
(BASIC PROCEDURES FOR EACH ELEMENT)



LEVEL 4
(DETAILED PROCEDURES FOR EACH BASIC ELEMENT)



C. GENERAL DEFINITION OF SYSTEM ELEMENTS. (1)



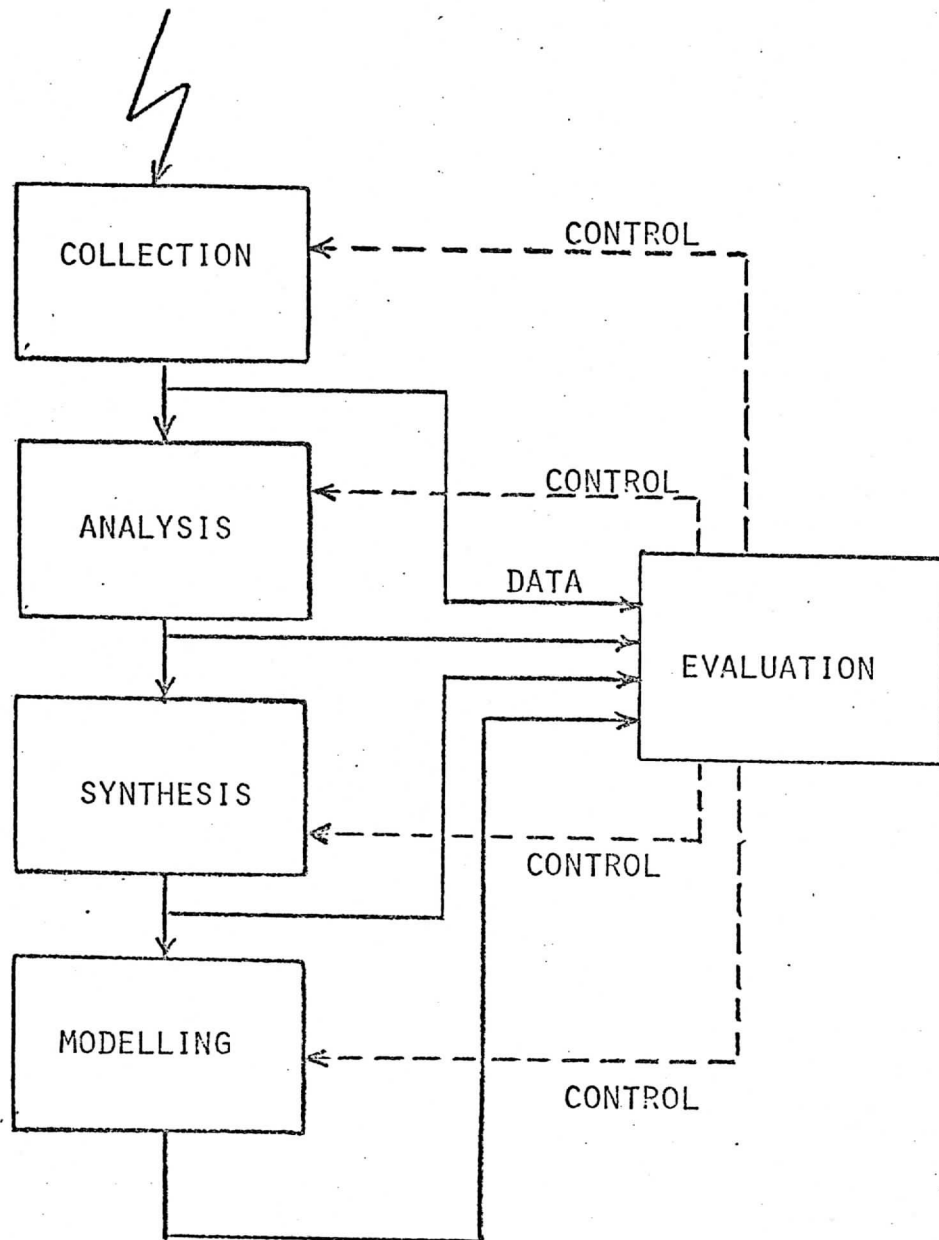
RECEIVE RAW DATA, PREPROCESS TO OBTAIN PHYSICAL OBSERVABLES, AND FILE

PROCESS OBSERVABLES TO DERIVE METEOROLOGICAL PARAMETERS

PRODUCE COMPLETE AND CONSISTENT 4-DIMENSIONAL DATA SET OF ATMOSPHERIC STATE PARAMETERS

PREDICT FUTURE STATE OF ATMOSPHERE OR DETERMINE AUXILIARY DESCRIPTORS; USE NUMERICAL DYNAMIC MODELS WHERE POSSIBLE

GENERAL DEFINITION OF SYSTEM ELEMENTS (2)



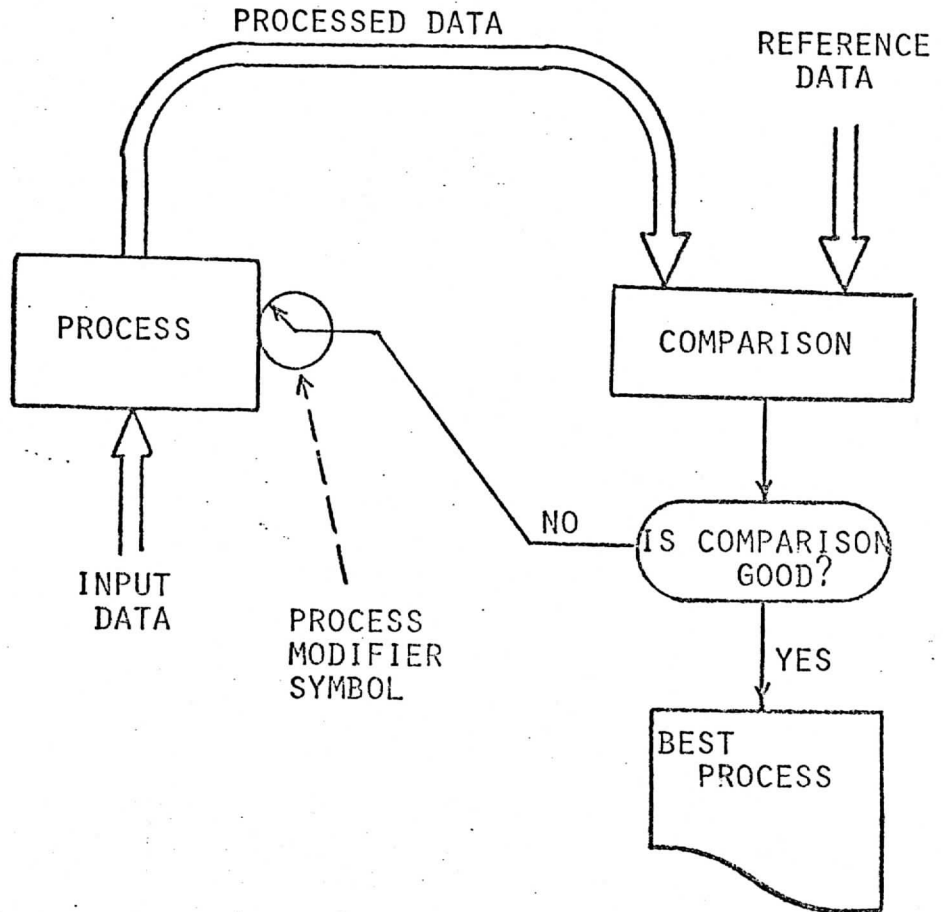
EVALUATION - COMPARISON OF ANY OUTPUT WITH A REFERENCE STANDARD, TESTING THE EFFECT OF A PROCESS ON THE COMPARISON, AND CONTROLLING DATA AND/OR PROCESS TO OPTIMIZE PERFORMANCE

D. ROLE OF FEEDBACK INTERACTIONS IN SYSTEM
EVOLUTION (1)

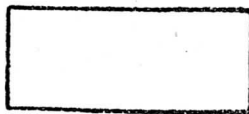
- EVALUATION MUST OCCUR AT EACH STAGE IN SYSTEM DEVELOPMENT
- OUTPUT OF EACH ELEMENT WILL BE COMPARED AGAINST REFERENCE STANDARDS AT EACH STAGE
- PROCESSES AND/OR DATA INPUTS WILL BE MODIFIED TO DETERMINE EFFECTS ON COMPARISON
- BASED ON RESULTS OF PROCESS MODIFICATION TESTS, PROBLEM AREAS WILL BE IDENTIFIED AND MODIFIED PROCESSES WILL BE RATED
- WHERE POSSIBLE TESTED IMPROVEMENTS WILL BE IMPLEMENTED

ROLE OF FEEDBACK (2)

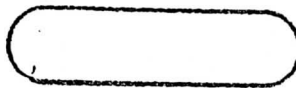
EXAMPLE OF FEEDBACK LEARNING:



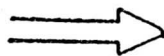
CODE:



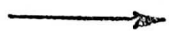
PROCESS
ACTIVITY



DECISION



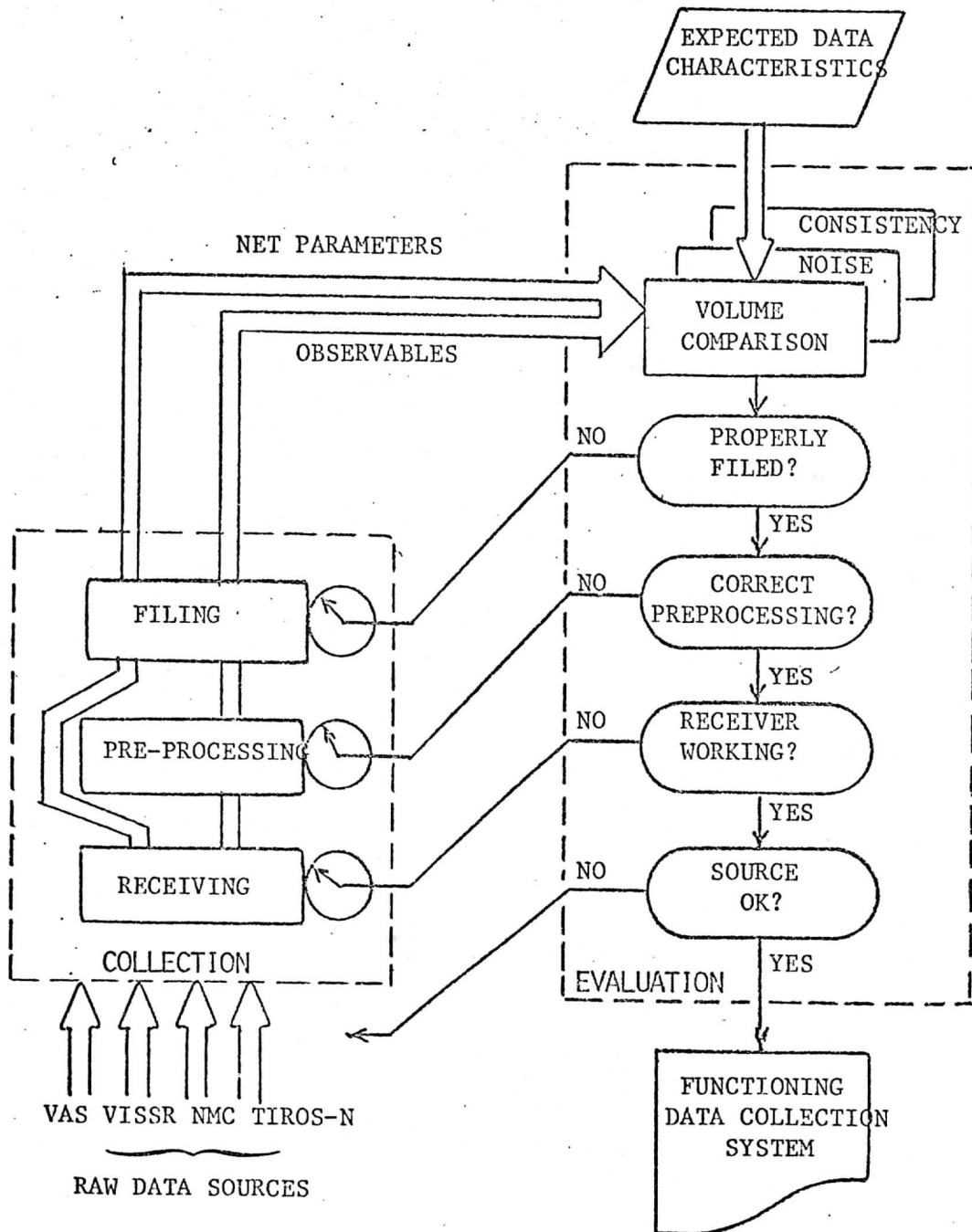
DATA FLOW



TEST FLOW

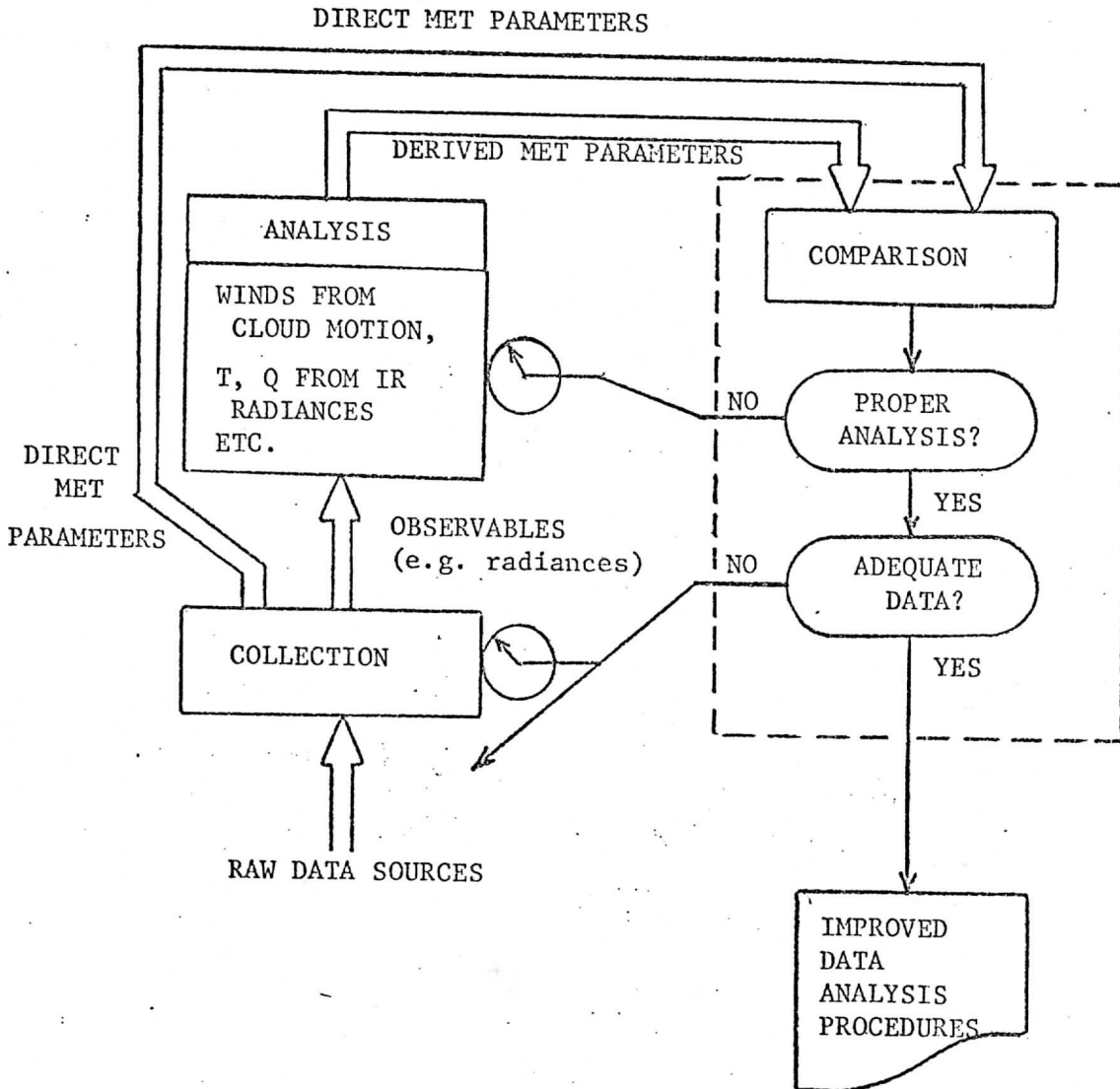
ROLE OF FEEDBACK (3)

TESTING AND ADJUSTMENT OF DATA COLLECTION SYSTEM



ROLE OF FEEDBACK (4)

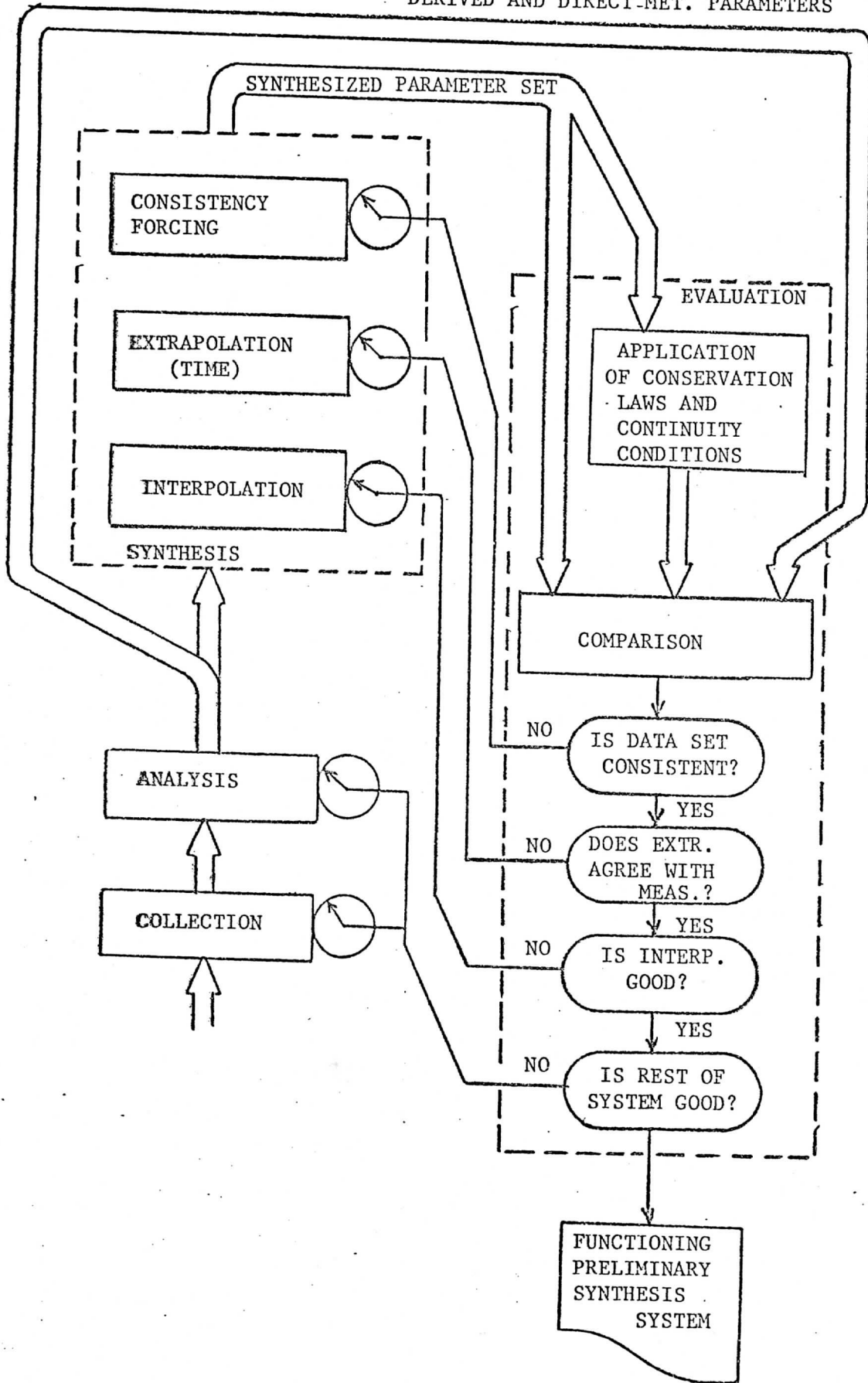
TESTING AND OPTIMIZATION OF DATA ANALYSIS SYSTEM



ROLE OF FEEDBACK (5)

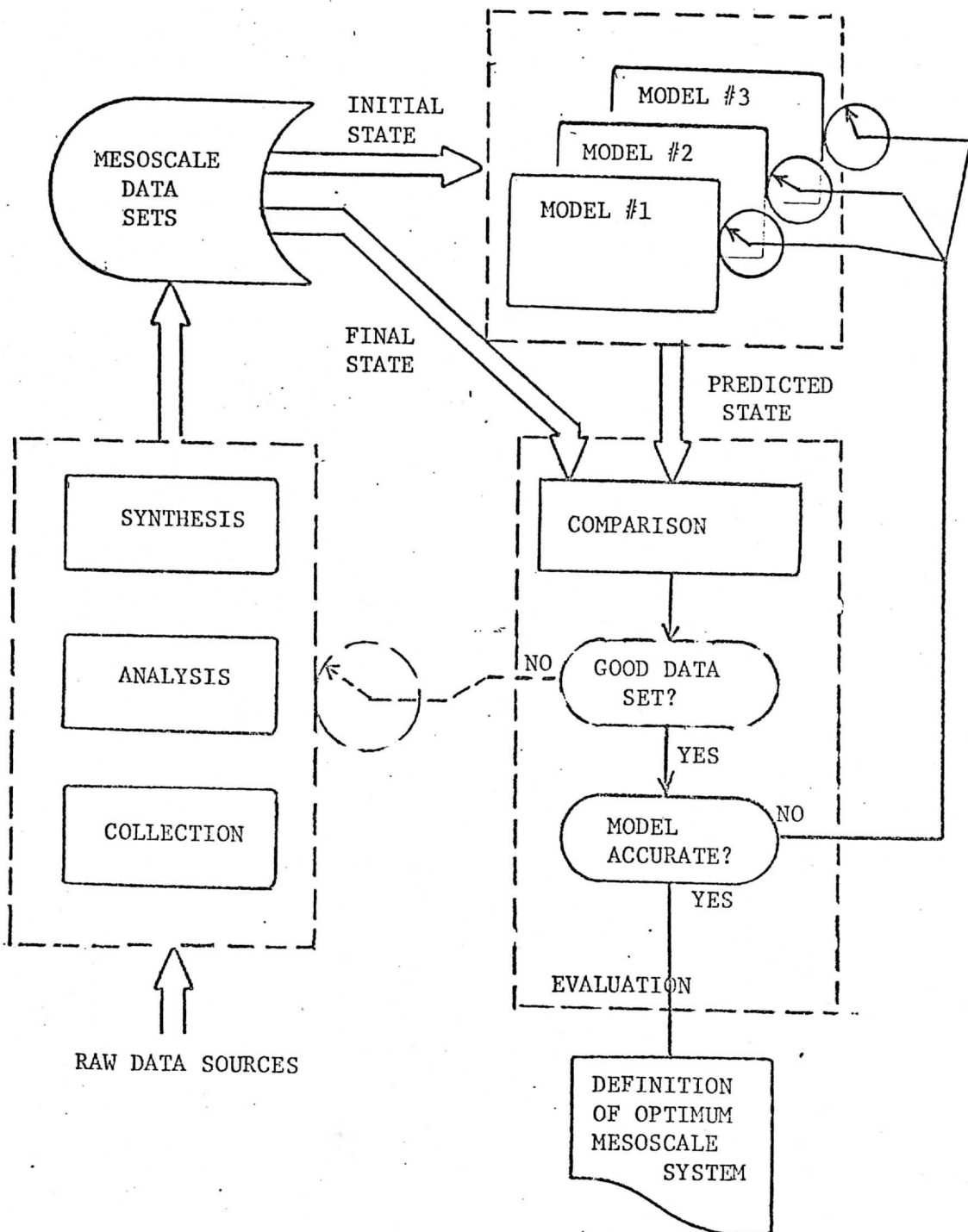
TESTING AND ADJUSTMENT OF SYNTHESIS PROCESS

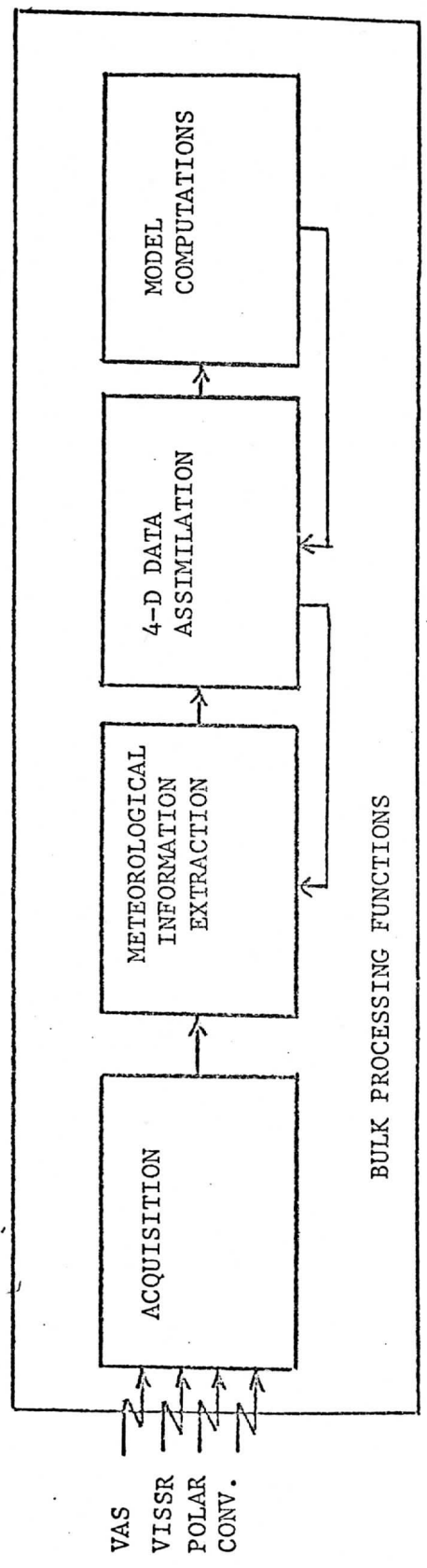
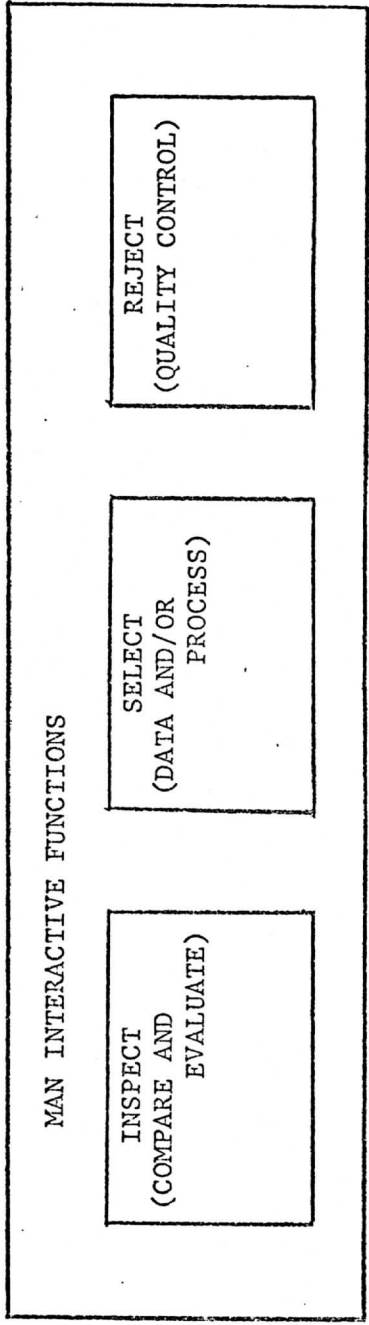
DERIVED AND DIRECT-MET. PARAMETERS

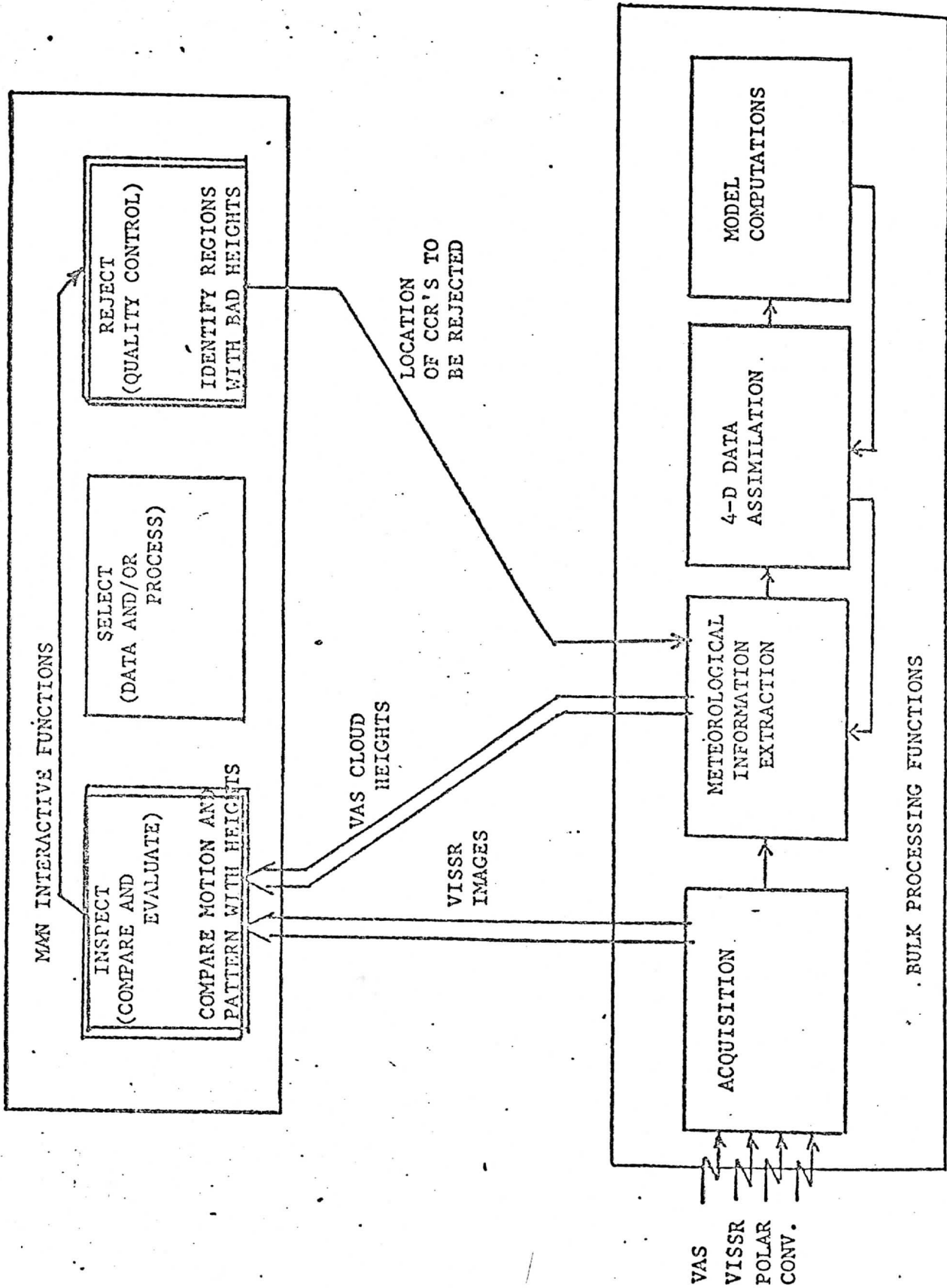


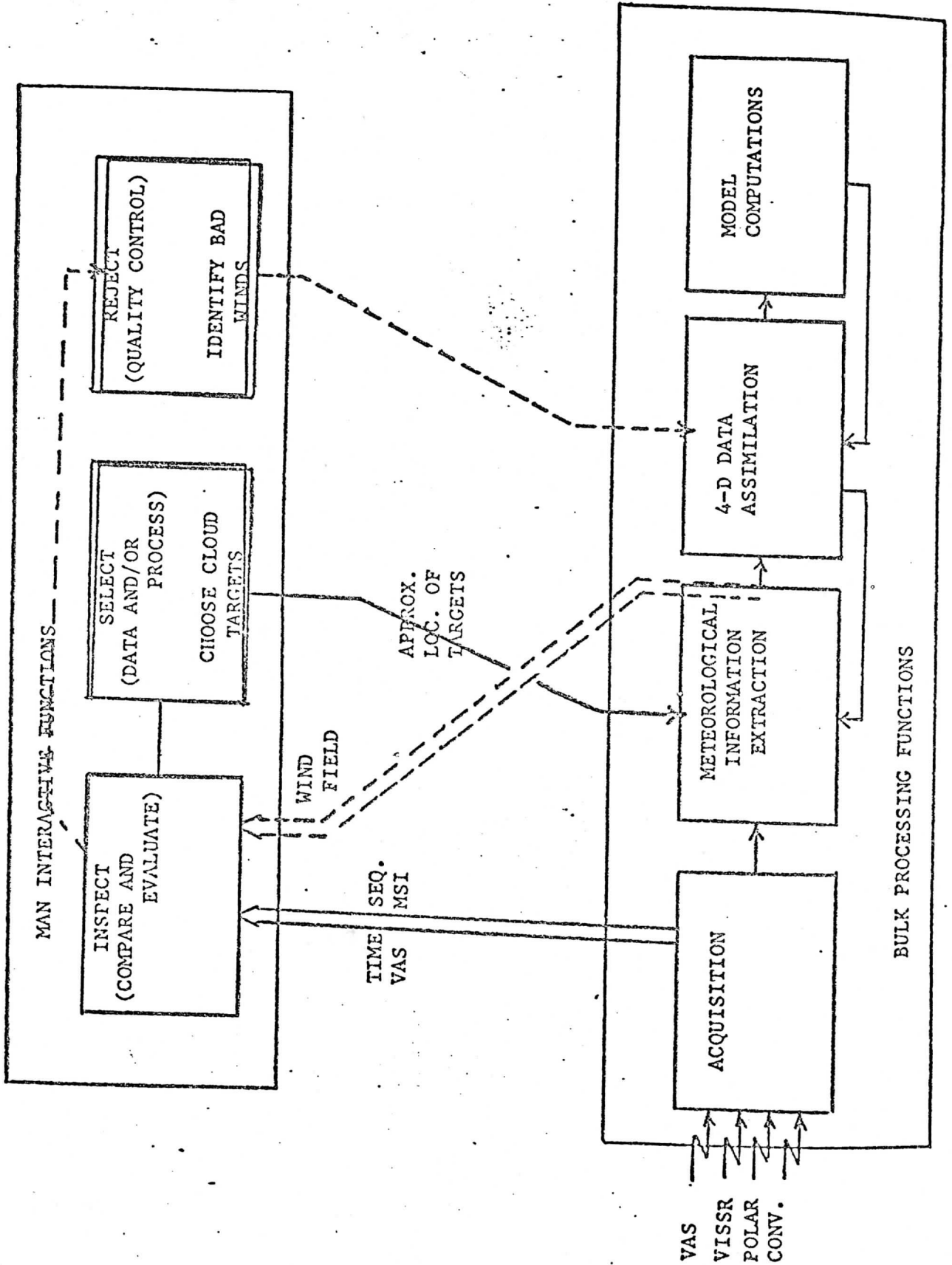
ROLE OF FEEDBACK (6)

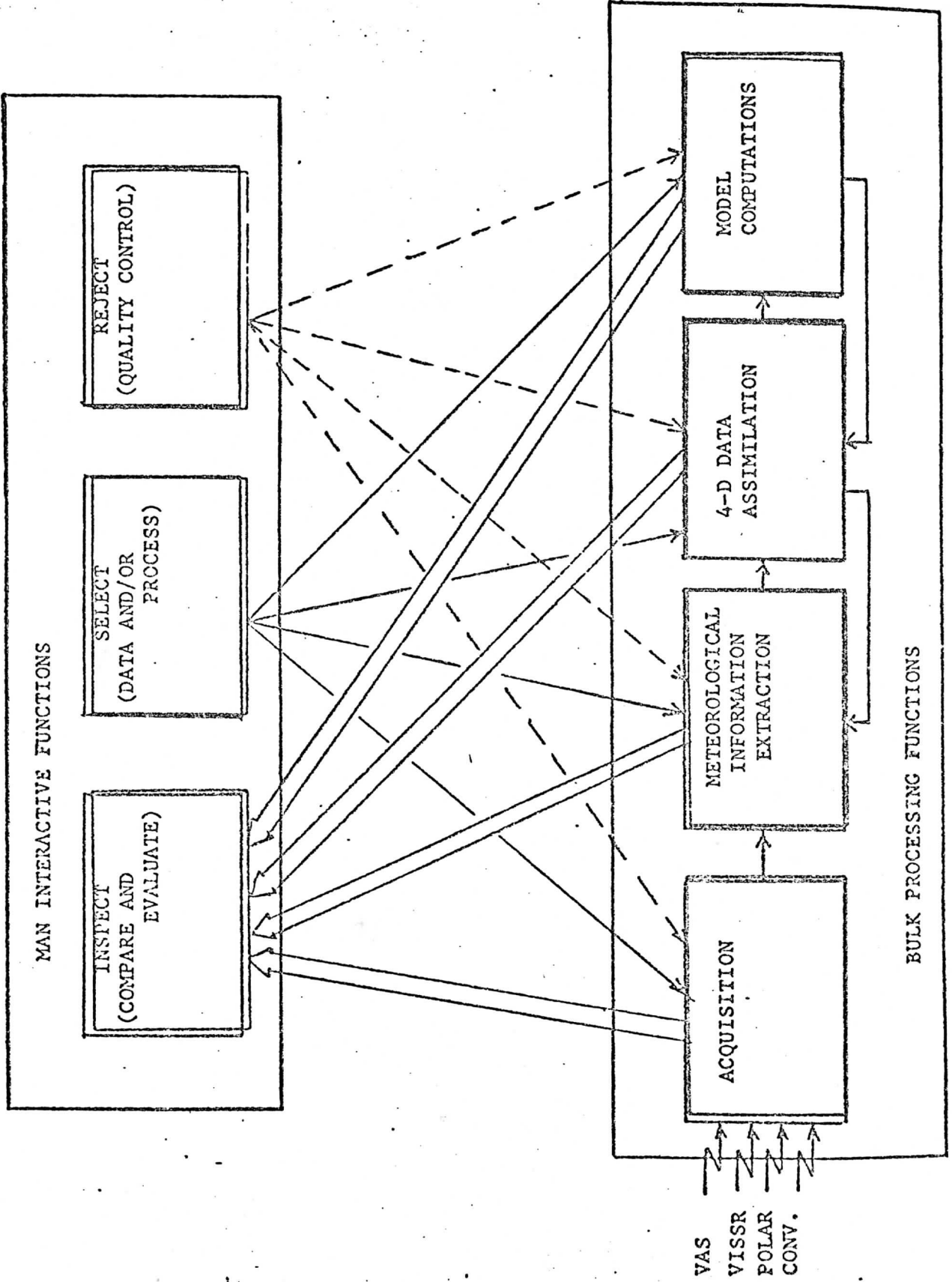
USE OF SYSTEM IN MODEL EVALUATION (STAGE I)
AND IN SYSTEM OPTIMIZATION (STAGE II)











III. DATA COLLECTION

1. What sources will be used to observe mesoscale phenomena? How will they be useful?
2. A concept for the selection of the VAS operating mode.
3. The status of the definition of Data Collection from the different sources.

Describing the Mesoscale with VAS and Ancillary Data

- ① To "see" all aspects of mesoscale phenomena, acquire as complete a four dimensional data set as possible.
- ② Sources of meteorological observations include
 - a. VAS
 - b. VISSR
 - c. polar orbiting satellites
 - d. conventional weather data
(NMC, AFOS, or Kansas City)
 - e. digital radar
- ③ Different sources satisfy meteorological knowledge requirements necessary to monitor and predict mesoscale phenomena.

Mesoscale Phenomena
Space and Time Resolution

Atmospheric Condition	Resolution Desired	
	Space	Time
Stable	200-400 Km	1-2 Hr
Active	20-50 Km	1-2 Hr
Severe	20-50 Km	5-15 Min

note: Synoptic scale phenomena are adequately parameterized by observations separated by 300-400 Km at 4-6 hour intervals.



ADMINISTRATIVE

Desig. No.

Date

Time

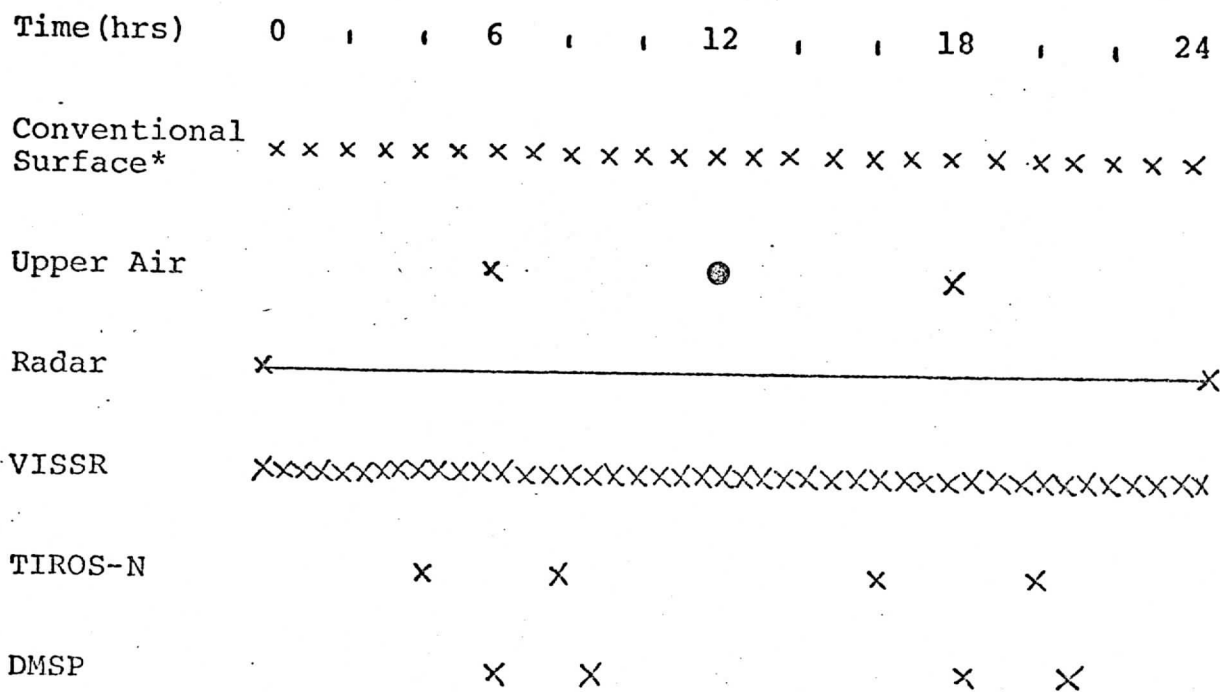
VAS will fill

observation time and space

gaps

NOTE: The following parameter is
to be used in the program at 4-6 only

Timeliness of Ancillary Data



* Capability to incorporate Special Observations

⊙ Information here is derived from NMC Model (departures from balance then indicate possible areas of interest)

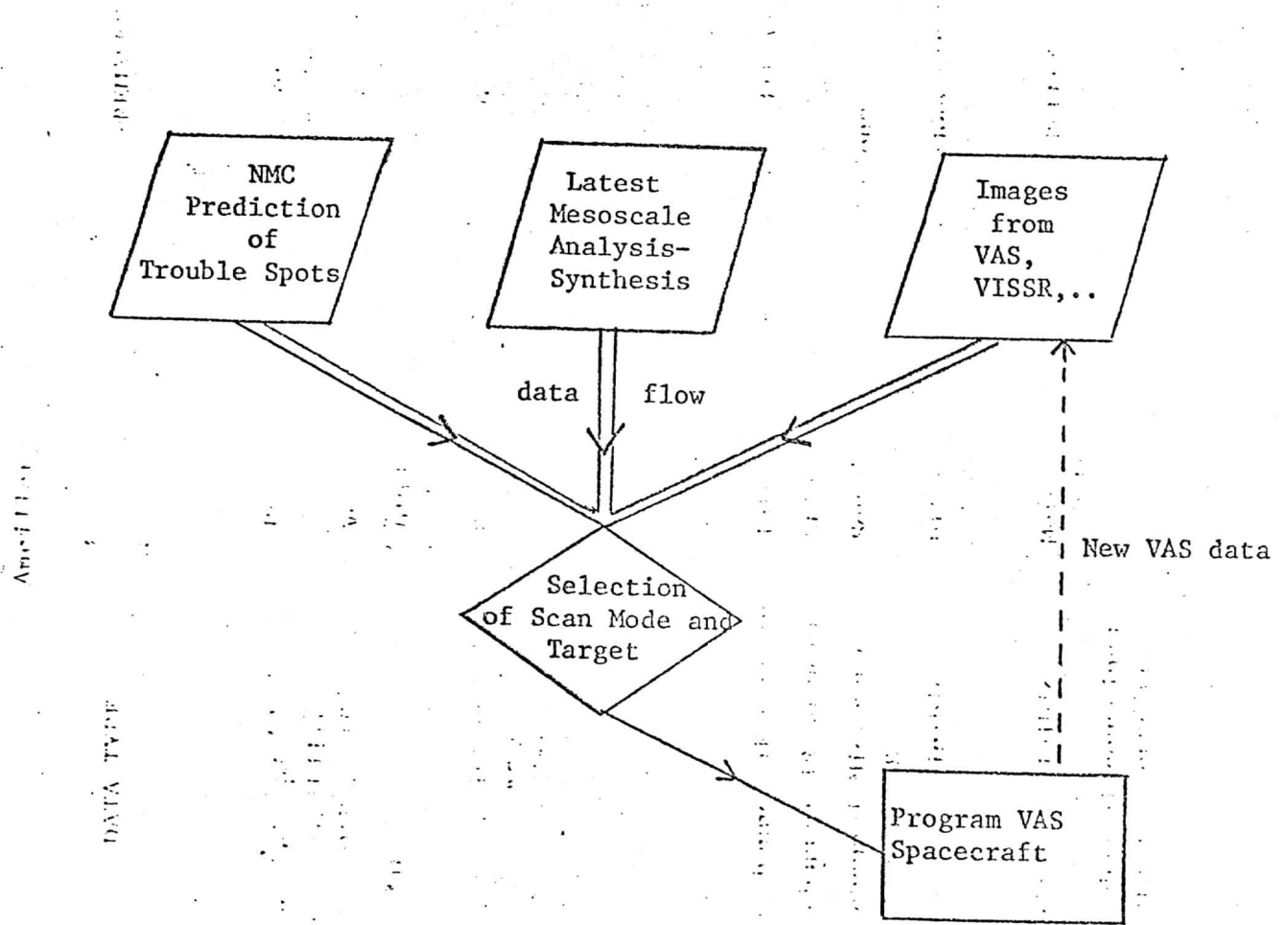
Ancillary Data will

- Provide description of the boundary layer
- Provide ground truth information
- Provide soundings where there are clouds

Ancillary Data

SOURCE	DATA TYPE	REMARKS
Conventional Weather Data - surface - upper air - radar	T _s , P _s , V _s , cloud hts. visibility T(P, Q(P), v(P) radar	Description of Boundary Layer, Ground Truth Information Auxilliary Data for Clear Column Retrieval Vertical Resolution of Temperature and Humidity Fields Liquid Water Content
VISSR	high resolution visible images, IR window images	Description of Motion Fields, Cloud Types
Polar Orbiting Satellites - TIROS-N • BSU SSU MSU AVHRR	14 channel IR sounding 3 channel IR sounding 4 channel Microwave sounding high res. visible images	Intercomparison Soundings with VAS Stratospheric Soundings Soundings in Cloudy Regions Different Viewing Angle than VISSR helps with Cloud Height Determination
- DMSP	high res. visible images IR channel soundings Microwave soundings	More Complete Data Base, Better Cloud Height Determinations

Concept for Selection of VAS Operating Mode



Status of Data Collection Definition

	Conventional Weather ^x	VISSR	TIROS-N	VAS
Reception	defined	defined	not defined ^y	defined
Decoding Signal	defined	defined	not defined	partially defined
Reformatting and Averaging	defined	defined	not defined	partially defined
Calibration	N/A	defined	being defined at NOAA	partially defined
Navigation	N/A	defined	being defined at NOAA	partially defined
Archiving	not defined	not defined	not defined	not defined

x plan to implement NMC phone line in 77

y three alternatives are under consideration

Data Collection Capability Available on McIDAS Now

	z			
	✓	✓		
Database	✓	✓		Database
Forecast	✓	✓		
	✓	✓		
	✓	✓		
Archives	✓	✓		Archives

z Kansas City phone line available

TIROS-N DATA Acquisition Options

Acquisition Mode	Information Received*	Remarks
VHF Antenna	Basic Sounding Unit, Stratospheric Sounding Unit, Microwave Sounding Unit (this data is located in the TIP-TIROS Information Processor)	real time coverage
S-band Antenna	TIP and Advanced Very High Resolution Radiometer (AVHRR)	coverage at 1 Km resolution received real time
Suitland hook-up	S/C tape recorder (includes TIP, AVHRR, and global data)	global coverage at 4 Km resolution; limited area coverage at 1 Km resolution; tape recorder play back may not be timely for real time analysis

* HIRS data will also be available, but acquisition mode necessary is unclear at this time.

IV. ANALYSIS:

Extraction of meteorological determinations
from observables

Outline

1. Inputs & Outputs
2. Techniques
 - a. General description
 - b. Specific algorithms

PRIMARY INPUT TYPES

Type

Characteristics of Observables

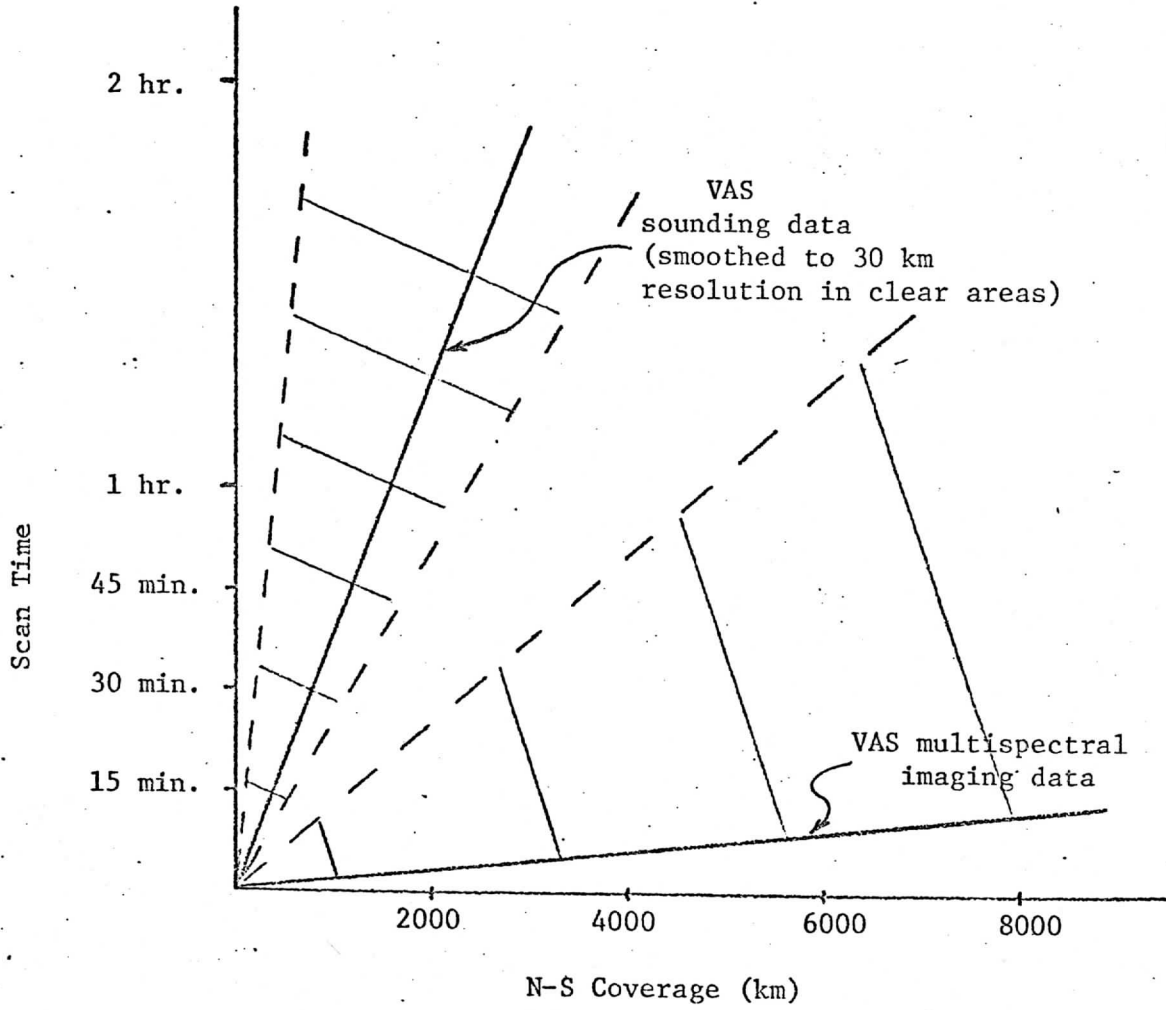
Sounding Data

- ① Spectral radiances giving complete vertical coverage
- ② Sampling sufficient to give 1 Wark accuracy after time & space smoothing

Imaging Data

- ① Spectral radiances chosen for specific application (incomplete vertical coverage)
- ② Sampling required varies with application

SPACE & TIME SCALES FOR VAS
SOUNDING & IMAGING DATA



OUTPUTS

Clear areas:

- Water vapor fields
- Winds from water vapor tracking
- Temperature fields
- Surface temperature

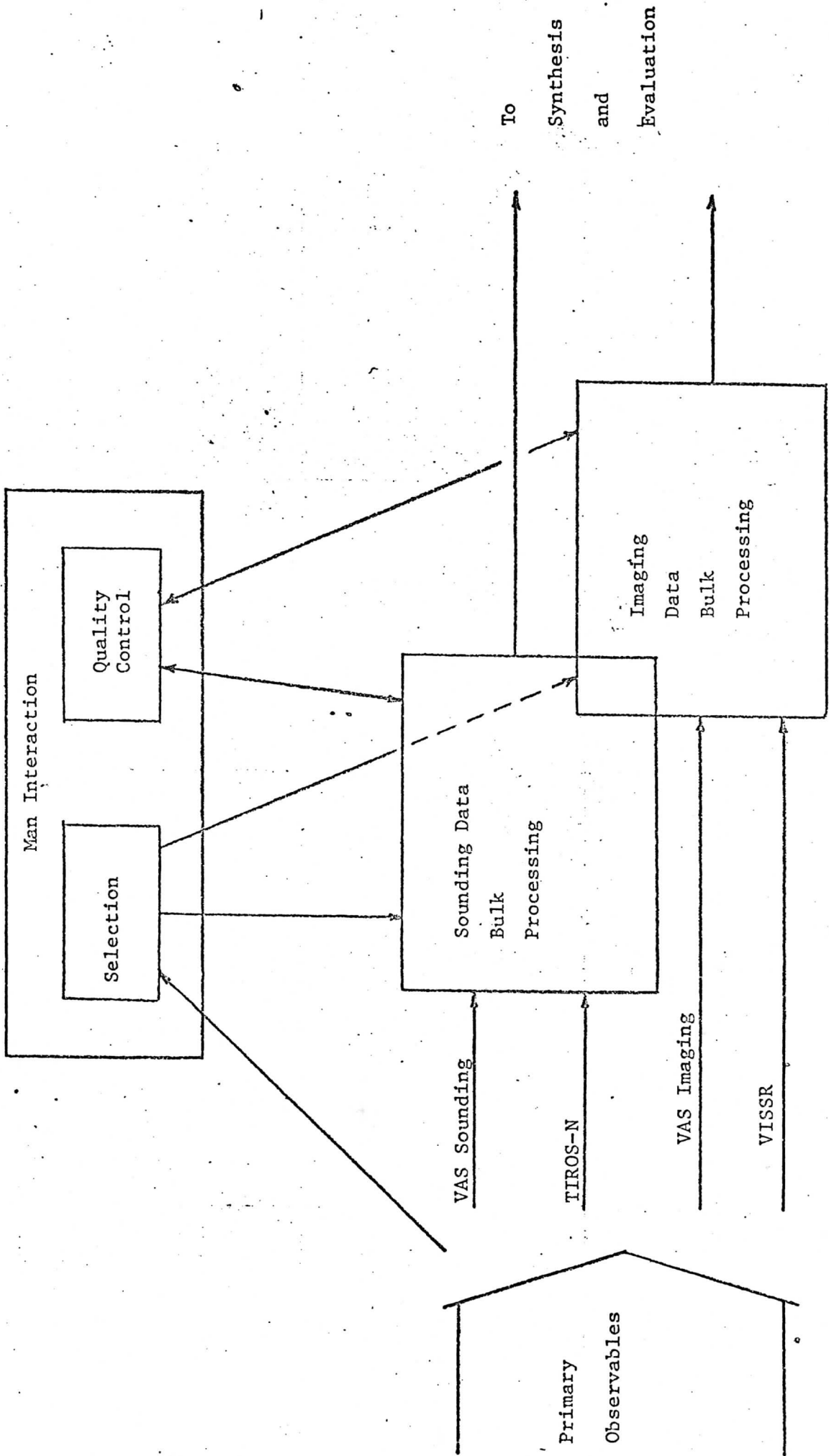
Cloudy areas:

- Winds from cloud tracking
- Cloud heights
- Liquid water content
- Cloud types

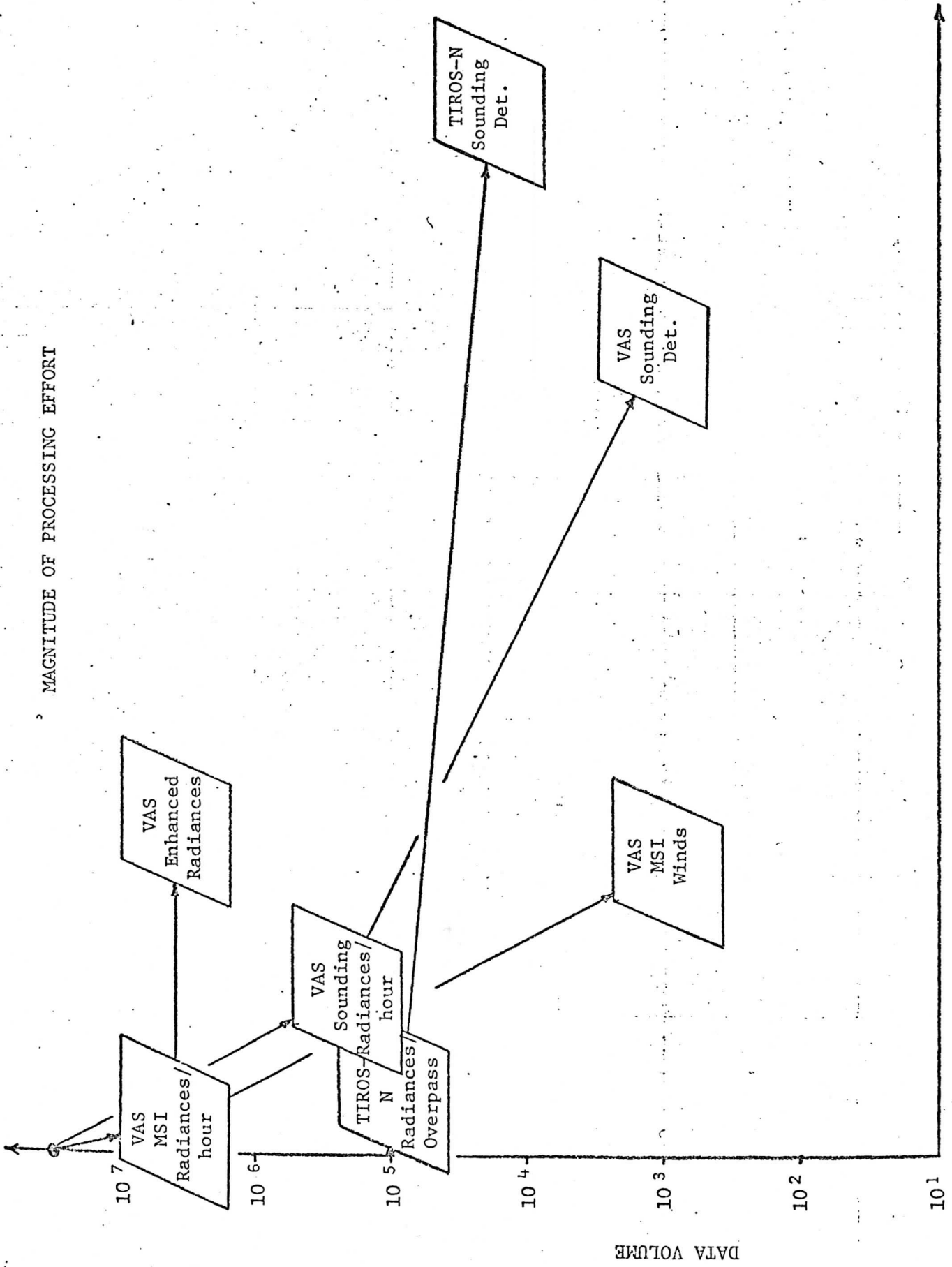
OVERVIEW OF TECHNIQUE STATUS

	Input Sources	Outputs	Status of Analysis Techniques
Sounding Analysis	<p>Primary sources: VAS TIROS-N Auxilliary sources: Assimilated data Historical data VISSR images</p>	<p>q(P) T(P) Pcloud Tsurface</p>	<p>Existing polar orbiting sounder techniques need adaptation to interactive small machine environment.</p>
Imaging Analysis	<p>Primary sources: VAS VISSR Auxilliary sources: Assimilated data</p>	<p>- Cloud motion winds - Pcloud - Water vapor motion winds Liquid water content Tsurface Water vapor distribution Lower tropospheric temperature Cloud type</p>	<p>- McIDAS techniques directly applicable - Existing polar orbiter techniques applicable - Need development</p>

MAN INTERACTION IN ANALYSIS

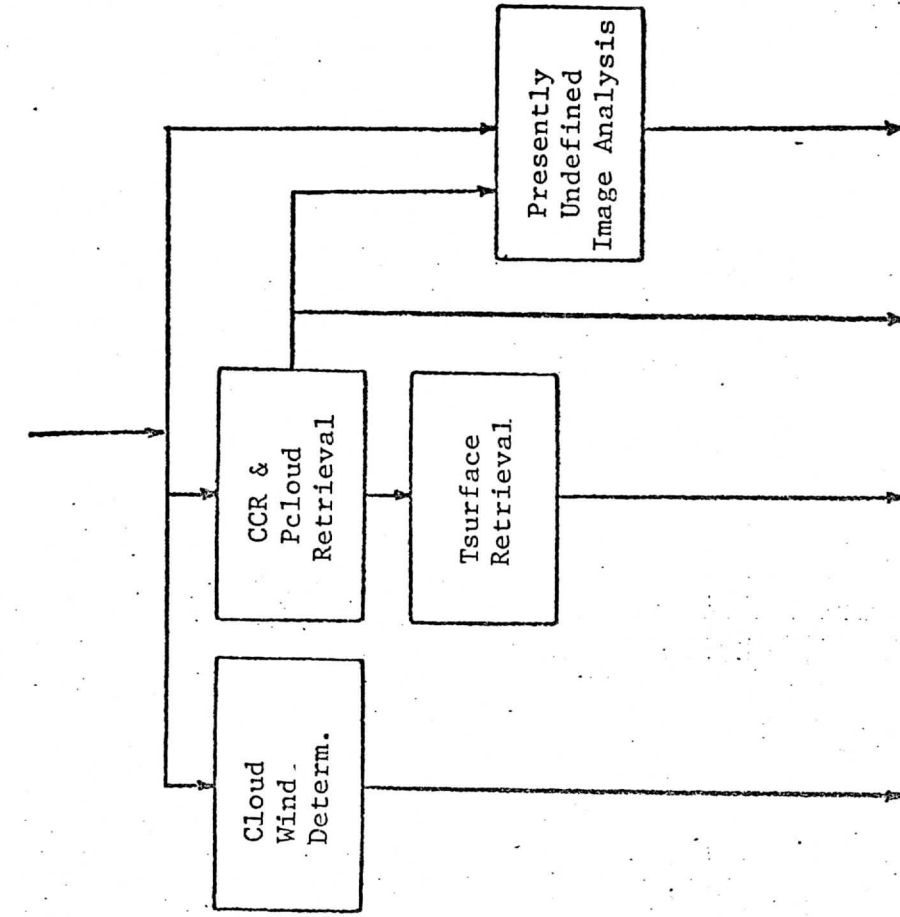


MAGNITUDE OF PROCESSING EFFORT

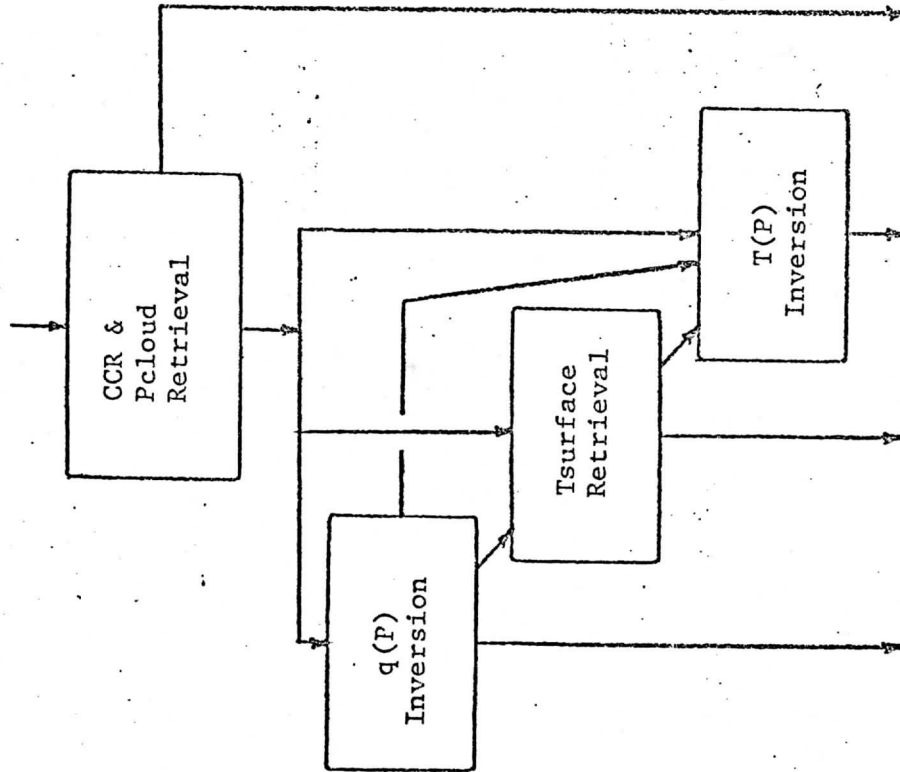


LEVEL OF PROCESSING EFFORT
(data volume x processing time/datum)

PROCESSING TECHNIQUE SEQUENCE



IMAGING ANALYSIS



SOUNDING ANALYSIS

EXISTING TECHNIQUES

OUTPUT	TECHNIQUE	DATA REQUIRED	STATUS
<p>Clear Column Radiances (CCR)</p>	<p>man interactive - man distinguishes between clear, overcast, broken one cloud type & broken mixed cloud types</p>	<p>- time sequence visible & IR window channel radiances</p>	<p>- undeveloped: McIDAS experience shows feasibility</p>
<p>- Initial Classification of cloud coverage</p>	<p>objective techniques - use standard dev. of window radiances to distinguish broken clouds from clear or overcast conditions</p>	<p>- window channel radiances</p>	<p>- used for NIMBUS 5 processing (appropriate use of man and objective techniques will be evaluated on McIDAS)</p>
<p>- CCR for Clear or overcast areas</p>	<p>- compare 11.2 black body temperature with surface T estimate to detect overcast</p>	<p>- window channel radiances - surface temperature estimate</p>	
<p>- CCR for Broken cloud cover</p>	<p>average radiances</p> <p>histogram technique + non-statistical paired FOV technique</p> <p>eigenvector paired FOV</p>	<p>- any channel</p> <p>- window channel radiances - sounding channel radiances</p>	<p>- may require undeveloped diffraction correction if clouds nearby</p> <p>- established technique, except that diffraction correction techniques need testing for VAS</p>
		<p>- all VAS or TIROS-N channels radiance eigenvectors from historical set of radiances</p>	<p>- developed by NESS for TIROS-N</p>

EXISTING TECHNIQUES (continued)

OUTPUT	TECHNIQUE	DATA REQUIRED	STATUS
Temperature fields	eigenvector regression inversion	<ul style="list-style-type: none"> - All VAS or TIROS-N channels - Regression matrix from historical set of radiance and temperature profiles 	developed by NESS for TIROS-N
Temperature time gradient fields	minimum information inversion	<ul style="list-style-type: none"> - VAS 12 channel sounding data at 2 times - Atmospheric transmission fns - Water vapor fields - Surface temperature - Surface pressure 	established technique - has not been applied to time domain data
Water vapor mixing ratio fields	eigenvector regression inversion	<ul style="list-style-type: none"> - All VAS or TIROS-N channels - Regression matrix from historical set of radiance and mixing ratio profiles 	developed by NESS for TIROS-N
Cloud heights and amounts	eigenvector technique dual channel techniques visible & window channel technique	<ul style="list-style-type: none"> - All VAS or TIROS-N channels - Radiance eigenvectors from historical set of radiance profiles - Colocated cloud sensitive channel radiances - Clear column radiances for these channels - Visible intensities - Window channel radiances 	<ul style="list-style-type: none"> - developed by NESS for TIROS-N - used for NIMBUS-5 processing - used for VISSR on McIDAS

EXISTING TECHNIQUES (continued)

OUTPUT	TECHNIQUE	DATA REQUIRED	STATUS
Wind direction and speed	cloud tracking	visible or IR window channel time sequence images	developed & tested for VISSR on McIDAS
Surface temperature over water	radiation transfer equation + spatial or temporal continuity dual window channel technique	<ul style="list-style-type: none"> - IR window channel clear column radiances - atmospheric transmission fns. - temperature field estimates - water vapor field estimates - long and short wave window channel clear column radiances - surface temperature estimate - incident solar radiation 	<ul style="list-style-type: none"> - well known technique - used for NIMBUS-5 processing

MAJOR AREA REQUIRING DEVELOPMENT:

VAS Image Analysis

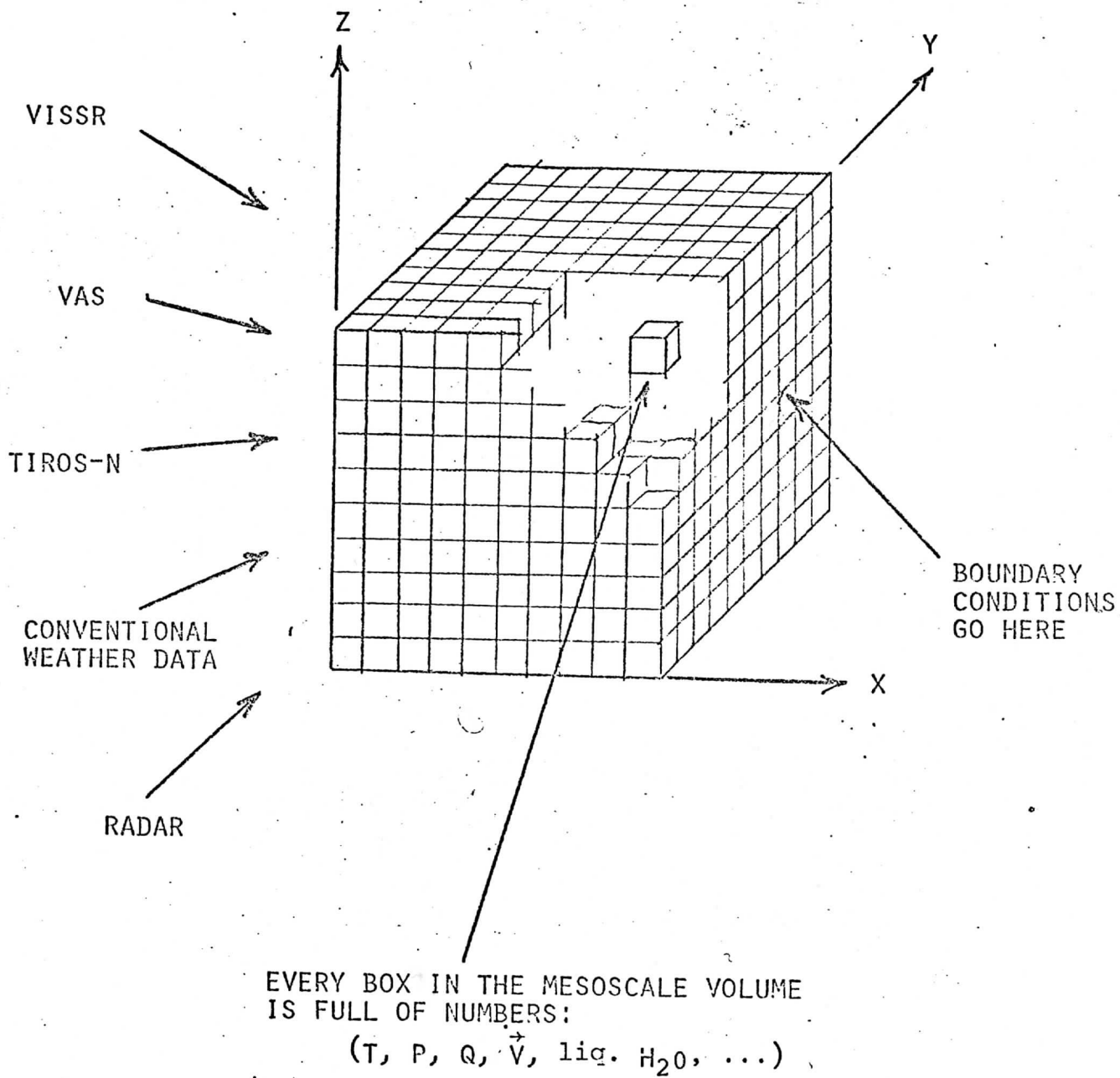
eg. Techniques for obtaining

1. Water vapor motion winds
2. Liquid water content
3. Surface temperature
4. Water vapor distribution
5. Lower tropospheric temperature
6. Cloud type

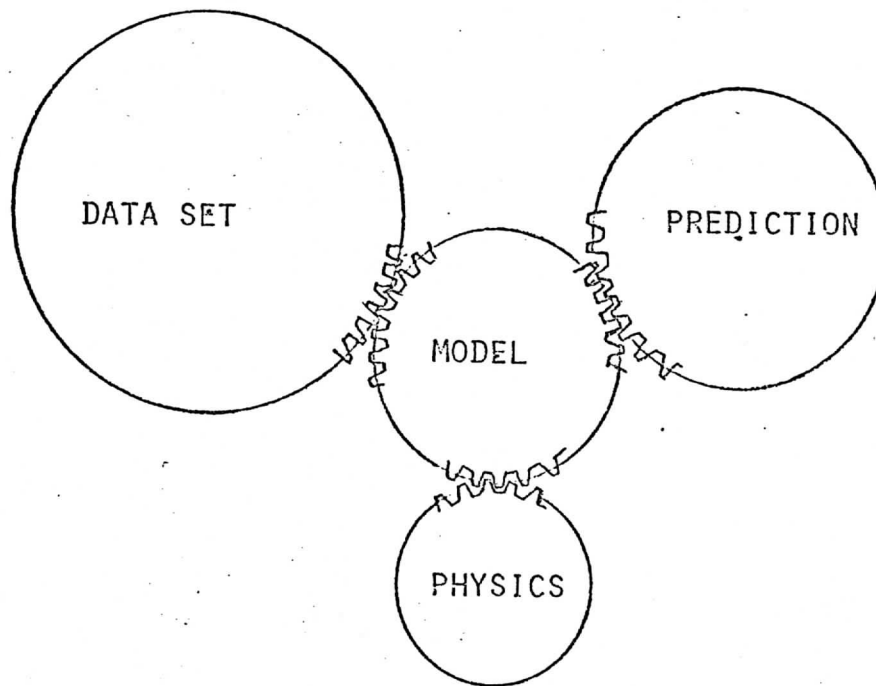
V. SYNTHESIS AND MODELLING

- A. BASIC OBJECT OF SYNTHESIS
- B. REQUIRED SYNTHESIS FUNCTIONS
- C. TYPES OF MODELS USED IN SYNTHESIS
- D. ADDITION OF NEW INFORMATION
- E. A PROCESS FOR DATA SET SYNTHESIS
- F. STATUS

A. BASIC OBJECT OF SYNTHESIS
(A COMPLETE MEAL FOR THE MODEL)

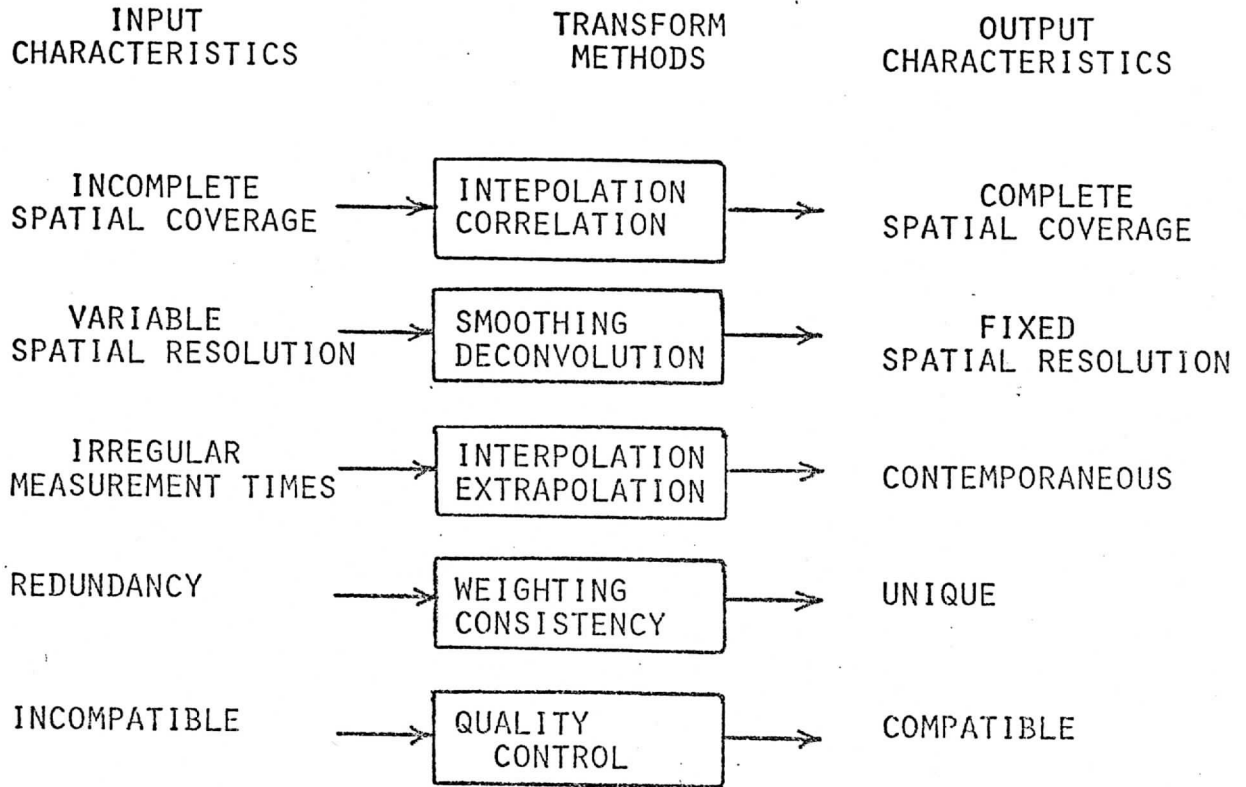


A. SECONDARY OBJECTIVE
(THE MEAL MUST BE DIGESTABLE)



- THE DATA SET MUST BE CONDITIONED TO MESH WITH THE MODEL
- DATA CONDITIONING VARIES WITH THE MODEL BEING USED
- INCREASING RESOLUTION OF DATA SET ULTIMATELY REQUIRES MORE PHYSICS TO POWER THE MODEL

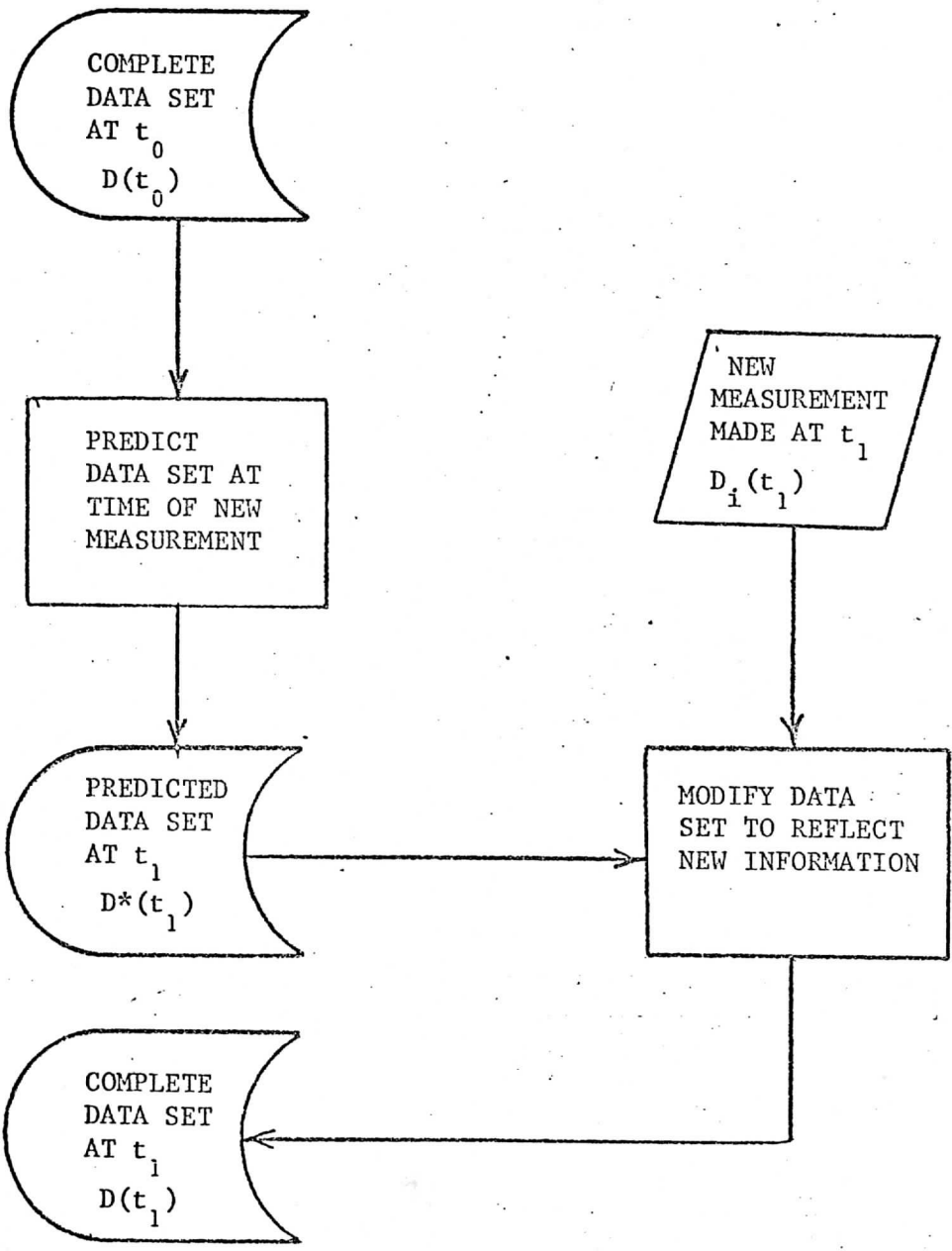
B. DATA SET SYNTHESIS FUNCTIONS



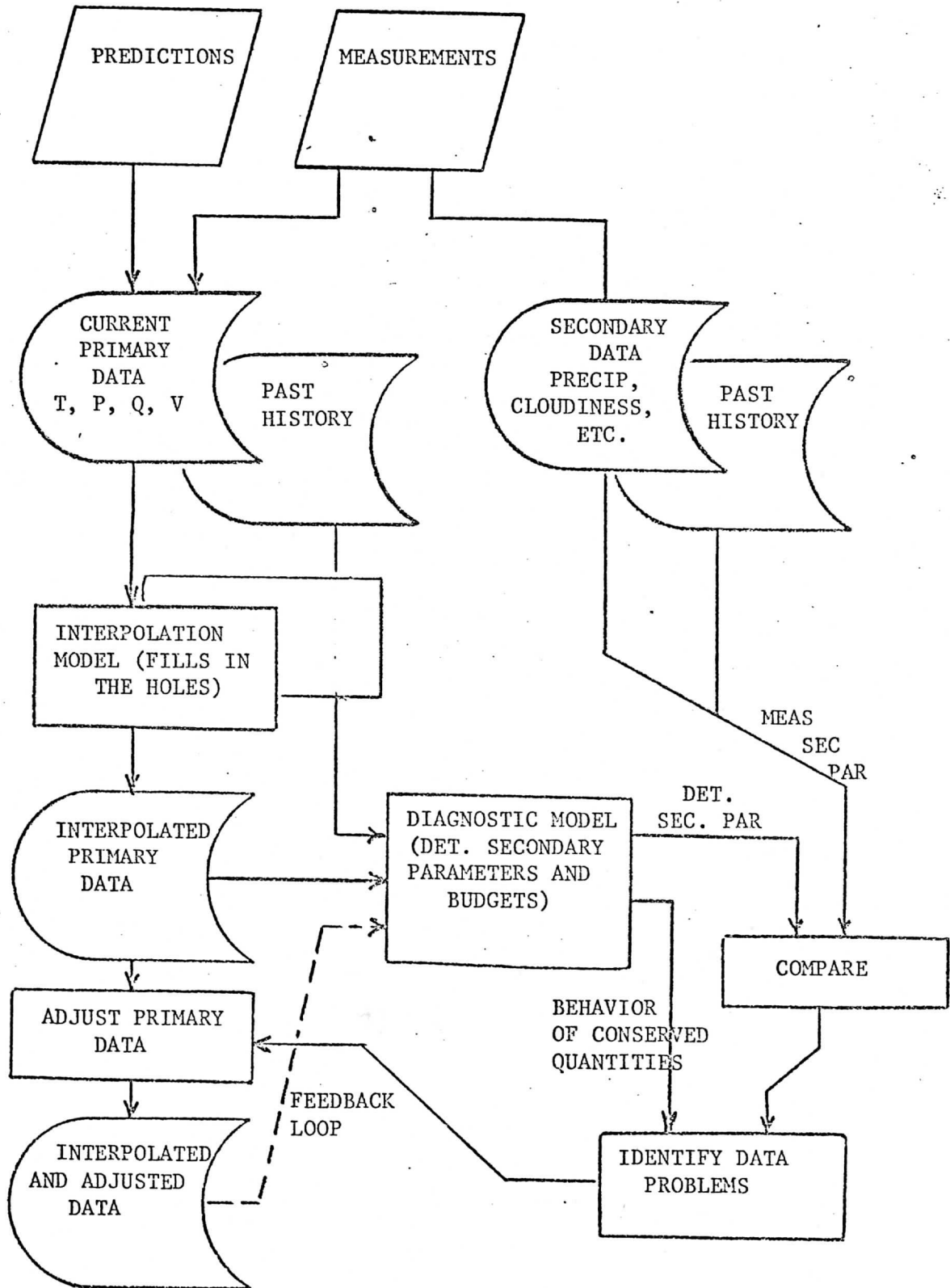
C. TYPES OF MODELS USED IN SYNTHESIS

- INTERPOLATION MODEL: DETERMINES VALUES ON A UNIFORM GRID FROM MEASUREMENTS MADE ON A NON-UNIFORM GRID
- DIAGNOSTIC MODEL: DERIVES SECONDARY PARAMETERS (E.G. RAIN AREAS) FROM PRIMARY PARAMETERS (E.G., T, P, Q); USED TO CHECK CONSISTENCY OF DERIVED AND OBSERVED SECONDARY PARAMETERS
- INITIALIZATION MODEL: PREPARES DATA SET FOR PREDICTIVE MODELS BY FILTERING DATA TO ELIMINATE SCALES AND PROCESSES INAPPROPRIATE TO THE MODEL
- PREDICTIVE MODEL: PREDICTS FUTURE VALUES OF PRIMARY PARAMETER FROM INITIAL CONDITIONS
- EXTRAPOLATION MODEL: A SUBSET OF PREDICTIVE MODELS, CHARACTERIZED BY SIMPLICITY AND USEFUL ACCURACY ONLY FOR VERY SHORT TIMES

UPDATING DATA SET WITH NEW INFORMATION



A PROCESS FOR DATA SET SYNTHESIS



F. STATUS OF SYNTHESIS AND MODELLING

- ④ RELIABLE MESOSCALE PREDICTION MODELS NOT NOW AVAILABLE AND NOT NOW INCLUDED IN VAS SYSTEM; DEVELOPMENT OF SUCH MODELS EXPECTED TO PROGRESS WITH IMPROVED DATA SETS AS PROVIDED BY VAS SYSTEM;
- ④ DIAGNOSTIC MODELS ARE AVAILABLE NOW; WILL BE INCLUDED IN VAS SYSTEM*; WILL SERVE AS PRIMARY EVALUATOR OF SYNTHESIZED DATA QUALITY
- ④ CONSISTENCY FORCING (PRIMARY DATA ADJUSTMENT) PRESENTLY DEPENDS ON HUMAN INTERACTION USING SUBJECTIVE TECHNIQUES AND DIAGNOSTIC FEEDBACK
- ④ INTERPOLATION MODELS ARE ABUNDANT BUT MAINLY TWO DIMENSIONAL; REQUIRES DEVELOPMENT

* WILL ALSO BE IMPLEMENTED IN MCIDAS OUTSIDE OF VAS PROGRAM